SECTOR OPERATIONS PLAN AND AGREEMENT

This NORTHEAST FISHERY SECTOR OPERATIONS PLAN AND AGREEMENT (this "Agreement") is entered into as of September 1, 2010 (the "Effective Date"), by and among Northeast Fishery Sector XIII ("NEFS XIII") and each of the Sector members identified on the attached **Exhibit A**.

Recitals

A. Pursuant to "Amendment 16" to the Northeast Multispecies Fishery Management Plan and implementing regulations promulgated by the National Marine Fisheries Service ("NMFS"), a group of persons holding limited access multispecies vessel permits may form self-selecting voluntary sectors for fishery management. As a condition to forming a sector under Amendment 16, the persons wishing to do so must enter into a binding sector operations plan and agreement that contains the required elements.

B. The parties to this Agreement wish to form a self-selecting voluntary sector under Amendment 16, and to do so are entering into this Agreement.

Agreement

Now therefore, for and in consideration of the agreements, covenants, rights and obligations set forth herein and the mutual benefits anticipated by the Members under this Agreement, the receipt and sufficiency of which is hereby acknowledged, the Members and Sector hereby agree as follows:

1. Sector Name. The organization described under this Agreement shall be called the XIII Northeast Fishery Sector. This is a non-profit organization incorporated in Massachusetts on May 26, 2009 and therefore may be held liable for violations committed by its members.

2. Sector Eligibility and Membership. To be eligible to be a member of the Sector, a person must hold a limited access Northeast multi-species permit and meet all other Sector eligibility requirements established from time to time by the Sector's Board of Directors (the

"Board"). Any person wishing to become a Sector member must submit an application no later than sixty (60) days prior to the annual deadline by which Sector applications must be submitted to NMFS. Sector membership shall be effective upon admission of a member by the Board and acceptance by execution by such member of the Sector's Membership Agreement. Subject to the automatic renewal provisions of Section 12 below, and the disciplinary expulsion provisions of Section 13 below, Sector membership shall expire at the conclusion of each fishing year, unless renewed by the Board in accordance with the Sector's Bylaws and this Agreement. The Sector's members (the "Members"), such Members' "Limited Access Multispecies Permit" (LA MS) as identified by its "Moratorium Rights Identifier" (MRI), and the vessels that will harvest the Sector's Amendment 16 allocations are identified on the attached **Exhibit A** , which may be amended from time to time in accordance with this Agreement and the Sector's Bylaws.

2.1 Rule of Three Requirement: Amendment 16 to the NE multispecies FMP defines a sector as a group of three or more persons, none of whom have an ownership interest in the other two persons in the sector. This criterion has been fulfilled with permit # 230851 under the distinct ownership of Amanda L Inc., permit # 330549 under the distinct ownership of F/V Illusion, and permit # 330339 under the distinct ownership of Renaissance Trawling Corp.

3. Member and Vessel Permits. The attached **Exhibit B** is provided in accordance with the requirements of Amendment 16 that all state and federal permits held by Members or assigned to Members' vessels be disclosed in each sector's annual operations plan.

4. Sector Allocation and Exemptions. Each Member shall take all actions and execute all documents necessary to obtain the Sector's Amendment 16 annual catch entitlement ("ACE"). The Sector shall request all universal exemptions granted to sectors under Amendment 16 and relating multispecies implementing regulations; and any special exemptions the Board deems appropriate. The special exemptions initially requested by the Sector are identified on the "Harvesting Rules" attached hereto as **Exhibit C.**

5. Distribution of Sector ACE. Each Member acknowledges that the Sector's ACE is composed of allocations for each species of Northeast multispecies groundfish allocated by Amendment 16 (each such species being an "Allocated Species"). Subject to the terms and

conditions of this Agreement, each Member shall be entitled to harvest or transfer an amount of the Sector's ACE for each Allocated Species proportionate to the amount of ACE for such species that the Sector receives as a result of such Member's membership in the Sector. The amount of Sector ACE a Member may harvest or transfer, as adjusted by transfers and Sector retainages including but not limited to the Reserve, made in accordance with this Agreement, is referred to hereafter as a Member's "Harvest Share". Each Member may harvest or transfer its Harvest Share only under the terms and conditions of this Agreement and in compliance with the restrictions imposed by the Manager (as defined below), the Enforcement Committee (as defined below) and the Board in accordance with this Agreement. Any other attempted harvest or transfer of a Member's Harvest Share shall be a breach of this Agreement.

6. Sector Manager and Registered Agent. The Board shall from time to time appoint a person to act as the Sector's authorized agent in all NMFS and New England Fishery Management Council matters (the "Manager"), and a person to serve as the registered agent for receiving service of process on behalf of the Sector (the "Registered Agent"). The procedures for appointing the Manager and the Registered Agent shall be as provided in the Sector's Bylaws, as the same may be amended from time to time. The Manager and the Registered Agent may be the same person. The Manager shall be responsible for preparing and filing all reports required of the Sector under Amendment 16 and the related implementing regulations. John Haran will serve as the registered agent for receiving service of process on behalf of the Sector, and as the NEFS XIII Sector Manager.

6.1 Communication with Sector. The Manager is the primary point of contact for all communications on behalf of the Sector and all communications regarding NEFS XIII should be directed accordingly. However, in limited situations, where NMFS has reason to communicate with the Board of Directors of NEFS XIII, rather than its Manager, or in addition to the Manager, the communication should be in writing and sent in hard copy to all Board members. After the Board has had an opportunity to discuss the issue as needed, a written reply, electronic or by post, will be provided from the President, of NEFS XIII; with the entire Board being carbon copied on such communication. In the event communication with the

Board of NEFS XIII is necessary, the following link should be utilized to ensure that the communication is directed to the current Board.

http://corp.sec.state.ma.us/corp/corpsearch/corpsearchinput.asp

7. Consolidation Plan. The Sector's ACE shall be harvested in accordance with the terms and conditions of this Section 7.

7.1 Harvest Share Reserve. Each Member acknowledges that under NMFS regulations, the Members' aggregate harvest of the Sector's ACE may not exceed the Sector's ACE allocation, as adjusted by transfers of ACE between the Sector and other sectors. Each Member further acknowledges that under NMFS regulations, each Member may be held jointly liable for fines, penalties and forfeitures related to the Sector's ACE being overharvested. Each Member therefore authorizes the Manager to annually establish a reserve of each Allocated Species in the amount the Manager deems necessary to insure the Sector's ACE is not over-harvested (the "Reserve"). The Reserve for each Allocated Species shall be established by assessing the Members' Harvest Shares for such Allocated Species on a pro rata basis, according to their Harvest Share percentages for each such species. The amount of each Member's Harvest Share available for harvest or transfer shall be net of the amount assessed for the Reserve. The Reserve shall be managed under a "Deemed Value System" ("DVS") by the Manager in accordance with the terms and conditions adopted by the Board from time to time. If the Board requires that Members pay for distributions from the Reserve, the DVS payments received by the Sector shall be distributed to the Members after the close of fishing for the year on a pro rata basis, such that each Member receives a share of the total amount paid for distributions of each Allocated Species from the Reserve proportionate to the amount of such Allocated Species each Member contributed to the Reserve.

7.2 Harvest Share Use. Only Members that notify the Manager in writing on or before April 1, 2011 (the "Notification Date"), and on or before succeeding Notification Dates established by the Board for FY 2011 and thereafter of their intention to harvest Sector ACE ("Active Members") may harvest Sector ACE. Each Member's notice that such Member intends to harvest Sector ACE shall identify the vessels which will be used for such harvest. Non-active

Members shall not harvest Sector ACE, including without limitation, their own Harvest Share. No Active Member shall harvest an amount of Sector ACE in excess of their Harvest Share. Harvest of each Member's Harvest Share shall be subject to all NMFS and other management regulations generally applicable to the Sector's ACE (including but not limited to seasonal apportionments and area harvest restrictions) on a discreet, individual basis; i.e., no greater percentage of each Member's Harvest Share may be harvested in any season or area than the percentage of the related Sector ACE allocation permitted to be harvested in such season or area. The Manager may impose and enforce additional restrictions on each Active Member's harvest of Sector ACE authorized by this Agreement or adopted by the Board.

7.2.1 Non-Active Members. The Members acknowledge that Active Members are assuming certain costs and risks associated with harvesting the Sector's ACE on behalf of the non-Active Members, and that the Active Members are making certain financial contributions to the Sector that are not made by non-Active Members. Obligations assumed by Active Members that are not imposed on non-Active Members include, but are not limited to payments of the initial Membership Fee, and paying Network and Sector fees in connection with landings of catch harvested under the Sector's ACE. In consideration for the Active Members assuming these costs, risks and fee obligations, each non-Active Member shall:

(a) Not fishing in ACE-accountable fisheries;

(b) Participate only as a transferor with regards to transfer of such member's Harvest Share within the sector. However, a Non-Active member may act as a transferee through an Inter-Sector transfer of ACE provided the transaction results in a net increase of this Sector's ACE.

(c) Not have access to information on Fishtrax, or other Sector specific tools, other than the information necessary to track the balance of such Member's Harvest Share, and specifically, shall not have access to Harvest Share Offers or Permit Offers posted on behalf of Members;

(d) Not have the rights of first offer on Sector Harvest Share and the related Sector ACE extended to Active Members, which may be adopted or amended from time to time by the Board under Section 7.3, and shall not have a right of first refusal on Permits extended to Active Members, which may be adopted or amended from time to time by the Board under Section 15;

(e) Pay Sector Operating Fees on the net amount of Sector ACE transferred out of the Sector by such Member, and pay the Network Fee on the net amount of Sector ACE transferred out of the NEFS Sectors by such Member.

7.3 Harvest Share Transfer. Subject to the terms and conditions of this Agreement, each Member may transfer some or all of such Member's Harvest Share to one or more other Members on such terms and conditions as the transferor Member and the transferee Member(s) may agree. No transfer of a Member's Harvest Share shall become effective until the Manager has received actual notice of such transfer. No Member may transfer any portion of such Member's Harvest Share to any person other than another Sector Member unless the Board first authorizes such transfer in writing. Any such transfer shall be subject to such terms and conditions as the Board may adopt from time to time, including by not limited to establishment of procedures to implement Right of First Offer (the "ROFO"). Right of First Offer, that is extended in accordance with the terms and conditions established by the Board shall be in favor of first, the Active Members of NEFS XIII, second, the Active Members of the other Northeast Fishery Sectors, and third other interested parties. Without limiting the foregoing, the Sector Board of Directors may condition, review, approve and restrict transfers of Harvest Shares to non-Members as it deems necessary to promote the harvest of the Sector's entire ACE allocation and ensure that the Sector's management and administrative costs can be recouped through reasonable Sector membership fees established by the Board.

7.4 Harvesting Rules and Fishing Plan. The Board may from time to time adopt such restrictions on harvest of the Sector's ACE as the Board deems necessary to ensure the Sector's compliance with Amendment 16 and related implementing regulations (such

restrictions referred to hereafter as "Harvesting Rules"). The Harvesting Rules are set forth on **Exhibit C**. Each Active Member shall conduct their harvest of the Sector's ACE in strict compliance with the Harvesting Rules. Each Member shall exercise their best efforts to ensure such Member's Harvest Share is harvested in accordance with the Harvesting Rules. The Manager shall annually develop a Sector fishing plan that promotes harvest of the Sector's ACE in accordance with the Harvesting Rules and shall make the Fishing Plan available to Active Members prior to the commencement of the fishing season.

7.5 Re-direction Of Effort. Based on data compiled within the first four months of Fishing Year May 1, 2010 – April 30, 2011; NEFS XIII anticipates that some may also fish in the following fisheries:

- Scallop fishery dredge gear
- Monk fish, Skate, Flounder, Lobster, Squid trawl gear,
- Bait Skate gill nets

7.6 Sector Vessel Interactions with Allocated Species in Non-Amendment 16 Fisheries. NMFS will account for Member harvests of Allocated Species as harvests of the Sector's ACE, regardless of whether such harvests are made during directed groundfish fishing trips or during other fishing trips, unless the Allocated Species harvest is managed under another management plan or as a sub-component annual catch limit ("ACL") of Amendment 16 Allocated Species. Each Active Member shall report every fishing trip by such Member to the Manager prior to sailing, for determination whether the related Allocated Species harvest must be accounted for to NMFS as harvest of the Sector's ACE.

7.7 Consolidation and Redistribution of ACE: Scientific recommendations and new Magnuson requirements will influence the level of consolidation that will take place in the groundfish fishery. The Sector members intend to utilize sector management to mitigate excessive consolidation that may occur in the effort controlled system where overall management measures are based upon the weakest stocks.

In FY 2010, 82.86 % of the permits which are enrolled in the NEFS XIII Sector for FY 2011 are attached to vessels actively fishing for NE Multispecies. For FY 2011, the NEFS XIII Sector has 35 permits currently enrolled. Of those 35 permits, 29 (82.8%) are anticipated to actively fish for NE multispecies in FY 2011. While these numbers may change, the NEFS XIII Sector expects that compared to FY 2010 there would be no change from the consolidation that previously occurred within the sector during FY 2010. The member permits that are not attached to active NE multispecies vessels in FY 2011 are the same permits that leased out their PSC in FY 2010. In all cases, a member who owns multiple permits fished the ACE of all those permits on a single hull and will now continue to fish the ACE contributed by all those permits on the same single hull, resulting in no additional consolidation.

8. Release of Catch Data. Pursuant to section 402(b)(1)(F) of the Magnuson-Stevens Fishery Conservation and Management Act, 16 U.S.C §1881a(b)(1)(F), the undersigned hereby authorizes the release to the XIII, Northeast Fishery Sector Inc., of information that is considered to be confidential or privileged by the Magnuson-Stevens Act or other federal law regarding the catch of various species of fish associated with the limited access Northeast multispecies permit with the Moratorium Right Identifiers (MRIs) listed above submitted to the National Marine Fisheries Service in compliance with 50 CFR 648.7 and §648.87 that the undersigned has authority to access. This information includes data required to be submitted or collected by NMFS, including but not limited to days-at-sea allocation and usage, vessel trip reports, dealer reports, Northeast Fishery Observer Program data, catch and landings history data, Sector dockside and at-sea monitoring data, enforcement data, and all other information associated with the vessel, MRI #, and/or permit records.

9. Catch Monitoring and Reporting. Each Active Member shall comply with all catch monitoring and reporting requirements established by the Manager**,** which may include but are not limited to maintaining and filing copies of accurate catch logs, carrying fishery observers, installing and operating electronic vessel and catch monitoring equipment, delivering fish only at pre-approved dockside landing stations at pre-approved times, and completing and filing accurate delivery reports on a timely basis. Without limiting the foregoing, each Active

Member shall submit on a timely basis all catch information as required by and necessary for the Manager to complete and file the Sector's weekly reports. Each Active Member's harvest of Sector ACE shall be calculated and tabulated in accordance with the catch accounting measures established by NMFS with respect to the Sector's ACE. Absent manifest error, the catch and delivery information produced by the Manager shall be presumed accurate, and absent manifest error, each Member's obligations under this Agreement and all related documents may be enforced to their fullest extent on the basis of such information.

10. Breach and Remedies for Breach. The benefits associated with Sector membership will only accrue to the Members if each of them strictly complies with this Agreement. Each Member will make significant operational and financial commitments based on this Agreement, and any Member's failure to fulfill any of its obligations under this Agreement could have significant adverse consequences for some or all other Members. Any failure by a Member to fulfill any of its obligations under this Agreement shall constitute a breach of this Agreement. Each Member shall be bound by the procedures set forth in this Section for determining whether a Member has breached this Agreement. The Sector shall be entitled to the remedies set forth in this Section if a Member is determined by the Sector to have breached this Agreement. Each Member shall take all actions and execute all documents the Manager deems necessary or convenient to give effect to the provisions of this Section.

10.1 Liquidated Damages Schedule and Schedule Amendments. The loss, costs and damages which may be suffered or incurred by Members as the result of any Member harvesting Sector ACE in excess of the amount such Member is authorized to harvest under this Agreement, or otherwise breaching this Agreement, will be difficult to calculate. The loss, costs and damages the Members and the Sector could suffer as the result of a Member harvesting more Sector ACE than its Harvest Share, or otherwise breaching this Agreement, are likely to substantially exceed the market value of the excess harvest. Consequently, the Sector may impose and assess upon any breaching Member the liquidated damages amounts as established under Section 10.3, below.

10.2 Enforcement Committee. Not less than one hundred twenty (120) days prior to each annual Northeast multispecies groundfish season opening date (the "Season Opening Date"), the Manager shall call a meeting of the Board to appoint the Enforcement Committee for the upcoming year, and to address any other matters of Sector business properly before the Board. The Board shall meet for those purposes not less than ninety (90) days prior to the Season Opening Date, and at such meeting shall appoint an Enforcement Committee composed of five (5) persons. If the Board fails to do so, the Manager shall appoint the Enforcement Committee. The Enforcement Committee shall assist the Manager in setting and updating the liquidated damages amounts for breaches of this Agreement and shall hear and decide Members' appeals of the Manager's contract breach determinations and liquidated damages assessments.

10.3 Liquidated Damages Base Value and Multiplier Adoption. Not less than sixty (60) days prior to each annual Season Opening Date, the Manager shall establish the market value of an unprocessed pound of each Allocated Species (each such value being a "Base Value"), and transmit such Base Values to the Enforcement Committee. In establishing such Base Values, the Manager may take into account both the direct costs and the opportunity costs associated with an over-harvest of the relevant species. Not less than thirty (30) days prior to each annual Season Opening Date, the Enforcement Committee, in consultation with the Manager, shall adopt Base Values and Base Value multipliers, and the liquidated damages amounts for breaches of this Agreement other than over-harvest of a Member's Harvest Share, which shall be based on the Enforcement Committee's estimate of the losses that the Sector and its Members could be expected to suffer as a result of such breaches. Because the damages suffered by one or more other Sector Members as the result of a Member harvesting an amount of Sector ACE in excess of its Harvest Share are likely to substantially exceed the market value of the excess harvest, the Base Value multipliers shall not be less than three (3). Upon the Enforcement Committee's adoption of Base Values and Base Value multipliers, such Base Values and Base Value multipliers will be the basis for calculation of damages for unreconciled Harvest Share overages.

10.4 Liquidated Damages Calculation. The liquidated damages amount for each pound by which a Member's harvest of an Allocated Species exceeds such Member's Harvest Share for such species shall be the relevant Base Value, multiplied by the relevant liquidated damages multiplier. The liquidated damages amounts for breaches of this Agreement, other than over-harvest of a Member's Harvest Share shall be as provided on **Exhibit D**.

10.5 Notice to Vessel Masters; Assumption of Liability. Each vessel harvesting a Member's Harvest Share, or participating in a fishery that may require utilization of a Member's Harvest Share (i.e., non-exempt monkfish), will be under the day-to-day command of the vessel's master who will to a significant degree have control over whether the vessel is operated in compliance with this Agreement. Each Member shall ensure that the master(s) of the vessel(s) harvesting such Member's Harvest Share are aware of the terms and conditions of this Agreement governing the harvest of such Member's Harvest Share, including without limitation the Harvesting Rules, and shall have confirmed their agreement to abide by such terms in writing. Each Member assumes all liability under this Agreement arising out of or related to the actions of the master(s) operating such Member's vessel(s).

10.6 Liquidated Damages Security. The Board may require that a Member that has two (2) or more NMFS fishing regulation violations, or which has breached this Agreement or another sector's operations plan on two (2) or more occasions, post a bond or obtain a letter of credit securing such Member's payment and performance obligations under this Agreement in such amounts as the Board deems appropriate, or may require such Member to personally guaranty, and/or have other Members or third parties personally guaranty, such Member's payment and performance obligations under this Agreement.

10.7 Manager Action in Response to Apparent Breach. The Manager shall monitor the Members' compliance with the terms and conditions of this Agreement. If the Manager becomes aware of an apparent breach of this Agreement by a Member, the Manager shall investigate the matter, and if the Manager concludes that a Member has breached this Agreement, the Manager shall notify such Member of the apparent breach and (if such breach is reasonably susceptible of cure) provide such Member with an opportunity to cure the breach.

If such Member fails to demonstrate to the Manager, in the Manager's sole and absolute discretion, that no breach occurred, or to cure the breach within the time period directed by the Manager, taking into account the magnitude of the breach and the potential consequences of the breach for the Sector and the other Members, the Manager shall notify the Member in writing that the Manager is referring the alleged breach to the Enforcement Committee, and shall notify the Enforcement Committee in writing of the alleged breach and the proposed liquidated damages. Pursuant to Section 14, below, if during the investigation, notice and cure period described above, the Manager concludes it is necessary for the protection of the interests of the Sector and its Members, the Manager may issue a "Stop Fishing Order" to the Member in apparent breach, and if such Member fails to cause the vessels harvesting its Harvest Share to immediately stop fishing , the sector manager may take any action he/she deems necessary including without limitation, self help or court action which may include the seeking of injunctive relief.

10.8 Member Appeals. A Member receiving notice of an alleged breach and proposed liquidated damages shall have five (5) days from the date that the Member receives the notice to request an appeal hearing before the Enforcement Committee. If a Member fails to request a hearing within such 5-day appeal period, the Member's right of appeal shall expire, the Member shall be deemed to have breached this Agreement in accordance with the Manager's determination, and the Member shall be obligated to pay the related liquidated damages. If a Member timely requests an appeal hearing, the Manager shall consult with the Enforcement Committee and schedule an Enforcement Committee meeting for that purpose. The Enforcement Committee shall make reasonable efforts to schedule the meeting at a time and place such that the Member requesting the appeal is able to attend, and shall provide the Member with at least thirty (30) days advance written notice of the time and place of the meeting. At such meeting, the Enforcement Committee shall provide the Manager with an opportunity to present evidence of the apparent breach, and shall provide the Member in apparent breach with a reasonable opportunity to rebut such evidence. Per Section 9, above, the catch and delivery data produced by the Manager shall be presumed accurate, and, absent manifest error, each Member's obligations under this Agreement and all related documents

may be enforced to their fullest extent on the basis of such data. If the Enforcement Committee determines that a Member breached this Agreement, the Sector shall have the right to collect from such Member the liquidated damages amount provided for such breach under this Agreement.

10.9 Voluntary Compliance. In connection with breaches of this Agreement for which a Member is liable to the Sector or other Sector Members for liquidated damages, the Sector shall provide the breaching Member fifteen (15) days prior notice of its intent to exercise its rights of collection, during which period the Member may propose an alternative method of compensating the Sector and other Sector Members for the damages suffered as the result of such Member's breach. The Enforcement Committee may approve or disapprove any alternative form of compensation in its sole discretion, provided that if the breach at issue is an overharvest of a Member's Harvest Share, there shall be no liquidated damages imposed if the Member in breach obtains sufficient Harvest Share from other Members to offset the overharvest, and tenders conclusive evidence to that effect to the Enforcement Committee. Such Member shall nevertheless remain liable for the costs and fees incurred by the Sector in connection with the alleged breach, and the Sector shall be entitled to collect such costs and fees if such Member fails to pay the same within ten (10) days of receiving the Sector's demand for payment.

10.1 Liquidated Damages Collection and Related Expenses. If a Member fails to resolve a breach of this Agreement through voluntary compliance measures approved by the Enforcement Committee and performed by such Member on a timely basis, the Member in breach shall pay the liquidated damages amount assessed by the Sector within ten (10) days of the end of the voluntary compliance period described in Section 10.9, above. Liquidated damages amounts not paid when due shall accrue interest at a rate of interest equal to the prime rate of interest announced by Bank of America, or such other bank as the Board may select from time to time, as of the last day of the voluntary compliance period plus twelve percent (12%). If a Member fails to pay the liquidated damages amount assessed by the Enforcement Committee with interest within thirty (30) days of the end of the voluntary

compliance period described in Section 10.9, above, the Sector may pursue legal action to collect the liquidated damages. In addition, in connection with Member breaches resulting from an over-harvest of a Member's Harvest Share, the Sector (acting through the Enforcement Committee) may take possession of an amount of the Member in breach's Harvest Share for the overharvested species for the year in which the contract breach occurred and, if necessary, in subsequent years, in a total amount equal to three (3) times the amount of such over-harvest, provided that the amount of a Member's liability to the Sector for over-harvest shall be reduced proportionately to the extent that the Sector does so. In addition to liquidated damages, the Sector shall be entitled to all fees, costs and expenses, including attorney's fees, actually incurred by the Sector in connection with any action to collect liquidated damages from a Member in breach of this Agreement, whether or not the Sector prevails in such action.

10.11 Consequential Damages for Gross Negligence or Willful Misconduct. In addition to the liquidated damages imposed under this Section 10, each Member shall be liable for consequential damages in connection with a breach of this Agreement resulting from the Member's gross negligence or willful misconduct. Each Active Member shall be liable for the consequential damages arising out of or related to the gross negligence or willful misconduct of the captain operating such Active Member's vessel(s).

10.1 Distribution of Damages. Where a Member's breach causes one or more other Members to harvest less than their Harvest Share, damages awarded to the Sector under this provision shall first be distributed *pro rata* among the Members whose harvest was reduced, with each Member receiving a fraction of such funds, the numerator of which is the amount by which such Member's catch was less than such Member's allocation or apportionment, and the denominator of which is the sum of the aggregate amount of by which all Members' allocations or apportionments were reduced as a result of the breach, up to the amount of loss suffered by each such Member as the result of the breach. Any damages awarded to the Sector in excess of those distributed to other Members under this Section, and any damages awarded in connection with a breach which does not cause any other Member's

allocation or apportionment to be reduced, shall be retained by the Sector and applied to the costs of Sector operations.

11. Joint Liability and Indemnification. Each Member acknowledges that the Sector's Members may be held jointly liable for ACE overages, discarding of legal-sized fish and misreporting of catch landings or discards. Further, each Member acknowledges that should a hard total allowable catch ("TAC") allocated to the Sector be exceeded in a given fishing year, the Sector's allocation will be reduced by the overage in the following fishing year, and the Sector, each vessel participating in the Sector and each vessel operator and/or vessel owner participating in the Sector may be charged, as a result of said overages, jointly and severally for civil penalties and permit sanctions pursuant to 15 C.F.R. Part 904, and that if the Sector exceeds its TAC in more than one (1) fishing year, the Sector's ACE may be permanently reduced or the Sector's authorization to operate may be withdrawn.

In consideration of the foregoing, each Active Member agrees to indemnify, defend and hold the Sector and all other Members harmless from and against all liabilities, claims, fines, penalties and forfeitures of any nature whatsoever arising out of or related to any breach of this Agreement related to such Active Member's harvest of Sector ACE, and each Member agrees to indemnify, defend and hold the Sector and the other Members harmless from and against all liabilities, claims, fines, penalties and forfeitures of any nature whatsoever arising out of or related to such Member's breach of this Agreement. Each Member's indemnification obligation under this Section 11 is separate from and in addition to each Member's liquidated damages and consequential damages obligations under Section 10, above. Each Member authorizes the Board to require that a Member's obligations under this Section 11 be secured by a surety.

12. Membership Termination. No Member may terminate its membership in the Sector other than in accordance with this Section 12. A Member that has agreed to join the Sector prior to the Effective Date may withdraw from Sector membership prior to the Effective Date without penalty or prejudice. Thereafter, only a Member that is not in breach of this Agreement and that has no outstanding Sector performance or payment obligations may

terminate its membership in the Sector, and may do so only in compliance with the terms and conditions of this Section 12. Notwithstanding the foregoing, the Board may terminate the membership of a Member in breach of its payment or performance obligations under this Agreement, as the Board deems appropriate in its sole discretion.

Subject to the provisions of this Section 12 regarding withdrawal prior to the Effective Date, above, a Member that is eligible to terminate such Member's Sector membership may do so only by providing written notice to that effect to all other Members on or before July 1, or such date as the Board may from time to time establish for that purpose (the "Termination Date") each year. A Member that fails to provide such notice by the Termination Date shall be deemed to have automatically renewed its Sector membership for the following year, and all other Members shall be entitled to act in reliance on such renewal accordingly. If any Member provides a membership termination notice by the Termination Date, each of the other Members shall have ten (10) days from the date they receive such notice to terminate their membership as well, notwithstanding the Termination Date notice deadline. Termination of membership in the Sector shall be effective as of the final day of the current fishing year.

If a Member is in breach of this Agreement or has outstanding Sector payment or performance obligations as of the Termination Date, unless the Board takes action to terminate such Member's membership, such Member's membership shall be deemed renewed for the following year, notwithstanding any notice of withdrawal such Member may give, and the Sector shall have the authority to file an application for a Sector allocation including such Member as a Member of the Sector. Each Member hereby grants the Sector a power-of-attorney, coupled with an interest, for such purposes, and authorizes each of the Sector's officers to take any and all actions and execute any and all documents necessary or convenient to give effect to this provision.

Termination of membership shall not relieve a person or entity of any obligations under this Agreement related to the period during which such person or entity was a Member, including but not limited to liquidated damages obligations for breach of this Agreement, consequential

damages obligations for breaches resulting from acts of gross negligence or willful misconduct, or indemnification obligations related to such person or entity's actions as a Member.

13. Expulsion. A Member may be expelled from the Sector at any time for: (i) a knowing, willful breach of this Agreement; (ii) any alleged breach of this Agreement that is either not appealed pursuant to Section 10.8, or is upheld by the Enforcement Committee after being appealed, and which such Member fails to cure through voluntary compliance approved by the Enforcement Committee pursuant to Section 10.9, or by paying liquidated damages in accordance with Section 10.10; (iii) perpetrating a fishery regulation violation that exposes Sector Members to joint liability for such violation. A Member shall be immediately and automatically expelled from the Sector if such Member ceases to be eligible to participate in the Sector or if such Member engages in conduct that exposes the Sector or other Sector Members to antitrust or unfair trade practice liability. As of the date of expulsion, the expelled Member shall lose all rights to harvest any portion of the Sector's ACE unless the expelled Member is re-admitted. Expulsion shall not relieve a Member of the obligation to pay fees that were levied prior to the date of expulsion, or to pay liquidated damages and costs and fees related to an action or omission by the expelled Member that preceded the date of expulsion. The Sector shall notify NMFS immediately upon a Sector Member's expulsion; by electronic email, followed by posted mail.

14. Stop Fishing Order; Injunctive Relief. Sector members may be held jointly and severally liable if (a) a Sector exceeds its ACE, (b) a Sector member discards legal-sized fish, or (c) a Sector member misreports landings or discards. If a Sector exceeds its ACE in a given fishing year, the Sector's allocation may be reduced by the overage in the following fishing year, and the Sector, each vessel, and vessel operator and/or vessel owner participating in the Sector may be jointly and severally liable for civil penalties and permit sanctions pursuant to 15 C.F.R. Part 904 in connection with such overage. In addition, if a Sector exceeds its ACE in more than one (1) fishing year, NMFS may permanently reduce the Sector's ACE or withdraw the Sector's authorization to operate.

The Sector will exceed its ACE only if one or more members overharvest their Harvest Share, as (subject to the provisions of Section 7.1, above) the Sector's ACE, less the Reserve, is fully distributed to the Members as their Harvest Shares. A Member's overharvest of its Harvest Share would be a breach of this Agreement for which a Member would be liable for damages. Because each incident of ACE overharvest would constitute a separate violation of the Amendment 16 regulations, and because each such incident would be treated as a prior violation by NMFS for purposes of determining appropriate fines, penalties and forfeitures in connection with a subsequent violation, the damages suffered by the Sector as a result of an overharvest by one or more Members that resulted in the Sector overharvesting its ACE would be consequential and irreparable.

In consideration of these circumstances, and in consideration for the Sector waiving its right to require each Member to obtain a security bond or pledge collateral to secure its obligation to the Sector to limit its harvest of Sector ACE to such Member's Harvest Share, which consideration each Member agrees it has received and is sufficient, the Members hereby agree as follows.

14.1 The Sector, acting through the Manager, has the authority to issue to any Member that the Manager determines is in breach a Stop Fishing Order, and upon such issuance, such Member shall immediately cause all vessels harvesting its Harvest Share to cease doing so, and such Member shall not permit the vessels harvesting its Harvest Share to resume doing so unless and until the Manager rescinds the Stop Fishing Order. Each Member hereby releases the Sector, all other Members and the Manager from any and all liability of any nature whatsoever, including but not limited to both contractual and tort liability, for any direct or indirect, incidental or consequential losses or damages that a Member may suffer as a result of complying with a Stop Fishing Order.

14.2 If any vessel(s) harvesting a Members' Harvest Share does not immediately comply with a Stop Fishing Order in accordance with its terms, the Sector may exercise remedies of self help and take any and all other action as the Sector determines necessary to enforce the Stop Fishing Order and this Agreement, including injunctive relief. In seeking

injunctive relief, the Sector Manager's burden of proof (if any) shall be satisfied by A. (production of a copy of the Stop Fishing Order) and B.(evidence that the vessel continued to fish thereafter).

The Member shall be liable to the Sector for all losses, costs, damages, fees and expenses incurred by the Sector in connection with enforcement, including but not limited to, the costs of obtaining any bond the Sector may be required to post, whether or not the Sector prevails.

15. Permit Transfer/Sale. A Member may transfer a Permit to a party other than a Member, subject to a Right of First Refusal (the "ROFR"), which may be adopted or amended from time to time by the Board. Right of First Refusal, that is extended in accordance with the terms and conditions established by the Board shall be in favor of first, the Active Members, second, the Active Members of the other Northeast Fishery Sectors, and third other interested parties. No Member may transfer such Member's "LA MS" permit or "MRI" permit to a person who is not an Member unless such person assumes all of the transferring Member's obligations under this Agreement as of the effective date of such transfer. A person other than a Member who receives a Member's "LA MS" permit or "MRI" permit from a Member in accordance with this Section 15 (a "Transferee") shall only be eligible to participate in the Sector for the balance of the fishing year during which the transfer occurs, and thereafter may only remain a Sector Member if such Transferee applies for and is admitted to Sector membership in accordance with Section 2, above.

15.1 The Transferee shall be deemed a Non-Active Member of the Sector, with no rights to harvest any Sector ACE, including but not limited to the ACE allocated to the Sector in connection with the assets acquired under the Permit Offer. A Transferee wishing to acquire Active Member status during the fishing year in which the permit transfer occurred, must submit a written request to the Board for consideration. The Board will have the authority to approve, conditionally approve or deny such request.

16. Release and Waiver of All Claims Against Sector Manager; Indemnification and Hold Harmless. Each Member acknowledges that the effectiveness of this Agreement depends on the Manager exercising reasonable independent business judgment in good faith in reviewing

and approving or disapproving Members' fishing plans, monitoring harvest of the Sector's ACE, and enforcing the terms and conditions of this Agreement. Each Member hereby waives and releases any and all claims against the Manager arising out of or relating to Manager's performance under this Agreement, other than those arising solely from the gross negligence or willful misconduct by the Manager, as conclusively determined by a court of final and competent jurisdiction. The Sector and the Members agree to jointly and severally indemnify, defend and hold the Manager harmless from and against any third party claims, damages, fines, penalties and liabilities of any kind whatsoever asserted against the Manager in connection with the Manager's performance under this Agreement, other than those arising out of gross negligence or willful misconduct by the Manager.

17. Sector Membership Fees. At least thirty (30) days prior to the Effective Date, and at least thirty (30) days prior to each annual Termination Date thereafter, the Board shall notify the Members in writing of the amount of Sector membership fees that the Board has adopted for the upcoming year of Sector operations.

18. Binding Arbitration. Each Member and the Sector agree to exercise their best good faith commercially reasonable efforts to resolve any disputes arising under this Agreement through direct negotiations. Breaches of this Agreement which are not resolved through direct negotiation shall be submitted to binding arbitration upon the request of any party at interest. Any person nominated as an arbitrator hereunder by any person shall be a person of mature, sound and reasonable business judgment and experience and either have (a) held a federal fishing master license for at least ten (10) years, or (b) been an attorney at law practicing in the area of fisheries for at least ten (10) years.

The party's written request for arbitration shall include the name of the arbitrator selected by the party requesting arbitration. The respondent party shall have ten (10) days to provide written notice of the name of the arbitrator it has selected, if any. If the other party timely selects a second arbitrator, the two arbitrators will jointly select a third arbitrator within ten (10) days. If the other party does not timely select the second arbitrator, there shall be only the one arbitrator. The single arbitrator or the three (3) arbitrators so selected will schedule the

arbitration hearing as soon as possible thereafter. Any arbitrator must have no material ties to the Sector or any Member. The decision of the arbitrator (or in the case of a three (3) arbitrator panel, the decision of the majority) will be final and binding. The arbitration will be conducted under the rules of (but not by) the American Arbitration Association. The parties will be entitled to limited discovery as determined by the arbitrator(s) in his, her or their sole discretion. All costs of arbitration shall be borne by the party requesting the same. Each party shall bear its own costs of preparation and presentation, unless, in the case of the Sector, the Board determines to assess such costs to the applicable Member, which costs shall be immediately due and payable. In no event will arbitration be available pursuant to this paragraph after the date when commencement of such legal or equitable proceedings based on such claim, dispute, or other matter in question would be barred by an applicable statute of limitations.

The final decision of the Arbitrators shall not be subject to review or appeal by any other person, including any court, with the exception of NMFS in its oversight role for the purposes of statutory and regulatory compliance and consistency. Any right to any such appeal is hereby irrevocably waived and relinquished. Such final decision shall bind the parties and shall not require any further action of enforcement or collection once docketed with the records of the Sector. In breach by any Member of performance thereof, the Manager may *sua* sponte and without any notice or hearing issue a Stop Fishing Order or an Order of Expulsion respecting such Member in breach.

The Sector shall, without limiting the foregoing rights and procedure, also have the right to enforce any decision against any Member in breach by an action for specific performance, declaratory relief, *lis pendens* or any other action in a court of law having jurisdiction of the parties, it being understood and agreed that the Federal court for the District of Massachusetts and the Massachusetts Superior Court for the County where the registered office of the Sector is located shall be deemed to have such jurisdiction

19. <u>No Collective Marketing</u>. The Members acknowledge that the Sector has not been formed or qualified as a collective marketing association. The Members therefore agree that

nothing in this Agreement shall be construed as permitting or obligating Members to collaborate regarding the processing, marketing or sales of the product produced from catch harvested under their Harvest Shares. Each Member shall conduct all sales of such catch in competition with the other Members, and shall hold ex-vessel price information as confidential from other Members until such information becomes public or until such price information is six months old, unless and until the Sector is properly qualified under State and Federal law as a collective marketing association

20. Amendment and Incorporation by Reference. The Exhibits hereto and the collateral documents referred to herein are and shall all be as the same may be amended from time to time. Any amendments thereto or hereto which are approved by the Board shall, as a condition of further membership of any Member in the Sector be deemed without any requirement of acceptance, consent or execution by any such Member to have been adopted, ratified and confirmed by such Member.

[INTENTIONALLY LEFT BLANK]

EXHIBIT A

Sector Membership Fishing Year 2011 (May 1, 2011 to April 30, 2012)

To be provided on September 10, 2010 Roster/Contract Deadline

SECTOR MEMBERS: The following table identifies The NEFS XIII Members:

[INSERT TABLE]

ACTIVE MEMBERS: The following table identifies the Vessels that are authorized to harvest Sector ACE:

[INSERT TABLE]

[INTENTIONALLY LEFT BLANK]

EXHIBIT B

Sector Member and Vessel Permits Amendment 16 Disclosure Requirements Fishing Year 2011 (May 1, 2011 to April 30, 2012)

To be provided on September 10, 2010 Roster/Contract Deadline

The following table represents those Limited Access Multispecies Permits held by Sector Members that were not signed into a Sector:

[INSERT TABLE]

Additional Information on federal and state permits associated with Sector Vessels and Sector Members can be located at TAB 7.

[INTENTIONALLY LEFT BLANK]

EXHIBIT C

HARVESTING RULES

Fishing Year 2011 (May 1, 2011 to April 30, 2012)

The Members and the Participating Vessels of **XIII**, **Northeast Fishery Sector Inc,** *agree to be legally bound to follow the Harvesting Rules for the Fishing Year 2011 as described herein, in accordance with all provisions of the Sectors Operations Plans and Agreement (herein "Agreement"), notwithstanding those rules and regulations applicable to the common pool Multispecies vessels. Members and the Participating Vessels of NEFS XIII will fish in the entire EEZ.*

ANNUAL CATCH ENTITLEMENT: (as determined by NMFS)

GOM Cod	GB Cod	GOM Haddock	GB Haddock	CC/GOM Yellowtail Flounder	GB Yellowtail Flounder:	SNE/MA Yellowtail Flounder
	Eastern:		Eastern:			
	Western:		Western:			
Pollock	Redfish	White Hake	American Plaice	GOM Winter Flounder	GB Winter Flounder	Witch Flounder

1. ANNUAL CATCH ENTITLEMENT: The members agree that they will not collectively harvest more than the Sector ACE, as adjusted by transfers, for any allocated groundfish stocks. Furthermore, the members agree that once an annual ACE is reached no member will fish commercially with any fishing gear capable of catching any of the allocated groundfish stocks or other species managed under plan within the applicable area(s): except in those situations where a member is participating in an exempted fishery. The Sector members may resume fishing activities if additional ACE is secured through inter-sector ACE transfer.

2. QUOTA MANAGEMENT: Sector vessels, the dealers to which they are delivering fish and dockside monitors will use a PC based software for collecting data, reporting catch, landings and discards, and reporting catch area information for logbook and stock attribution purposes. The Sector will utilize a quota release program that sets forth overall sector quota (ACE) release targets by species and individual member Harvest Share targets as they relate to the Sector

targets. Interim and annual targets will be considered in the development of the Sector's Fishing Plan. The Sector Manager will monitor the trajectories to interim and annual targets for the Sectors ACE's as well as for the individual members Harvest Shares. The Sector expects to utilize ACE Transfers to balance the Sector's ACE during the fishing year to prevent exceeding Sector ACE and to assist Members Harvest Share management.

3. <u>RESERVE</u>: For each stock held by the Sector, the quota release program will utilize an initial target trajectory that is not to exceed 90% of the current quota held by the Sector as adjusted by ACE transfers. The remaining 10% is the minimum aggregate total of the RESERVE buffer system. The Sector, through their Board, may alter the RESERVE holdback percentages for any or all stocks held by the Sector to prevent under harvest of the Sector's ACE.

4. <u>SLOWING CATCH:</u> The quota release program will incorporate a list of thresholds for both Sector ACE and member Harvest Shares, for the purposes of alerting the Sector Manager and members. Thresholds to "Slow Catch", "Initiate Trading" and "Cease Fishing" will be incorporated into the Sector quota monitoring system. Members Harvest Shares are net from the Reserve. Therefore, Harvest Shares trajectories will be set to the Harvest Share. Once 90% of any Sector ACE is attained, slowing mechanisms such as tiered landing limits that apply differential counting of quota or service fees to each tier in excess of agreed landing limits may be utilized. When such slowing mechanisms are triggered or at any time during the fishing year, the Board may direct the Sector Manager to seek additional ACE through an ACE transfer with other sectors.

5. <u>FULL RETENTION OF LEGAL SIZED FISH</u>: All legal sized fish of allocated stocks harvested during the fishing operations must be retained and counted against the Sector's ACE allocation.

6. <u>DAYS AT SEA</u>: Each participating permit and participating vessel will be allocated Days-At-Sea (DAS) by the Regional Administrator. Sector Member permits will not be subject to the DAS reduction proposed in Amendment 16 for common pool vessels. Members will be required to use an "A DAS when conducting fishing operations that are not exempted from DAS usage, for example, when fishing under a monkfish DAS.

7. <u>STOCK AREA DECLARATION</u>: Prior to leaving port, sector vessels will declare one or more than one of the four stock delineation areas as identified in Amendment 16 and relating implementing regulations.

8. <u>START TRIP NOTICE</u>: Sector vessels will copy the Sector Manager on all trip start messages required through the Dockside Monitoring Program.

9. <u>VESSELS FISHING MULITPLE STOCK AREAS</u>: If a vessel declares into multiple stock areas the vessel will complete a catch report each time the vessel changes areas.

10. <u>FISHING IN US/CA AREAS</u>: When fishing in the US/CA area, a sector vessel that fishes in more than one US/CA area or more than one of the four stock areas will complete a catch report each time the vessel changes areas. Sector vessels will track their Eastern US/CA sub-ACE for Cod and Haddock separately while fishing in the Eastern Area. Sector vessels may fish in all US/CA areas as well as Open areas in the same trip. In addition to VMS declaration requirements, the vessel will declare the stock areas (of the Four A16 reporting areas) intended to be fished prior to starting a trip.

11. <u>CLOSED AREAS</u>: Participating vessels may fish in closed areas to the extent authorized by NMFS.

12. <u>CATCH REPORTS</u>: All Active Member vessels fishing groundfish will be required to submit complete catch records to the Sector Manager electronically via VMS email or other electronic means prior to entering port to End a Trip. Catch reports will include, at a minimum, all data elements of fully compliant VTR logbook record/s and the Dockside Monitoring Program. The Sector Manager may modify, at his/her discretion, the frequency of reporting transmissions to meet programmatic (SAP) or internal quota management requirements. In the event a Member vessel is unable to submit his catch records electronically, the Member, will have no more than twenty-four (24) hours to provide such reports to the Sector Manager, upon completion of vessel offload.

13. <u>VESSEL LOGBOOKS (VTRs/e-VTR)</u>: All sector members will comply with applicable reporting requirements including submission of Vessel Trip Reports (VTRs). If Electronic Vessel Trip Reports (e-VTRs) are approved by the Regional Administrator, Sector Members will submit e-VTRs in the format required by the FSO and NERO. Sector Members will execute all documents necessary to meet legal requirements for the purpose of facilitating e-VTR service.

14. <u>WEEKLY REPORTS</u>: The Sector Manager will submit Weekly Sector Reports of all landings and discards by sector vessels, to NMFS, as required. The Sector will submit required reports, using the format and procedures prescribed by NMFS. Weekly Reports must include any violations and/or investigative referrals. The reports required by NMFS are the *Sector Manager ACE Status Report, Sector Manager Detailed Report, and Sector Manager Trip Issue Report* as codified in §648.87(b)(1)(vi)(B).

14.1 **REPORTING THRESHOLDS THAT TRIGGER DAILY REPORTING**: The reporting due date for the sector manager's weekly report will be increased to daily

when either 80% of any of the sector's ACEs is reached, or when, for two consecutive weekly reporting periods 20% or more of the remaining portion any ACE is harvested, whichever occurs first. Thus, for the latter trigger point, if a sector in one week harvests 25% of the remaining ACE for Gulf of Maine cod for that sector, and the following week harvests 22% of that ACE, the trigger will have been reached and sector reporting will be changed to daily. The Sector Manager, or a designated representative, will notify NMFS immediately by phone, email, or mail if this, or an agreed upon alternative threshold has been meet that triggers daily reporting.

An alternative threshold for increasing reporting frequency may be implemented during FY 2011 if agreed upon by the sector and NMFS.

15. <u>ANNUAL REPORT</u>: Within sixty (60) days of the end of the fishing year the Sector Manager will submit an annual report to NMFS and the Council that summarizes: fishing activities of Members, including harvest levels of all species by sector vessels (landings and discards by gear types); enforcement actions; and any other relevant information required to evaluate the performance of the Sector. In addition, the Annual Report will report the number of sector vessels that fished for regulated groundfish and their permit numbers (when such disclosure does not violate protection of confidentiality); number of vessels that fished for other species; method used to estimate discards; landing port used by sector vessels while landing groundfish; and any other additional information requested by the Regional Administrator for inclusion in the Annual Report. The Sector will submit required reports using the format and procedures prescribed by NMFS.

16. <u>DATA RECONCILIATION</u>: The Sector Manager will verify that Dealer and Dockside Monitor (if the trip is monitored) are consistent. The Sector will receive the data electronically to expedite and automate data reconciliation. If a discrepancy is detected, the Sector Manager will notify the DM vendor and the Dealer of the discrepancy and will note discrepancies on the weekly report until resolved. For the purpose of monitoring Sector ACE and preventing ACE overages, the Sector Manager will consider the higher value(s) of landings from each report (Dealer Weigh Out Slip and DSM) until the discrepancy is reconciled. The Sector Manager will work with the DSM and Dealer and keep a reconciliation record and/or document signed by the dealer and the DSM vendor once reconciled.

17. <u>STOCK ATTRIBUTION</u>: The Sector Manager will utilize landings information from each trip and apply logbook area information to calculate stock attribution ratios for all applicable species.

18. <u>DISCARD RATES AND IN-SEASON DISCARD ESTIMATES</u>: The Sector manager (or his designated representative) will derive stock specific discards for each trip. If the trip is observed by either an ASM or a NEFOP observer, discards will be derived based on data collected during that trip and will account for all hauls (observed and unobserved) on that trip. If the trip is not observed, discards will be derived using the NMFS-provided discard rate resulting from the NMFS (peer-reviewed and approved) method to estimate 'in-season' discard rates.

19. <u>ADMINISTRATIVE EXEMPTION</u>: In order to facilitate electronic data transmission from the sector's vessels to a data collection and distribution web portal, an administrative exemption may or may not be required to allow the server to relay catch reports and logbook data on behalf of sector member vessels.

20. <u>DATA MANAGEMENT</u>: The sector vessels will be transmitting catch data electronically via the email messaging component of their VMS units. All data necessary for sector ACE management, including all elements of VTR logbook and daily / weekly reporting requirements will be sent in compressed formats to minimize characters and maximize message capacity. Notwithstanding reporting requirements that cannot be altered by a sectors operations plan, the **<u>Sector's server</u>** will be capable of collecting, storing, converting and relay all data elements necessary to meet all reporting requirements in the formats required by the recipients

21. <u>PROOF OF SECTOR MEMBERSHIP</u>: Upon approval of the Sector, each sector vessel will be issued a Letter of Authorization ("LOA"). Each Member agrees that its sector vessels shall maintain the LOA on-board at all times while fishing for groundfish, as well as a copy of the Sector Agreement and Harvesting Rules. Furthermore, Members acknowledge that the Vessels must comply with all applicable Federal regulations not specifically exempted in the LOA.

22. <u>SECTOR SPECIFIC EXEMPTIONS</u>: In addition to the Universal Exemptions granted to all Sectors, as referenced in §4.0 of the Agreement, Members agree to abide by the following obligations, as Authorized in their LOA, in order to utilize these Sector Specific Exemptions:

22.1 <u>120 DAY BLOCK REQUIREMENT OUT OF THE FISHERY FOR GILLNET VESSELS</u>: Sector vessels utilizing gillnets will be exempted from the 120 Block requirement articulated in 50 CFR § 648.82(j)(1)(ii).

22.2 <u>20 DAY SPAWNING BLOCK</u>: Sector vessels will be exempted from taking the 20 day spawning block out of the fishery that is required of all vessels.

22.3 LIMITATION ON THE NUMBER OF GILLNETS FOR DAY GILLNET VESSELS: Sector vessels' utilizing gillnets will be exempted from the number of gillnet restriction imposed on day category gillnet vessels, members utilizing this exemption agree not to exceed 150 nets per permit.

22.4 LIMITATION ON THE NUMBER OF GILLNETS THAT MAY BE HAULED ON GEORGES BANK WHEN FISHING UNDER A GROUNDFISH/MONKFISH DAS: Sector Vessels are exempted from the requirement that limits the number of gillnets (fifty) that may be hauled to while fishing on a groundfish and monkfish DAS on Georges Bank.

22.5 LENGTH AND HORSEPOWER RESTRICTIONS OF THE DAS LEASING PROGRAM: Members will be exempted from the length and horsepower restrictions imposed on DAS leasing for NEFS XIII participating members and those members of other sectors that are granted this exemption.

22.6 EXEMPTION FROM THE PROHIBITION OF DISCARDING LEGAL SIZE FISH AT SEA: In In the event that a Sector Vessel encounters unmarketable fish, as determined by the captain, the vessel will be exempted from the prohibition of discarding legal size fish at sea. The Sector acknowledges that if this exemption is approved and thereby implemented by their Sector, the discards of legal size unmarketable fish will be contributed to the discard methodology adopted by NMFS and applied to all un-observed trips. The Sector will notify NMFS prior to publication of the final rule, whether the sector wishes to utilize this exemption or remove it from their Harvesting Rules.

22.7 EXEMPTION FROM DOCKSIDE MONITORING COVERAGE LEVEL: Sector Vessels will be exempt from dockside monitoring coverage.

22.8 EXEMPTION FROM DOCKSIDE MONITORING COVERAGE FOR DIRECTED MONKFISH, SKATE AND DOGFISH TRIPS: In the event the Sector is not exempted from all dockside monitoring requirements, Sector vessels that are on a directed monkfish, skate or dogfish trip will be exempt from dockside monitoring.

22.9 EXEMPTION FROM PROHIBITION ON PAIR TRAWLING: Sector vessels will be exempted from the pair trawl prohibition instituted in Amendment 5, codified at 648.80(g)(3). Vessels engaging in pair trawling will be limited to utilizing either the Rhule Trawl or Eliminator trawl.

22.10 YEAR ROUND ACCESS TO EASTERN US/CA AREA: Members/Vessels acknowledge and agree that they are granted permission to access the Eastern US/CA Area year round, regardless of projections that the hard TAC applicable to the common pool will be caught. Members

further acknowledge that access to this area on an accessible basis is conditioned on the Vessels availability of ACE.

22.11 <u>EXEMPTION FROM DISCARD RATES AND K-ALL CALCULATION FOR STOCKS THAT HAVE BEEN SUBDIVIDED BETWEEN THE COMMERCIAL AND RECREATIONAL FISHERIES</u>: The Sector will be exempt from calculating discard rates and including k-all for those stocks that have been sub-divided between the commercial and recreational fisheries.

22.12 <u>EXEMPTION FROM DOCKSIDE MONITORING IN THE MONTHS OF MAY AND JUNE ON NON-DIRECTED GROUNDFISH TRIPS THAT OCCUR IN SPECIFIC STATISTICAL AREAS</u>: In the event the Sector is not exempt from all dockside monitoring requirements, Sector vessels will be exempt for those non-directed groundfish trips taken during the months of May in June in any of the following statistical areas: 614, 615, 616, 621, 622, 623, 625, 626, 627, 631, 632, 633, 635, 637, and 638.

22.13 <u>EXEMPTION FROM THE MINIMUM SIZE RESTRICTIONS I.E. 100% RETENTION</u>: Sector vessels acknowledge, that they are exempt from the minimum size restriction imposed on allocated stocks. Sector vessels utilizing this exemption will be authorized to land all allocated stocks which will be deducted from the Sectors ACE.

22.14 <u>EXEMPTION FROM THE 6.5" CODEND MINIMUM MESH SIZE REQUIREMENT FOR TRAWL GEAR FOR SECTOR TRIPS UTILIZING A 5" MESH SIZE FOR TARGETED REDFISH TRIPS</u>: Sector vessels will be authorized to utilize a 5" mesh codend with trawl gear for directed redfish trips. Sector Vessels utilizing this exemption, must notify their Manager a minimum of 48 hours in advance of their trip and are required to have 100% observer coverage for all trips utilizing this gear. Furthermore, Sector Vessels utilizing this exemption will be required to submit daily catch reports to their Sector Manager, to ensure catch is harvested within the sectors ACE.

22.15 <u>EXEMPTION FROM ASSUMED DISCARD RATES FOR DIRECTED BAIT SKATE TRIPS, WHEN AN OBSERVER IS NOT PRESENT</u>: Sector vessels fishing under a Bait Skate Letter of Authorization, will be exempted from having an assumed discard rate deducted from their harvest share, and subsequently the Sector ACE for unobserved bait skate trips occurring during the months of June, July, August and September, in an area defined by straight lines connecting the following points, in order:

(1) The shoreline where 40°40' latitude meets Long Island;
(2) 40°40'N 71°40'W;
(3) 41°00'N 71°40'W;

(4) 41°00'N 71°00'W;
(5) The shoreline where 71°00' longitude meets southeastern MA.

22.16 <u>AUTHORIZATION TO UTILIZE THE 250 X 40 CM ELIMINATOR TRAWL™ FOR SECTOR VESSELS:</u> Sector Vessels acknowledge that they are authorized to use an Eliminator Trawl™ with a fishing circle of 250 x 40 cm and larger, in all areas and for all purposes in which Eliminator Trawl™ (aka the "Ruhle trawl") has been approved; including but not limited to Eastern U.S./Canada Area SAP and potential discard strata.

22.17 <u>ADMINISTRATIVE EXEMPTION</u>: In order to facilitate electronic data transmission from the Sector's vessels to a data collection and distribution web portal, an administrative exemption may or may not be required to allow the server to relay catch reports and logbook data on behalf of sector member vessels.

22.18 Exemption from Monitoring for Non-Allocated Species: To allow the offloading of non-allocated species before the arrival of a Dockside Monitor.

23. <u>DOCKSIDE MONITORING PROGRAM</u>: The Sector plans on working collaboratively with certified Dockside Monitoring Provider(s) ("Provider") to ensure that implementation of the dockside monitoring program adheres to applicable NMFS Dockside Monitoring Standards as codified in 50 CFR 648.87(b)(l)(v)(B); as well as any internal needs that the Sector deems necessary. The following providers were approved by NMFS for FY 2010:

A.I.S., Inc
Atlantic Catch Data Limited
East West Technical Services LLC
MRAG Americas, Inc.
Saltwater Inc.

While NMFS has not yet approved any providers for FY 2011, the sector will contract a NMFS-approved provider for dockside [and/or] at-sea monitoring services before May 1, 2011, and notify NMFS of its selection. The sector will contract a provider from the following list that has been approved by NMFS to provide dockside [and/or] at-sea monitoring services before May 1, 2011 and notify NMFS of its selection:

If a vendor in which the Sector has contractual arrangements with is decertified during the fishing year, the Sector will negotiate new contractual arrangements with another certified vendor(s) and notify NMFS of these new agreements.

23.1 <u>RANDOMIZED DSM COVERAGE LEVELS</u>: Dockside monitoring (DSM) will be deployed by the sector in accordance to the DSM operational standards found at 50 CFR 648.87(b)(5). The sector will work with the DSM provider to achieve the coverage level specified in the regulations at 50 CFR 648.87(b)(1)(v)(B)(*3*)(*i*) using a methodology approved by NMFS and implemented by the monitoring vendor and the Sector Manager.For trips less than 6 hours in length or occurring within 6 hours of port, the estimated time of arrival to port will be provided in the trip start hail. The trip end hail will be sent upon completion of the last tow with required updated information. An alternative timing for the trip end hail may be implemented during FY 2011 if agreed upon by the sector, the monitoring provider, and NMFS.

23.2 <u>DATABASE MANAGEMENT</u>: The Sector, acting through its Manager, will maintain database(s) of vessel trip reports (VTR), dealer, At Sea (ASM), NEFOP Observer, and Dockside (DSM) monitoring reports. In addition, the Sector will maintain any other database it determines necessary for its operations.

23.3 <u>METHOD OF TRANSMISSION OF HAILS</u>: The sector vessels will be transmitting HAILS (Trip Start and Trip End) electronically via the email messaging component of their VMS units. All data necessary to meet DSM requirements will be sent in compressed formats to minimize characters and maximize message capacity directly to the **<u>Sector's Server</u>** which will collect, store, convert and relay all data elements necessary to meet DSM, FSO and OLE requirements. The Sector will relay required HAILS (Trip State and Trip End) to NMFS, immediately upon receipt. In the event that the primary system is unavailable, Sector Vessels will utilize a backup system, including but not limited to, direct cell phone or radio transmission between the vessel and DSM vendor <u>OR</u> a relay through the Sector
Manager.

<u>23.4 USE OF DOCKSIDE MONITORING SERVICES</u>. The Members acknowledge that for the Sector to function efficiently, it is essential that the Active Members conduct their fishing operations such that dockside monitoring service costs are kept as low as commercially practical amount. The Active Members therefore agree to provide

accurate landing time projections, to make landings expeditiously, and to choose landing locations based in part on the efficiency and responsiveness of the buyer receiving catch harvested under the Sector's ACE. Active Members who fail to comply with the provisions of this Section may be assessed the excess cost of dockside monitoring resulting from their failure to do so.

23.5 SAFE HARBOR PROTOCOL: To promote safety at sea, the Sector sets forth the following protocol for variance from the landing ports listed. If for reasons beyond a vessel operators control such as severe weather, mechanical failures, compromised hull integrity, instances of pump failures and danger of sinking, crew injury or life threatening illness and any other emergency situations that may arise, a sector vessel may enter a port other than those listed as "Landing Ports" to ensure the safety of the vessel and its crew. If the vessel has been selected for dockside monitoring, the vessel will not offload fish, until a dockside monitor is present, or a waiver has been granted. The vessel operator must contact the Dockside Monitoring vendor to obtain a waiver from dockside monitoring for the trip. The vessel operator may request and be granted a waiver from dockside monitoring due to the situations described here in Section 23.7. In addition, the vessel operator may request and be granted a waiver from dockside monitoring if either the Coast Guard ("CG"), Environmental Police ("EP"), or NOAA's Office of Law Enforcement ("OLE") are on scene and determine it is necessary for offloading to occur in order to improve a safety or vessel integrity concern.

23.6 OFFLOADING PORTS: The following list represents those ports where sector vessels are authorized to offload. Additionally, sector vessels are authorized to land fish to trucks within these same locations.

Primary Port(s) of Landing	Secondary Port(s) of Landing
Massachusetts: New Bedford, Boston, Gloucester Rhode Island: Point Judith	Connecticut: Stonington Massachusetts, Hyannis New Jersey: Cape May New York: Greenport, Montauk

24. AT-SEA MONITORING PROGRAM: The Sector will be participating in the NMFS funded at-sea-monitoring program for FY2011. In the event, the Sector chooses to increase at-sea coverage beyond that which is funded and managed by NMFS, the sector will secure a service arrangement with one or more of the certified ASM vendors and assume all costs and responsibilities associated with this private contractual arrangement. Any additional at-sea

monitoring coverage beyond the NMFS-funded level (currently 30 percent, see NMFS, Letter to Sector managers and Representatives (August 20, 2009)) will be provided pursuant to an independent contract between the Sector and a NMFS approved provider. This additional at-sea monitoring coverage will have vessel and trip selection coordinated through NMFS, will be conducted in the same manner with the same protocols as the NMFS-funded ASM program, and will use approved at-sea monitors. Also, this additional coverage will not be allowed to replace or interfere with either the coverage of NEFOP or the NMFS-funded at-sea monitoring program.

25. <u>SECTOR UNDERSTANDING AND ACKNOWLEDGMENTS</u>: Sector Members understand and acknowledge that the following provisions have been interpreted by NMFS as applicable to all operating sectors. Sector Members acknowledge this applicability and where appropriate utilize these universal interpretations within their sector management and operations:

25.1 <u>INTRA-SECTOR DAYS AT SEA (DAS) LEASING</u>: Days at Sea may be leased intra-sector (between members) within the guidelines and procedures contained in the FMP and as amended by Amendment 16. The Sector would accept any future relief in the length and horsepower constraints of the program that may be authorized by the RA in the future.

25.2 <u>INTER-SECTOR DAYS AT SEA (DAS) LEASING</u>: Members who wish to lease Days-at-Sea (DAS) outside of the Sector are authorized under this provision to do so, only with Members of other Sectors whom are similarly exempt. Members acknowledge that such DAS leasing would not be exempted from existing length and horsepower constraints as currently contained in applicable regulations.

25.3 <u>PAPER VTR</u>: Members acknowledge that they are bound to all applicable reporting requirements. Sector vessels shall continue using paper VTRs for FY 2011, as required by regulations. However, should electronic vessel trip reporting (e-VTR) systems for transmission and submission of required VTR reports be authorized by the Regional Administrator in FY 2011, this Sector reserves the option to utilize this reporting method.

25.4 <u>ADDITIONAL EXEMPTIONS</u>: Members note that NMFS is generating one Environmental Assessment for all sectors seeking authorization for Fishing Year 2011, and that NMFS communication has stated that if an exemption is approved for one Sector, all other authorized Sectors can be similarly approved for that specific exemption based on the terms and conditions of the originally requesting sector. In light of this understanding, NEFS XIII will request authorization for such exemptions it deems beneficial for its operations, prior to the publication of the final authorizing rule.

1

2

3

4

5

6

7

8

9

10

1

EXHIBIT D

NEFS XIII Penalty Schedule			
VIOLATION REGARDING REPORTING, DOCUMENTATION REQUIREMENTS:			
VIOLATION	**FIRST OFFENSE**	**SECOND OFFENSE**	**THIRD OFFENSE**
All violations including but not limited to: providing false statements or supporting documentation on applications or reports to the Sector; late reporting or non-reporting; unreasonable interference with onboard and dockside data collectors; failing to participate in Sector Catch Monitoring Programs; (technical and minor violations may result in a letter of warning).	Written Warning **or** up to $5000.00	Written Warning **and** up to $7,500.00.	Written Warning **and** up to $10,000.00 **and/or** stop fishing order.
VIOLATION REGARDING EXEMPTION PERMIT REQUIREMENTS			
All violations including but not limited to: failure to comply with a permit condition/restriction/letter of authorization issued to Sector Vessels by the Regional Administrator; or failure to comply with VMS/DAS requirements. (technical and minor violations may result in a letter of warning).	Written Warning **or** up to $10,000.00	Written Warning **and** $10,000.00-$50,000.00.	Written Warning **and** up to $100,000.00 **and/or** stop fishing order.
VIOLATION REGARDING TIME/AREA/GEAR RESTRICTIONS			
All violations including but not limited to: exemption areas, closed fisheries, closed season, restricted gear/management areas. (technical and minor violations may result in a letter of warning).	Written Warning **or** up to $20,000.00	Written Warning **and** $20,000.00-$50,000.00.	Written Warning **and** up to $100,000.00 **and/or** expulsion.
VIOLATIONS THAT PLACE THE SECTOR AGREEMENT AT RISK			
All violations including but not limited to a violation of a stop fishing order, fishing in a closed area, transfer of fish from non-sector vessel to a sector vessel, transfer of fish from sector vessel to a non-sector vessel; subverting the reporting requirements or any other action so egregious that it would severely jeopardize the Sectors existing and future authorization(s).	Written Warning **and** up to $50,000.00 **or** stop fishing order.	Stop fishing order **or** Expulsion.	Expulsion.

Administrative Provisions Addendum:

Notwithstanding regulatory authority granted in other regulations the following provisions represent those sections of **NEFS XIII** Agreement and related Exhibits that are Administrative in nature and therefore not subject to enforcement by the National Marine Fisheries Service, as required to be specified by sector regulations 50 CFR 648.87(b)(2)(x).

SECTOR OPERATIONS PLAN AND AGREEMENT

1. Sector Name.

2. Sector Eligibility and Membership.

4. Sector Allocation and Exemptions.

5. Distribution of Sector ACE.

6. Sector Manager and Registered Agent.

6.1 Communication with Sector.

7. Consolidation Plan.

7.1 Harvest Share Reserve

7.2 Harvest Share Use. Section 7.2 is administrative except to the extent that it applies to the Sector managers ability to impose and utilize legal means to recover Liquated damages as authorized in section §10.10 of this agreement, in which case NMFS enforcement procedures may apply.

7.2.1 Non-Active Members. Section 7.2.1 is administrative except to the extent that it applies to the Sector managers' ability to impose and utilize legal means to recover damages as authorized in section §10.10 of this agreement, in which case NMFS enforcement procedures may apply.

7.3 Harvest Share Transfer.

7.4 Harvesting Rules and Fishing Plan. Section 7.4 is administrative except to the extent that it applies to Harvesting Rules Sections 1, 5, 6, 7, 11, 17, and 18, which are enforceable and therefore not considered administrative under this section.

7.5 Re-direction Of Effort.

7.6 Sector Vessel Interactions with Allocated Species in Non-Amendment 16 Fisheries.

7.7 Consolidation and Redistribution of ACE:

8. Release of Catch Data.

9. Catch Monitoring and Reporting. Section 9 is administrative except to the extent that it applies to Harvesting Rules Sections 13, 14, and 15, which are enforceable and therefore not considered administrative under this section.

10. Breach and Remedies for Breach.

10.1 Liquidated Damages Schedule and Schedule Amendments.

10.2 Enforcement Committee.

10.3 Liquidated Damages Base Value and Multiplier Adoption.

10.4 Liquidated Damages Calculation.

10.5 Notice to Vessel Masters; Assumption of Liability.

10.6 Liquidated Damages Security.

10.7 Manager Action in Response to Apparent Breach.

10.8 Member Appeals.

10.9 Voluntary Compliance.

10.11 Consequential Damages for Gross Negligence or Willful Misconduct.

10.12 Distribution of Damages.

11. Joint Liability and Indemnification.

12. Membership Termination

15. Permit Transfer/Sale.

16. Release and Waiver of All Claims Against Sector Manager; Indemnification and Hold Harmless.

17. Sector Membership Fees.

18. Binding Arbitration.

19. No Collective Marketing.

20. Amendment and Incorporation by Reference.

EXHIBIT C

HARVESTING RULES

2. QUOTA MANAGEMENT:

3. RESERVE:

4. SLOWING CATCH:

8. START TRIP NOTICE:

12. CATCH REPORTS:

16. DATA RECONCILIATION:

19. ADMINISTRATIVE EXEMPTION:

20. DATA MANAGEMENT:

22. SECTOR SPECIFIC EXEMPTIONS:

- 22.2 Administrative Exemption

23. DOCKSIDE MONITORING PROGRAM: Section 23 is enforceable except for the sub-sections, 23.2, 23.3, 23.4, which are administrative.

24. AT-SEA MONITORING PROGRAM: In the event that the Sector chooses to increase at-sea monitoring coverage, above that which is funded and managed by NMFS, the additional sector funded monitoring will be administrative, except in those specific situations where NMFS enforcement would apply.

Exhibit D is administrative.

EXPLANATORY ADDENDUM

Per request by NMFS this **explanatory text** is being provided to identify in one location Right of First Offer ("ROFO") and Right of First Refusal ("ROFR"). ROFO and ROFR are two separate and distinct provisions that deal with harvest share transfers and permit sales, respectively; it is inaccurate to construe them as meaning the same thing. Nothing within this explanatory addendum should be considered as part of the Sector governing documents which the Members have agreed to follow, all questions regarding these provisions should be directed to their respective sections in the governing documents:

§ 7.3 Harvest Share Transfers: Right of First Offer i.e. ROFO will be used for intra and inter sector harvest share transfers.

§ 15 Permit Transfer/Sale: Right of First Refusal i.e. ROFR will be used for permit sales or transfers.

SECTOR	Owner/Entity	Permit #	MRI #
XIII	L&H Fishing Co	330517	879
XIII	Tropico Fishing Co	410210	1019
XIII	Salt Pond Fisheries Inc	410326	1084
XIII	Yankee Pride Fisheries Inc.	410514	1168
XIII	Mattice and Maren Inc	320127	556
XIII	Blue Water Fisheries Inc	410273	1062
XIII	River Center Marine LLC	330354	811
XIII	Karen Sue Inc.	410432	1093
Xiii	Karen Sue Inc.	330487	869
XIII	Rose Marie Inc.	410367	1108
XIII	FJM Fisheries LLC	150615	626
XIII	Amanda L Inc.	230851	181
XIII	Buzzards Bay Fishing Co.	410298	1074
XIII	Curlew II Inc.	330084	692
XIII	S & S Fisheries Inc.	330383	826
XIII	J.F.H. Fisheries Inc.	310455	527
XIII	Rennaisance Trawling Corp	330339	803
XIII	Liesure Coast Fisheries	320583	639
XIII	Mark Phillips	330549	892
XIII	Mark Phillips	330281	1704
XIII	Jesse Jean Enterprises Inc.	410344	1095
XIII	Seafarer Enterprises Inc.	330493	871
XIII	Michael Doyle	310100	469
XIII	V.B.Too Inc.	410349	1099
XIII	Pontos Fisheries Inc.	410154	1607
XIII	Good Shepard Fisheries Inc.	410166	988
XIII	Heritage Fisheries Inc.	310439	523
XIII	Nat W Inc.	310360	648
XIII	Evening Prayer Fisheries Inc.	320419	1954
XIII	Tenacious Fishung Corp.	320699	516
XIII	TCM Fisheries Inc.	330579	916
XIII	Sea Quest Fisheries Inc.	241153	873
XIII	L & G Fisheries LLC	410588	1118
XIII	Wise Fisheries Inc	330322	795
XIII	Jennifer Fisheries Inc	330739	1524

Vessel Name	Active Vessel
F/V Seel	yes
Tropico	yes
Karen Elizabeth	yes
Yankee Pride	yes
Mattie & Maren	yes
Megan Marie	yes
Anne Kathryn	yes
Debbie Sue	yes
Margaret Holley	yes
Rose Marie	yes
F/V 2007Walker Bay	no
Mary L	yes
Buzzards Bay	yes
Curlew II	yes
Mischief	yes
James & Mathew	yes
Excalibur	yes
Hope & Sydney	yes
F/V Illusion	yes
F/V Predator	no
Seafarer	yes
Charles Pride	no
Valiant Lady	no
Vic-Ter-Rae	yes
Pontos	yes
Provider	yes
Heritage	yes
Tradition	yes
Evening Prayer	yes
Tenacity	yes
Conor & Michael	yes
Emilia Rose	yes
Jason & Danielle	yes
Johanna Lenore	yes
Mary Elena	yes

Owner/Entity	Vessel Name	MRI	Fed. Permit	Hull #	RI Fluke Exemption Certificate No. **
L&h Fishing Co.	F/V Seel	879	330517	646423	
Tropico Fishing Co.	F/V Tropico	1019	410210	609937	
Salt pond Fisheries Inc.	Karen Elizabeth	1084	410326	663444	
Yankee Pride Fisheries Inc.	Yankee Pride	1168	410514	944788	
Mattice and Maren Inc.	Mattie&Maren	556	320127	519505	103
Blue Water Fisheries Inc.	Megan Marie	1062	410273	623578	
River Center Marine LLC	Anne Kathryn	811	330354	604162	163
Karen Sue Inc.	Debbie Sue	1093	410432	926487	
Karen Sue Inc.	Margaret Holley	869	330487	630336	2
Rose Marie Inc.	Rose Marie	1108	410367	680986	90
FJM Fisheries LLC	F/V Walker Bay	626	150615	NJ3223HB	
Amanda L Inc.	Mary L	181	230851	643240	93
Buzzards Bay Fishing Co.	Buzzards Bay	1074	410298	624947	
Curlew II Inc.	Curlew II	692	330084	297129	
S&S Fisheries Inc.	Mischief	826	330383	614835	165
J.F.H. Fisheries Inc.	James&Mathew	527	310455	659866	111
Renaissance Trawling Corp.	Excalibur	803	330339	607406	74
Liesure Coast Fisheries	Hope&Sydney	639	320583	637907	4
Mark Phillips	F/V Illusion	892	330549	655467	142
Mark Phillips	F/V Predator	1704	330281	549497	
Jesse Jean Enterprises Inc.	Seafarer	1095	410344	675048	34
Seafarer Enterprises Inc.	Charles Pride	871	330493	613515	18
Michael Doyle	Valiant Lady	469	310100	278000	140
V.B.Too Inc.	Vic-Ter-Rae	1099	410349	674825	17
Pontos Fisheries Inc	Pontos	1607	410154	596232	110
Good Shepard Fisheries Inc	Provider	988	410166	599943	
Heritage Fisheries Inc.	Heritage	523	310439	656114	179
Nat W Inc.	Tradition	648	310360	619934	113
Evening Prayer Fisheries Inc.	Evening Prayer	1954	320419	538893	
Tenacious Fishing Corp.	Tenacity	516	320699	688168	
TCM Fisheries Inc.	Conor & Michael	916	330579	596771	185
Sea Quest Fisheries	Emilia Rose	873	241153	912995	94
L&G Fisheries	Jason&Danielle	1118	410588	1183113	85
Wise Fisheries Inc	Johanna Lenore	795	330322	605425	181
Jennifer Fisheries Inc.	Mary Elena	1524	330739	970254	92

**** The possession limit for vessels which do not possess a RI Summer Flounder Exemption Certificate is 20**

Mass permit	Offshore Lobster	Offshore clam	Endorsements
6932	lma3	OCLMA	fluke
117535	lma3	OCLMA	sea bass
132122	ima3	OCLMA	scup,sea scallop sucking,shellfish
149793	ima2,ima3	OCLMA	sea scallop sucking
157727	lma2,lma3	OCLMA	cap-squid,fluke,scup,sea bass,striped bass
152170	lma3		sea scallop sucking
134928	lma2,lma3		scup
134929	lma2,lma3		scup
75482	lma2,lma3	OCLMA	dog fish,fluke,northern shrimp,scup,sea bass
62395	lma3	OCLMA	fluke,scup,sea bass,sea scallop sucking,shellfish
138845	lma3	OCLMA	fluke,scup,sea basss,seascallop sucking
7921	lma3	OCLMA	fluke,scup,sea bass,sea scallop sucking,shellfish
150040	lma2,lma3	OCLMA	cap-sea herring,cap-squid,dogfish,fluke,norther
107745	lma3		scup,sea bass
147670	lma2,lma3		scup,sea bass,sea scallop sucking
135110	lma3		dog fish,fluke,northern shrimp,scup,sea bass,se
91908	lma2,lma3	OCLMA	fluke,dog fish,sea herring,seascallop sucking
72503	lma2,lma3		dog fish,fluke,scup,sea bass,striped bass
78068	lma2,lma3		dog fish,fluke,scup,sea bass,striped bass
113386	lma3		scup,sea bass
138205	lma2		fluke
132215	lma2,lma3		sea scallop sucking
133711	lma3		fluke,scup,sea bass
139066			cap-squid,fluke,horseshoe crab biomedical,scup
152939	lma2,lma3		
157066	lma3		scup,sea bass,sea scallop sucking
141156	lma2,lma3		fluke,scup,sea bass

0 pounds per day.

n shrimp,quahog dredge,scup,sea bass,scallop sucking

a herring,sea scallop sucking,shellfish,striped bass

),sea bass,shellfish

NORTHEAST FISHERY SECTOR 13.
SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 3rd day of SEPT., 2010, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS 13 Sector Operations Plan and Agreement dated effective as of September 1, 2010 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS 13 (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS 13 has adopted **[April 1, 2011]** as the final date in which a NEFS 13 Member may withdraw from NEFS 13 prior to the start of the 2011 fishing year. A Member who wishes to withdraw from NEFS 13 must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

☑ Active Member: (LIST ACTIVE VESSELS)
Fishing Vessel Name JAMES MATTHEW Doc/Reg # 659866
Fishing Vessel Name _____ Doc/Reg # _____
Fishing Vessel Name _____ Doc/Reg # _____

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

☐ Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. James F Haitz Jr

Owner/Entity Name:

JFH Fisheries Inc

Name of Authorized Representative (print):

James F Haitz Jr.

Title: President

List all permits including those listed in 4.

Permit Number: 310455 MRI 527

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

9/3/10

Notary Public: Rachel G Cady, Notary Public
Rachel G Cady

NOTARY PUBLIC
My Commission Expires 2-8-2013

NORTHEAST FISHERY SECTOR 13.
SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 8 day of Sept, 2010, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS 13 Sector Operations Plan and Agreement dated effective as of September 1, 2010 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS 13 (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS 13 has adopted [April 1, 2011] as the final date in which a NEFS 13 Member may withdraw from NEFS 13 prior to the start of the 2011 fishing year. A Member who wishes to withdraw from NEFS 13 must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

☑ Active Member: (LIST ACTIVE VESSELS)
Fishing Vessel Name MATTIE+MAREN Doc/Reg # 519505
Fishing Vessel Name _____ Doc/Reg # _____
Fishing Vessel Name _____ Doc/Reg # _____

Note: Member acknowledges that membership is categorized as "active" If any MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

☐ Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. Clark A. Reposa Jr
Clark A. Reposa Jr

Owner/Entity Name:

MATTIE + MAREN

Name of Authorized Representative (print):

CLARK REPOSA

Title: OWNER

List all permits including those listed in 4.

Permit Number: 320127 MRI 527 MRI 556 - see roster

Permit Number: RI 358 MRI

Permit Number: MASS 157727 MRI

Permit Number: MRI

Permit Number: MRI

Permit Number: MRI

Notary Public:

#166064

OFFICIAL SEAL
LINDA M. STEDMAN
NOTARY PUBLIC – RHODE ISLAND
My Comm. Expires 6/28/2013

NORTHEAST FISHERY SECTOR 13.
SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 10 day of September 2010, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS 13 Sector Operations Plan and Agreement dated effective as of September 1, 2010 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS 13 (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS 13 has adopted [April 1, 2011] as the final date in which a NEFS 13 Member may withdraw from NEFS 13 prior to the start of the 2011 fishing year. A Member who wishes to withdraw from NEFS 13 must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

☒ Active Member: (LIST ACTIVE VESSELS)
Fishing Vessel Name Mary Elena Doc/Reg # 970254
Fishing Vessel Name _____ Doc/Reg # _____
Fishing Vessel Name _____ Doc/Reg # _____

Note: Member acknowledges that membership is categorized as "active" If any MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

☐ Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. [signature]

Owner/Entity Name:

JENNIFER FISHERIES INC

Name of Authorized Representative (print):

JULIA WESTCOTT

Title: PRESIDENT

List all permits including those listed in 4.

Permit Number: 330739 MRI 1524

Permit Number: 10023133 MRI

Permit Number: SE1232 MRI

Permit Number: SE1162 MRI

Permit Number: 14152 (MA) MRI

Permit Number: MRI

Notary Public:

Rita R Jacobs

RITA R. JACOBS
NOTARY PUBLIC, STATE OF RHODE ISLAND
MY COMMISSION EXPIRES: 6-9-14

NORTHEAST FISHERY SECTOR XIII.
SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 8th day of September 2010, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS XIII Sector Operations Plan and Agreement dated effective as of September 1, 2010 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS XIII (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS XIII has adopted April 1, 2011 as the final date in which a NEFS XIII Member may withdraw from NEFS XIII prior to the start of the 2011 fishing year. A Member who wishes to withdraw from NEFS XIII must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

☑ Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name F/V Rose Marie_____ Doc/Reg # 680986
Fishing Vessel Name F/V 2007 Walker Bay_ Doc/Reg # NJ 3223
Fishing Vessel Name _____ Doc/Reg #_____

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

☐ Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. _____

Owner/Entity Name:

Rose Marie, Inc.

Name of Authorized Representative (print):

Frank Mazza

Title: Owner

List all permits including those listed in 4.

Permit Number: 410367_____MRI 1108_____

Permit Number: 150615_____MRI 626_____

Permit Number: _____MRI_____

Permit Number: _____MRI_____

Permit Number: _____MRI_____

Permit Number: _____MRI_____

Tami Ann Mazza
Notary Public, New Jersey
My Commission Expires 8-11-13

Notary Public:

Tami Ann Mazza

NORTHEAST FISHERY SECTOR 13.
SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 7 day of Sep, 2010, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS 13 Sector Operations Plan and Agreement dated effective as of September 1, 2010 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS 13 (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS 13 has adopted [April 1, 2011] as the final date in which a NEFS 13 Member may withdraw from NEFS 13 prior to the start of the 2011 fishing year. A Member who wishes to withdraw from NEFS 13 must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

☐ Active Member: (LIST ACTIVE VESSELS)
Fishing Vessel Name PONTOS Doc/Reg # 596232
Fishing Vessel Name _____ Doc/Reg # _____
Fishing Vessel Name _____ Doc/Reg # _____

Note: Member acknowledges that membership is categorized as "active" If any MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

☑ Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. Richard Jones

Owner/Entity Name:

PONTOS FISHERIES

Name of Authorized Representative (print):

RICHARD JONES

Title: VICE PRESIDENT

List all permits including those listed in 4.

Permit Number: 410154 MRI: 1607

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Notary Public:

SWORN TO BEFORE ME
THIS 7TH DAY OF SEPTEMBER 2010

JOHN J. MCDONALD
NOTARY PUBLIC, State of New York
No. 01MC5037839, Suffolk County
Commission Expires 20 11

NORTHEAST FISHERY SECTOR 13.
SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 31 day of August, 2010, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS 13 Sector Operations Plan and Agreement dated effective as of September 1, 2010 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS 13 (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS 13 has adopted [**April 1, 2011**] as the final date in which a NEFS 13 Member may withdraw from NEFS 13 prior to the start of the 2011 fishing year. A Member who wishes to withdraw from NEFS 13 must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

☒ Active Member: (LIST ACTIVE VESSELS)
Fishing Vessel Name Buzzards Bay Doc/Reg # 624947
Fishing Vessel Name _____ Doc/Reg # _____
Fishing Vessel Name _____ Doc/Reg # _____

Note: Member acknowledges that membership is categorized as "active" **If any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

☐ Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. Alexander L. Smith

Owner/Entity Name:

Buzzards Bay Fisheries Inc

Name of Authorized Representative (print):

Alexander L. Smith

Title: Treasurer.

List all permits including those listed in 4.

Permit Number: 410298 MRI 1074

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Notary Public:

The Commonwealth of Massachusetts

On this 26 day of Sept. 20 10, before me, the undersigned notary public, personally appeared Alexander L. Smith proved to me through satisfactory evidence of identification, which were _____, to be the person whose name is signed on the preceding or attached document who swore or affirmed to me that the contents of the document are truthful and accurate to the best of his/her knowledge and belief.

Vera M. Carvalho

VERA M. CARVALHO, Notary Public
My Commission Expires July 9, 2015

NORTHEAST FISHERY SECTOR 13.
SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 31 day of August, 2010, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS 13 Sector Operations Plan and Agreement dated effective as of September 1, 2010 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS 13 (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS 13 has adopted [**April 1, 2011**] as the final date in which a NEFS 13 Member may withdraw from NEFS 13 prior to the start of the 2011 fishing year. A Member who wishes to withdraw from NEFS 13 must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

☒ Active Member: (LIST ACTIVE VESSELS)
Fishing Vessel Name Curlew II Doc/Reg # 297129
Fishing Vessel Name _____ Doc/Reg # _____
Fishing Vessel Name _____ Doc/Reg # _____

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

☐ Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. Alexander L Smith

Owner/Entity Name:

Curem II Inc

Name of Authorized Representative (print):

Alexander L. Smith

Title: Treasurer.

List all permits including those listed in 4.

Permit Number: 330084 MRI 692

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Notary Public:

The Commonwealth of Massachusetts
On this 3rd day of Sept 20 10, before me, the undersigned notary public personally appeared Alexander L. Smith, proved to me through satisfactory evidence of identification, which were _____, to be the person whose name is signed on the preceding or attached document who swore or affirmed to me that the contents of the document are truthful and accurate to the best of his/her knowledge and belief.

Vera M Carvalho

VERA M. CARVALHO, Notary Public
My Commission Expires July 9, 2015

NORTHEAST FISHERY SECTOR 13.
SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 31 day of August, 2010, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS 13 Sector Operations Plan and Agreement dated effective as of September 1, 2010 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS 13 (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS 13 has adopted [**April 1, 2011**] as the final date in which a NEFS 13 Member may withdraw from NEFS 13 prior to the start of the 2011 fishing year. A Member who wishes to withdraw from NEFS 13 must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

☒ Active Member: (LIST ACTIVE VESSELS)
Fishing Vessel Name Mischief Doc/Reg # 614835
Fishing Vessel Name _____ Doc/Reg # _____
Fishing Vessel Name _____ Doc/Reg # _____

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

☐ Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. Alexander L Smith

Owner/Entity Name:

S+S Fisheries Inc

Name of Authorized Representative (print):

Alexander L. Smith

Title: Treasurer

List all permits including those listed in 4.

Permit Number: 330383 MRI 826

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Notary Public:

The Commonwealth of Massachusetts

On this 3rd day of Sept. 2010, before me, the undersigned notary public, personally appeared Alexander L. Smith proved to me through satisfactory evidence of identification, which were _____, to be the person whose name is signed on the preceding or attached document who swore or affirmed to me that the contents of the document are truthful and accurate to the best of his/her knowledge and belief.

Vera M. Carvalho

VERA M. CARVALHO, Notary Public
My Commission Expires July 9, 2015

NORTHEAST FISHERY SECTOR 13.
SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this ____ day of _____, 2010, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS 13 Sector Operations Plan and Agreement dated effective as of September 1, 2010 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS 13 (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS 13 has adopted **[April 1, 2011]** as the final date in which a NEFS 13 Member may withdraw from NEFS 13 prior to the start of the 2011 fishing year. A Member who wishes to withdraw from NEFS 13 must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

☑ Active Member: (LIST ACTIVE VESSELS)
Fishing Vessel Name ANNIE KATHRYN Doc/~~Reg~~ # 604162
Fishing Vessel Name _____ Doc/Reg # _____
Fishing Vessel Name _____ Doc/Reg # _____

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

☐ Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

EXECUTED as of the day and year first set forth above.

Authorized Representative:

Owner/Entity Name: RICHARD M. GRACHEK | River Center Marina LLC

Name of Authorized Representative (print): RICHARD M. GRACHEK

Title: MGR/OWNER

Laraine C Cellucci
Notary Public Commonwealth
My Commission Expires [illegible] 31, 2012

List all permits including those listed in 4.

Permit Number: 330354 MRI 811

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Notary Public:

NORTHEAST FISHERY SECTOR 13.
SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 30TH day of AUGUST, 2010, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS 13 Sector Operations Plan and Agreement dated effective as of September 1, 2010 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS 13 (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS 13 has adopted **[April 1, 2011]** as the final date in which a NEFS 13 Member may withdraw from NEFS 13 prior to the start of the 2011 fishing year. A Member who wishes to withdraw from NEFS 13 must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

☑ Active Member: (LIST ACTIVE VESSELS)
Fishing Vessel Name EMILIA ROSE Doc/Reg # 912995
Fishing Vessel Name _____ Doc/Reg # _____
Fishing Vessel Name _____ Doc/Reg # _____

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

☐ Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. John E Curzake Jr

Owner/Entity Name:

Sea Quest Fisheries Inc

Name of Authorized Representative (print):

John E. Curzake Jr.

Title: President

List all permits including those listed in 4.

Permit Number: 241153 MRI 873

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Notary Public: Kelly Hammond

mce 6/24/2012

NORTHEAST FISHERY SECTOR 13.
SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 30 day of Aug., 2010, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS 13 Sector Operations Plan and Agreement dated effective as of September 1, 2010 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS 13 (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS 13 has adopted **[April 1, 2011]** as the final date in which a NEFS 13 Member may withdraw from NEFS 13 prior to the start of the 2011 fishing year. A Member who wishes to withdraw from NEFS 13 must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

☒ Active Member: (LIST ACTIVE VESSELS)
Fishing Vessel Name SEAFARER Doc/Reg # 675048
Fishing Vessel Name _____ Doc/Reg # _____
Fishing Vessel Name _____ Doc/Reg # _____

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

☒ Non-Active Member 330493 Charlie's Pride & 310100 Valiant Lady

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. Roger S Smith

Owner/Entity Name:

mike A Doyle

Name of Authorized Representative (print):

Roger S Smith

Title: Captain

List all permits including those listed in 4.

Permit Number: 410344 MRI 1095

Permit Number: 330493 MRI 871

Permit Number: 310100 MRI 469

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Notary Public:

Lee E.R. Hoare

My commission expires 09/06/14

NORTHEAST FISHERY SECTOR 13.
SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 26 day of Aug., 2010, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS 13 Sector Operations Plan and Agreement dated effective as of September 1, 2010 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS 13 (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS 13 has adopted [**April 1, 2011**] as the final date in which a NEFS 13 Member may withdraw from NEFS 13 prior to the start of the 2011 fishing year. A Member who wishes to withdraw from NEFS 13 must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

☑ Active Member: (LIST ACTIVE VESSELS)
Fishing Vessel Name Hope + Sydney Doc/Reg # 637907
Fishing Vessel Name _____ Doc/Reg # _____
Fishing Vessel Name _____ Doc/Reg # _____

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

☐ Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. George Ainsworth

Owner/Entity Name:

Leisure Coast Fisheries

Name of Authorized Representative (print):

George Ainsworth

Title: V. Pres.

List all permits including those listed in 4.

Permit Number: 320583 MRI 639

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Notary Public:

Lee E. R. House

My commission expires 09/06/10

NORTHEAST FISHERY SECTOR 13.
SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 31 day of Aug., 2010, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS 13 Sector Operations Plan and Agreement dated effective as of September 1, 2010 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS 13 (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS 13 has adopted **[April 1, 2011]** as the final date in which a NEFS 13 Member may withdraw from NEFS 13 prior to the start of the 2011 fishing year. A Member who wishes to withdraw from NEFS 13 must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

☒ Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name Tropico Doc/Reg # ~~440216~~ 609937
Fishing Vessel Name _____ Doc/Reg # _____
Fishing Vessel Name _____ Doc/Reg # _____

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

☐ Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. _____

Owner/Entity Name:

_____

Name of Authorized Representative (print):

ALBERT C. LEES

Title: OWNER

List all permits including those listed in 4.

Permit Number: 410210 MRI 1019

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Notary Public:

SEE REVERSE

NORTHEAST FISHERY SECTOR 13.
SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 25th day of AUGUST, 2010, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS 13 Sector Operations Plan and Agreement dated effective as of September 1, 2010 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS 13 (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS 13 has adopted [**April 1, 2011**] as the final date in which a NEFS 13 Member may withdraw from NEFS 13 prior to the start of the 2011 fishing year. A Member who wishes to withdraw from NEFS 13 must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

☑ Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name EXCALIBUR Doc/Reg # 607406
Fishing Vessel Name _____ Doc/Reg # _____
Fishing Vessel Name _____ Doc/Reg # _____

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

☐ Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. _____

Owner/Entity Name:

Joel Hovanesian Renaissance Trading LLC

Name of Authorized Representative (print):

Same

Title: Owner/Operator

List all permits including those listed in 4.

Permit Number: 330339 MRI 803

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Notary Public: Laura Pope
48333 expires 2013

NORTHEAST FISHERY SECTOR 13.

SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 17 day of August, 2010, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS 13 Sector Operations Plan and Agreement dated effective as of September 1, 2010 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS 13 (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS 13 has adopted **[INSERT DATE]** APRIL 1 2011 as the final date in which a NEFS 13 Member may withdraw from NEFS 13 prior to the start of the 2011 fishing year. A Member who wishes to withdraw from NEFS 13 must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

☑ Active Member: (LIST ACTIVE VESSELS)
Fishing Vessel Name Jason + Danielle Doc/Reg # 1183113
Fishing Vessel Name _____ Doc/Reg # _____
Fishing Vessel Name _____ Doc/Reg # _____

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

☐ Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. Henry Lackner

Owner/Entity Name:

Ho-L4 6 Fisheries LLC

Name of Authorized Representative (print):

HENRY LACKNER

Title: Managing Member

List all permits including those listed in 4.

Permit Number: 1183113 MRI 1118
410588

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Notary Public: 8/20/10

Venus B Yunker

VENUS B. YUNKER
NOTARY PUBLIC, State of New York
No. 01YU6206004
Commission Expires 2013

7/11/2013

NORTHEAST FISHERY SECTOR 13.
SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 27 day of AUGUST, 2010, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS 13 Sector Operations Plan and Agreement dated effective as of September 1, 2010 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS 13 (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS 13 has adopted **[April 1, 2011]** as the final date in which a NEFS 13 Member may withdraw from NEFS 13 prior to the start of the 2011 fishing year. A Member who wishes to withdraw from NEFS 13 must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

☑ Active Member: (LIST ACTIVE VESSELS)
Fishing Vessel Name MARY L Doc/Reg # 643240
Fishing Vessel Name _____ Doc/Reg # _____
Fishing Vessel Name _____ Doc/Reg # _____

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

☐ Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. Harold A Loftes Jr

Owner/Entity Name:

AMANDA L. INC

Name of Authorized Representative (print):

HAROLD A. LOFTES JR.

Title: PRESIDENT

List all permits including those listed in 4.

Permit Number: 230551 MRI 181

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Notary Public: Randy Johnson EXP 10/22/12

NORTHEAST FISHERY SECTOR 13.
SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 30 day of AUG, 2010, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS 13 Sector Operations Plan and Agreement dated effective as of September 1, 2010 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS 13 (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS 13 has adopted **[April 1, 2011]** as the final date in which a NEFS 13 Member may withdraw from NEFS 13 prior to the start of the 2011 fishing year. A Member who wishes to withdraw from NEFS 13 must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

☑ Active Member: (LIST ACTIVE VESSELS)
Fishing Vessel Name VIC-TEC-RAE Doc/Reg # 674825
Fishing Vessel Name _____ Doc/Reg # _____
Fishing Vessel Name _____ Doc/Reg # _____

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

☐ Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. Raymond W Liveman

Owner/Entity Name:

U.B. II INC.

Name of Authorized Representative (print):

Raymond W Liveman

Title: owner / opt.

List all permits including those listed in 4.

Permit Number: 410349 MRI 1099

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Notary Public:

Lu E. R. Houke

My commission expires 09/06/14

NORTHEAST FISHERY SECTOR 13.
SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 26th day of August 2010, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS 13 Sector Operations Plan and Agreement dated effective as of September 1, 2010 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS 13 (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS 13 has adopted **[April 1, 2011]** as the final date in which a NEFS 13 Member may withdraw from NEFS 13 prior to the start of the 2011 fishing year. A Member who wishes to withdraw from NEFS 13 must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

☒ Active Member: (LIST ACTIVE VESSELS)
Fishing Vessel Name Carol P Michael Doc/Reg # 596771
Fishing Vessel Name _____ Doc/Reg # _____
Fishing Vessel Name _____ Doc/Reg # _____

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

☐ Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

EXECUTED as of the day and year first set forth above.

Patrick C Knapp

Acknowledged before me this 26th day of August 2010
Jolene A. Simshauser
JOLENE A. SIMSHAUSER
NOTARY PUBLIC

Signature of Authorized Representative:

x. _____

Owner/Entity Name:

OWNER/OPERATOR

Name of Authorized Representative (print):

_____

Title: _____

List all permits including those listed in 4.

Permit Number: 330579 MRI 916

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Notary Public:

NORTHEAST FISHERY SECTOR 13.
SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 31 day of AUGUST, 2010, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS 13 Sector Operations Plan and Agreement dated effective as of September 1, 2010 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS 13 (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS 13 has adopted [April 1, 2011] as the final date in which a NEFS 13 Member may withdraw from NEFS 13 prior to the start of the 2011 fishing year. A Member who wishes to withdraw from NEFS 13 must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

☑ Active Member: (LIST ACTIVE VESSELS)
Fishing Vessel Name TENACITY Doc/Reg # 688168
Fishing Vessel Name _____ Doc/Reg # _____
Fishing Vessel Name _____ Doc/Reg # _____

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

☐ Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. Vincent Carillo

Owner/Entity Name:

TENACIOUS FISHING CORP.

Name of Authorized Representative (print):

VINCENT CARILLO JR.

Title: PRESIDENT

List all permits including those listed in 4.

Permit Number: 320699 MRI #516

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

8-31-10 Notary Public:

JILL M. RAMUNDO
Notary Public, State of New York
No. 01RA6123311, Suffolk County
Commission Expires, March 07, 2013

NORTHEAST FISHERY SECTOR 13.
SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this ____ day of _____, 2010, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS 13 Sector Operations Plan and Agreement dated effective as of September 1, 2010 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS 13 (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS 13 has adopted **[April 1, 2011]** as the final date in which a NEFS 13 Member may withdraw from NEFS 13 prior to the start of the 2011 fishing year. A Member who wishes to withdraw from NEFS 13 must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

☒ Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name MEGAN MARIE Doc/Reg # 623578
Fishing Vessel Name _____ Doc/Reg # _____
Fishing Vessel Name _____ Doc/Reg # _____

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

☐ Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. D. Farnham

Owner/Entity Name:

Blue Water Fisheries

Name of Authorized Representative (print):

Dan Farnham

Title: V.P.

List all permits including those listed in 4.

Permit Number: 410273 MRI 1062

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Notary Public:

Subscribed before me this 30th day of August 2010 by Dan Farnham.

Donna M Harney

DONNA M. HARNEY
NOTARY PUBLIC, STATE OF NEW YORK
QUALIFIED IN SUFFOLK COUNTY
NO. 4908731
MY COMMISSION EXPIRES OCT. 19, 2013

NORTHEAST FISHERY SECTOR 13.
SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 30 day of August, 2010, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS 13 Sector Operations Plan and Agreement dated effective as of September 1, 2010 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall [illegible] conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS 13 has adopted [**April 1, 2011**] as the final date in which a NEFS 13 Member may withdraw from NEFS 13 prior to the start of the 2011 fishing year. A Member who wishes to withdraw from NEFS 13 must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

☒ Active Member: (LIST ACTIVE VESSELS)
Fishing Vessel Name Evening Prayer Doc/Reg # 538893
Fishing Vessel Name _____ Doc/Reg # _____
Fishing Vessel Name _____ Doc/Reg # _____

Note: Member acknowledges that membership is categorized as "active" if any MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

☐ Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x Ken Maguire

Owner/Entity Name:

EVENING PRAYER INC

Name of Authorized Representative (print):

Title:

List all permits including those listed in 4.

Permit Number: 32049 MRI 1954

Permit Number: MRI

Permit Number: MRI

Permit Number: MRI

Permit Number: MRI

Permit Number: MRI

Notary Public:

RAYMOND HEGNER
Notary Public, State of New York
No. 01HE6231785
Qualified in Suffolk County
Commission Expires June 30, 2014

8/30/10

NORTHEAST FISHERY SECTOR 13.
SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 26 day of AUG, 2010, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS 13 Sector Operations Plan and Agreement dated effective as of September 1, 2010 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS 13 (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS 13 has adopted **[April 1, 2011]** as the final date in which a NEFS 13 Member may withdraw from NEFS 13 prior to the start of the 2011 fishing year. A Member who wishes to withdraw from NEFS 13 must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

☒ Active Member: (LIST ACTIVE VESSELS)
Fishing Vessel Name KAREN ELIZABETH Doc/Reg # 663444
Fishing Vessel Name _____ Doc/Reg # _____
Fishing Vessel Name _____ Doc/Reg # _____

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

☐ Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. [signature]

Owner/Entity Name:

SALT POND FISHERIES INC.

Name of Authorized Representative (print):

CHRISTOPHER ROEBUCK

Title: OWNER

List all permits including those listed in 4.

Permit Number: 410326 MRI 1084

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Notary Public:

Lee E. R. House

My commission expires 09/06/10

NORTHEAST FISHERY SECTOR 13.
SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 26 day of AUG, 2010, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS 13 Sector Operations Plan and Agreement dated effective as of September 1, 2010 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS 13 (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS 13 has adopted **[April 1, 2011]** as the final date in which a NEFS 13 Member may withdraw from NEFS 13 prior to the start of the 2011 fishing year. A Member who wishes to withdraw from NEFS 13 must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

☒ Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name YANKEE PRIDE Doc/Reg # 944788
Fishing Vessel Name _____ Doc/Reg # _____
Fishing Vessel Name _____ Doc/Reg # _____

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

☐ Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. [signature]

Owner/Entity Name:

YANKEE CREEK FISHERIES INC.

Name of Authorized Representative (print):

CHRISTOPHER ROEBUCK

Title: OWNER

List all permits including those listed in 4.

Permit Number: 410514 MRI 1168

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Notary Public:

Lee E. R. House

My commission expires 09/06/10

NORTHEAST FISHERY SECTOR 13.
SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 25 day of 8, 2010, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS 13 Sector Operations Plan and Agreement dated effective as of September 1, 2010 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS 13 (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS 13 has adopted [**April 1, 2011**] as the final date in which a NEFS 13 Member may withdraw from NEFS 13 prior to the start of the 2011 fishing year. A Member who wishes to withdraw from NEFS 13 must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

☑ Active Member: (LIST ACTIVE VESSELS)
Fishing Vessel Name PROVIDER Doc/Reg # 599943
Fishing Vessel Name _____ Doc/Reg # _____
Fishing Vessel Name _____ Doc/Reg # _____

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

☐ Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. Robert Cabral

Owner/Entity Name:

GOOD SHEPHERD FISHERIES INC.

Name of Authorized Representative (print):

ROBERT CABRAL

Title: PRES.

List all permits including those listed in 4.

Permit Number: 410166 MRI 988 X

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Notary Public: Randolph Johnson EXP 10/22/12

NORTHEAST FISHERY SECTOR 13.
SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 2 day of SEPT, 2010, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS 13 Sector Operations Plan and Agreement dated effective as of September 1, 2010 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS 13 (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS 13 has adopted [**April 1, 2011**] as the final date in which a NEFS 13 Member may withdraw from NEFS 13 prior to the start of the 2011 fishing year. A Member who wishes to withdraw from NEFS 13 must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

☑ Active Member: (LIST ACTIVE VESSELS)
Fishing Vessel Name DEBBIE SUE Doc/Reg # 673387
Fishing Vessel Name _____ Doc/Reg # _____
Fishing Vessel Name _____ Doc/Reg # _____

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

☐ Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. Donald Roebuck

Owner/Entity Name:

~~Donald~~ KAREN SUE INC

Name of Authorized Representative (print):

DONALD ROEBUCK

Title: OWNER ~ PRES

List all permits including those listed in 4.

Permit Number: 41032 MRI 1093

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Notary Public:

Lee E. R. Holder

My commission expires 01/06/14

NORTHEAST FISHERY SECTOR 13.
SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 31 day of Aug., 2010, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS 13 Sector Operations Plan and Agreement dated effective as of September 1, 2010 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS 13 (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS 13 has adopted **[April 1, 2011]** as the final date in which a NEFS 13 Member may withdraw from NEFS 13 prior to the start of the 2011 fishing year. A Member who wishes to withdraw from NEFS 13 must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

☑ Active Member: (LIST ACTIVE VESSELS)
Fishing Vessel Name SEEL Doc/Reg # 646423
Fishing Vessel Name _____ Doc/Reg # _____
Fishing Vessel Name _____ Doc/Reg # _____

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

☐ Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. Albert C Lees owner

Owner/Entity Name:

_____

Name of Authorized Representative (print):

ALBERT C. LEES

Title: owner

List all permits including those listed in 4.

Permit Number: 330517 MRI 879

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Notary Public:

SEE REVERSE

NORTHEAST FISHERY SECTOR XIII
SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 30 day of Aug., 2010, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS XIII Sector Operations Plan and Agreement dated effective as of September 1, 2010 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS XIII (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS XIII has adopted [INSERT DATE] APRIL 1 2011 as the final date in which a NEFS XIII Member may withdraw from NEFS XIII prior to the start of the 2011 fishing year. A Member who wishes to withdraw from NEFS XIII must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

☑ Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name Tradition Doc/Reg # 310360
Fishing Vessel Name _____ Doc/Reg # _____
Fishing Vessel Name _____ Doc/Reg # _____

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

☐ Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. Thomas E. Williams

Owner/Entity Name:

NAT W. Inc.

Name of Authorized Representative (print):

Thomas E. Williams

Title: owner/pres.

List all permits including those listed in 4.

Permit Number:
310360 MRI 648

Permit Number:
_____ MRI _____

Permit Number:
_____ MRI _____

Permit Number:
_____ MRI _____

Permit Number:
_____ MRI _____

Permit Number:
_____ MRI _____

Notary Public: Randall Johnson
comm. exp 10/22/12

NORTHEAST FISHERY SECTOR XIII
SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 30 day of Aug., 2010, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS XIII Sector Operations Plan and Agreement dated effective as of September 1, 2010 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS XIII (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS XIII has adopted [APRIL 1 2011 **INSERT DATE**] as the final date in which a NEFS XIII Member may withdraw from NEFS XIII prior to the start of the 2011 fishing year. A Member who wishes to withdraw from NEFS XIII must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

☑ Active Member: (LIST ACTIVE VESSELS)

Fishing Vessel Name Heritage Doc/Reg # 656114
Fishing Vessel Name _____ Doc/Reg # _____
Fishing Vessel Name _____ Doc/Reg # _____

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

☐ Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. Thomas E. Williams

Owner/Entity Name:

Heritage Fisheries Inc.

Name of Authorized Representative (print):

Thomas E Williams

Title: owner / pres.

List all permits including those listed in 4.

Permit Number:
310439 MRI 523

Permit Number:
_____ MRI _____

Permit Number:
_____ MRI _____

Permit Number:
_____ MRI _____

Permit Number:
_____ MRI _____

Permit Number:
_____ MRI _____

Notary Public: Randall Johnson
comm. EXP. 10/22/12

NORTHEAST FISHERY SECTOR 13.
SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 2 day of SEPT, 2010, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS 13 Sector Operations Plan and Agreement dated effective as of September 1, 2010 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS 13 (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS 13 has adopted [**April 1, 2011**] as the final date in which a NEFS 13 Member may withdraw from NEFS 13 prior to the start of the 2011 fishing year. A Member who wishes to withdraw from NEFS 13 must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

☑ Active Member: (LIST ACTIVE VESSELS)
Fishing Vessel Name MARGARET HOLLEY Doc/Reg # 630336
Fishing Vessel Name _____ Doc/Reg # _____
Fishing Vessel Name _____ Doc/Reg # _____

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

☐ Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. Donald Roebuck

Owner/Entity Name:

KAREN SUE INC

Name of Authorized Representative (print):

DONALD ROEBUCK

Title: OWNER PRES

List all permits including those listed in 4.

Permit Number: 330487 MRI 869

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Notary Public:

Lee E.R. Houche

My commission expires 09/06/14

NORTHEAST FISHERY SECTOR 13.
SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 1 day of Sept, 2010, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS 13 Sector Operations Plan and Agreement dated effective as of September 1, 2010 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS 13 (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS 13 has adopted [**April 1, 2011**] as the final date in which a NEFS 13 Member may withdraw from NEFS 13 prior to the start of the 2011 fishing year. A Member who wishes to withdraw from NEFS 13 must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

☒ Active Member: (LIST ACTIVE VESSELS)
Fishing Vessel Name Johanna Lenore Doc/Reg # 605425 / 330322
Fishing Vessel Name _____ Doc/Reg # _____
Fishing Vessel Name _____ Doc/Reg # _____

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

☐ Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. Jeff Wise

Owner/Entity Name:

Wise Fisheries

Name of Authorized Representative (print):

JEFF WISE

Title: OWNER/PRESIDENT

List all permits including those listed in 4.

Permit Number: 330322 MRI 795

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Notary Public: Romany A. Haidari
My commission expires 10/5/2012

NORTHEAST FISHERY SECTOR 13.
SECTOR OPERATIONS PLAN AND AGREEMENT
ADHERENCE AGREEMENT

THIS ADHERENCE AGREEMENT is entered into as of this 27 day of August, 2010, by the undersigned "Member", who for good and valuable consideration, the receipt and sufficiency of which are acknowledged, hereby agrees as follows:

1. Member has carefully reviewed the NEFS 13 Sector Operations Plan and Agreement dated effective as of September 1, 2010 (as the same may be amended or restated from time to time, the "Operations Plan"), and by executing this Adherence Agreement agrees to be bound by all provisions of the Operations Plan and Agreement. Execution of this Adherence Agreement shall have the same effect as execution of the Operations Plan by Member and all other Members of NEFS 13 (the "Sector").

2. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

3. Member acknowledges that; NEFS 13 has adopted **[April 1, 2011]** as the final date in which a NEFS 13 Member may withdraw from NEFS 13 prior to the start of the 2011 fishing year. A Member who wishes to withdraw from NEFS 13 must do so in the manner specified in the Operations Plan.

4. With full knowledge of the rights and responsibilities of membership Member is signing this agreement as a (check one):

☒ Active Member: (LIST ACTIVE VESSELS)
Fishing Vessel Name Illusion Doc/Reg # 330549
Fishing Vessel Name _____ Doc/Reg # _____
Fishing Vessel Name _____ Doc/Reg # _____

Note: Member acknowledges that membership is categorized as "active" **if any** MRI permits listed below and owned by the member will be used to harvest the sector's ACE, or in a fishery in which Sector ACE must be used to account for by-catch of Sector Allocated stocks for example: monkfish, skate, dogfish.

☐ Non-Active Member

5. Upon execution of this Agreement by Member and the satisfaction of all other conditions precedent to Member's membership in the Sector, Member shall be deemed admitted as a Member of the Sector and such admittance shall be reflected on the Sector's records.

EXECUTED as of the day and year first set forth above.

Signature of Authorized Representative:

x. Mark S Schellhase

Owner/Entity Name:

F/V Illusion

Name of Authorized Representative (print):

Mark S Schellhase

Title: Pres

List all permits including those listed in 4.

Permit Number: 330549 MRI 892

Permit Number: 330281 MRI 1704

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Permit Number: _____ MRI _____

Notary Public: Randolph Johnson EXP 10/22/12

The Commonwealth of Massachusetts
William Francis Galvin

Secretary of the Commonwealth, Corporations Division
One Ashburton Place, 17th floor
Boston, MA 02108-1512
Telephone: (617) 727-9640

CURLEW II INC. Summary Screen

Help with this form

Request a Certificate

The exact name of the Domestic Profit Corporation: CURLEW II INC.

Entity Type: Domestic Profit Corporation

Identification Number: 042722011

Old Federal Employer Identification Number (Old FEIN): 000165479

Date of Organization in Massachusetts: 01/13/1981

Current Fiscal Month / Day: 12 / 31 **Previous Fiscal Month / Day:** 00 /

The location of its principal office:
No. and Street: 84 FRONT STREET
City or Town: NEW BEDFORD State: MA Zip: 02740 Country: USA

If the business entity is organized wholly to do business outside Massachusetts, the location of that office:
No. and Street:
City or Town: State: Zip: Country:

Name and address of the Registered Agent:
Name: HERBERT E SMITH
No. and Street: 84 FRONT STREET
City or Town: NEW BEDFORD State: MA Zip: 02740 Country: USA

The officers and all of the directors of the corporation:

Title	Individual Name First, Middle, Last, Suffix	Address (no PO Box) Address, City or Town, State, Zip Code
PRESIDENT	HERBERT SMITH	250 HORSENECK RD., WESTPORT, MA USA
TREASURER	JUDITH SMITH	250 HORSENECK RD., WESTPORT, MA USA
DIRECTOR	HERBERT SMITH	250 HORSENECK RD., WESTPORT, MA 02790 USA
SECRETARY	EMILE E. MORAD	201 COUNTY ST NEW BEDFORD, MA 02740 USA
DIRECTOR	JUDITH SMITH	250 HORSENECK RD., WESTPORT, MA 02790 USA

DIRECTOR	EMILE E. MORAD	201 COUNTY ST NEW BEDFORD, MA 02740 USA

business entity stock is publicly traded: __

The total number of shares and par value, if any, of each class of stock which the business entity is authorized issue:

Class of Stock	Par Value Per Share Enter **0** if no Par	Total Authorized by Articles of Organization or Amendments *Num of Shares* *Total Par Value*	Total Issued and Outstanding *Num of Shares*
No Stock Information available online. Prior to August 27, 2001, records can be obtained on microfilm.			

__ Consent __ Manufacturer __ Confidential Data __ Does Not Require Annual Report

__ Partnership X Resident Agent X For Profit __ Merger Allowed

Note: There is additional information located in the cardfile that is not available on the system.

Select a type of filing from below to view this business entity filings:

ALL FILINGS
Administrative Dissolution
Annual Report
Application For Revival
Articles of Amendment

View Filings New Search

Comments

© 2001 - 2010 Commonwealth of Massachusetts
All Rights Reserved

National Marine Fisheries Service

NMFS vessel/fishery data as of September 8, 2010, 6:51 pm

Disclaimer: The information contained on this site does not guarantee that these permits can be renewed or applied for in the future. This information is advisory only and does not represent the baseline information for any vessel, which includes limited access scallop or Northeast multispecies vessel baseline information, used to determine vessel upgrade or replacement eligibility. The National Marine Fisheries Service shall not be held liable for losses caused by use of this information. The National Marine Fisheries Service reserves the right to make a final determination regarding vessel eligibility for any permit.

Most Recent Vessel Data

Vessel: ILLUSION
Northeast Federal Fishery Permit: 330549
US Coast Guard Doc #/State Registration # : 655467
Primary Owner|Corporation Name: MARK S PHILLIPS
Address:
210 ATLANTIC AVENUE
GREENPORT, NY 11944-1803
Phone: (631) 477-8485

Hailing Port: GREENPORT, NY.
Principal Port: GREENPORT, NY.
Max Trap Allocation: N/A

Most Recent Northeast Federal Fishery Permit Data

Permit Year	Plan	Category	Description	Start Date	End Date
2010	BLU	1	BLUEFISH - COMMERCIAL - 2010	01-MAY-10	30-APR-11
2010	BSB	1	BLACK SEA BASS - COMMERCIAL MORATORIUM - 2010	01-MAY-10	30-APR-11
2010	DOG	1	SPINY DOGFISH - COMMERCIAL - 2010	01-MAY-10	30-APR-11
2010	FLS	1	SUMMER FLOUNDER - COMMERCIAL MORATORIUM - 2010	01-MAY-10	30-APR-11
2010	HRG	C	HERRING - LIMITED ACCESS - INCIDENTAL - 2010	01-MAY-10	30-APR-11
2010	LGC	C	SCALLOP - LAGC - INCIDENTAL - 2010	02-APR-10	28-FEB-11
2010	LO	1	AMERICAN LOBSTER - NON -TRAP - 2010	01-MAY-10	30-APR-11
2010	MNK	C	MONKFISH - CATEGORY C - 2010	01-MAY-10	30-APR-11
2010	MUL	A	NE MULTISPECIES - INDIVIDUAL DAS - 2010	01-MAY-10	30-APR-11
2010	RCB	A	RED CRAB - OPEN ACCESS - INCIDENTAL BYCATCH - 2010	02-APR-10	28-FEB-11
2010	SCP	1	SCUP - COMMERCIAL MORATORIUM - 2010	01-MAY-10	30-APR-11
2010	SKT	1	SKATE - 2010	01-MAY-10	30-APR-11
2010	SMB	1	LOLIGO/BUTTERFISH - COMMERCIAL MORATORIUM - 2010	01-MAY-10	30-APR-11
2010	SMB	3	SQUID/BUTTERFISH - INCIDENTAL - 2010	01-MAY-10	30-APR-11
2010	SMB	4	ATLANTIC MACKEREL - 2010	01-MAY-10	30-APR-11
2010	TLF	1	TILEFISH - COMMERCIAL/INCIDENTAL - 2010	01-MAY-10	30-APR-11

National Marine Fisheries Service

NMFS vessel/fishery data as of September 8, 2010, 6:52 pm

Disclaimer: The information contained on this site does not guarantee that these permits can be renewed or applied for in the future. This information is advisory only and does not represent the baseline information for any vessel, which includes limited access scallop or Northeast multispecies vessel baseline information, used to determine vessel upgrade or replacement eligibility. The National Marine Fisheries Service shall not be held liable for losses caused by use of this information. The National Marine Fisheries Service reserves the right to make a final determination regarding vessel eligibility for any permit.

Most Recent Vessel Data

Vessel: VALIANT LADY
Northeast Federal Fishery Permit: 310100
US Coast Guard Doc #/State Registration # : 278000
Primary Owner|Corporation Name: MICHAEL A DOYLE
Address:
26 SHANNON ROAD
WAKEFIELD, RI 02879
Phone: (401) 783-1565

Hailing Port: POINT JUDITH, RI.
Principal Port: POINT JUDITH, RI.
Max Trap Allocation: N/A

Most Recent Northeast Federal Fishery Permit Data

Permit Year	Plan	Category	Description	Start Date	End Date
2000	BSB	1	BLACK SEA BASS MORATORIUM - 2000	01-MAY-00	12-MAY-00
2000	DOG	1	SPINY DOGFISH - 2000	01-MAY-00	12-MAY-00
2000	FLS	1	SUMMER FLOUNDER-COMMERCIAL-2000	01-MAY-00	12-MAY-00
2000	LO	1	AMERICAN LOBSTER - NON-TRAP 2000	01-MAY-00	12-MAY-00
2000	MNK	D	MONKFISH - CATEGORY D - 2000	01-MAY-00	12-MAY-00
2000	MUL	B	NE MULTS - FLEET DAS - 2000	01-MAY-00	12-MAY-00
2000	SCG	1	SEA SCALLOP - GENERAL - 2000	01-MAY-00	12-MAY-00
2000	SCP	1	SCUP-COMMERCIAL MORATORIUM-2000	01-MAY-00	12-MAY-00
2000	SMB	1	LOLIGO/BUTTERFSH-MORATORIUM-2000	01-MAY-00	12-MAY-00
2000	SMB	3	SQUID/BUTTERFSH-INCIDENTAL-2000	01-MAY-00	12-MAY-00
2000	SMB	4	ATLANTIC MACKEREL - 2000	01-MAY-00	12-MAY-00

MA SOC Filing Number: 200967964320 Date: 05/26/2009 4:41 PM

The Commonwealth of Massachusetts
William Francis Galvin

Secretary of the Commonwealth
One Ashburton Place, Boston, Massachusetts 02108-1512
Telephone: (617) 727-9640

Special Instructions

Articles of Organization
(General Laws, Chapter 180)

Federal Identification Number: 001003708

ARTICLE I

The name of the corporation is:

XIII NORTHEAST FISHERY SECTOR, INC.

ARTICLE II

The purpose of the corporation is to engage in the following business activities:

THE PURPOSES OF THE CORPORATION INCLUDE BUT ARE NOT LIMITED TO CIVIC, EDUCATIONAL, SCIENTIFIC AND AGRICULTURAL (REGARDING THE HARVESTING OF AQUATIC RESOURCES). THE CORPORATION IS INTENDED TO QUALIFY AS AN “AGRICULTURAL ORGANIZATION” WITHIN THE MEANING AND CONTEXT OF INTERNAL REVENUE CODE (“IRC”), SECTION 501(C)(5).

THE CORPORATION MAY OR WILL ENGAGE IN ONE OR MORE OF THE FOLLOWING ACTIVITIES:

FORMING A SECTOR OF FISHERMEN (“MEMBERS”) TO RECEIVE OR ACCEPT AN AGGREGATE ALLOCATION OF FISHING PRIVILEGES TO BE HARVESTED BY THE MEMBERS.

MANAGING THE HARVEST OF THE AGGREGATE ALLOCATION OF FISHING PRIVILEGES AMONG THE MEMBERS, TO ENHANCE THE CONDITIONS OF THE MEMBERS AND THE RELEVANT FISHERIES AND TO IMPROVE THE EFFICIENCY OF HARVEST OF THE RELEVANT FISHERY RESOURCES.

ENCOURAGING RESPONSIBLE FISHING METHODS AND PRACTICES TO CONSERVE FISHERY AND OTHER ENVIRONMENTAL RESOURCES, ADVANCING AND ENSURING SURVIVAL OF SUSTAINABLE FISHERIES, AND PROVIDING OPPORTUNITIES FOR ECONOMICALLY PRACTICAL AND VIABLE ENTRY TO AND EXIT FROM THE RELEVANT FISHERIES.

CONDUCTING SUCH OTHER ACTIVITIES IN FURTHERANCE OF THE FOREGOING PURPOSES AND SUCH OTHER LAWFUL AND PROPER ACTIVITIES AS MAY BE CONDUCTED BY A CORPORATION ORGANIZED UNDER THE GENERAL LAWS OF MASSACHUSETTS, CHAPTER 180, AND AS DESCRIBED IN IRC SECTION 501(C)(5).

ARTICLE III

A corporation may have one or more classes of members. If it does, the designation of such classes, the manner of election or appointments, the duration of membership and the qualifications and rights, including voting rights, of the members of each class, may be set forth in the by-laws of the corporation or may be set forth below:

NOT PRESENTLY APPLICABLE.

ARTICLE IV

Other lawful provisions, if any, for the conduct and regulation of the business and affairs of the corporation, for its voluntary dissolution, or for limiting, defining, or regulating the powers of the corporation, or of its directors or members, or of any class of members, are as follows: (*If there are no provisions state "NONE"*)

1. IN ADDITION TO THE POWERS OF THE CORPORATION UNDER THE GENERAL LAWS OF MASSACHUSETTS, CHAPTER 180, THE CORPORATION SHALL HAVE AND MAY EXERCISE IN FURTHERANCE OF ITS CORPORATE PURPOSES EACH OF THE POWERS SPECIFIED IN SECTIONS 9A AND 9B OF THE MASSACHUSETTS GENERALS LAWS, CHAPTER 156B.

2. THE DIRECTORS MAY MAKE, AMEND OR REPEAL THE BY-LAWS IN WHOLE OR IN PART, EXCEPTING ONLY WITH RESPECT TO ANY PROVISIONS THEREOF WHICH BY LAW OR THE SAID BY-LAWS REQUIRES ACTION OR CONSENT BY THE MEMBERS.

3. THE LIABILITY OF THE CORPORATION'S OFFICERS AND DIRECTORS TO THE CORPORATION, ITS MEMBERS, AND GENERALLY SHALL BE LIMITED TO THE FULLEST EXTENT PERMITTED BY, AND IN ACCORDANCE WITH, MASSACHUSETTS GENERAL LAWS CHAPTER 180, SECTION 3, SUBJECT TO THE EXCEPTIONS AND PROVISOS THEREIN, AND ANY OTHER SIMILAR OR SUCCESSOR APPLICABLE STATUTE.

4. NOTWITHSTANDING ANYTHING TO THE CONTRARY HEREIN PROVIDED, THE CORPORATION IS ORGANIZED AND SHALL BE OPERATED EXCLUSIVELY FOR THE BETTERMENT OF THE CONDITIONS OF ITS MEMBERS, AS THEY ARE FROM TIME TO TIME CONSTITUTED, AND THE DEVELOPMENT OF A GREATER DEGREE OF EFFICIENCY IN THE HARVESTING OF FISHERY RESOURCES, PURSUANT TO IRC SECTION 501(C)(5). THE POWERS OF THE CORPORATION SHALL BE EXERCISED ONLY IN SUCH MANNER AS WILL ASSURE THE OPERATION OF THE CORPORATION EXCLUSIVELY FOR SAID PURPOSES, IT BEING INTENDED THAT THE CORPORATION SHALL BE EXEMPT FROM FEDERAL INCOME TAXATION PURSUANT TO SAID IRC SECTION 501(C)(5), AND ALL PURPOSES AND POWERS HEREIN SHALL BE INTERPRETED AND EXERCISED CONSISTENTLY WITH THIS INTENT.

5. NO PART OF THE NET EARNINGS OF THE CORPORATION WILL OR SHALL INURE TO THE BENEFIT OF, OR BE DISTRIBUTED TO, ITS MEMBERS, DIRECTORS, OFFICERS, OR INDIVIDUALS, EXCEPT THAT THE CORPORATION SHALL BE AUTHORIZED AND EMPOWERED TO PAY REASONABLE AND CUSTOMARY COMPENSATION FOR SERVICES ACTUALLY RENDERED, AND TO MAKE PAYMENTS AND DISTRIBUTIONS IN FURTHERANCE OF THE CORPORATION'S PURPOSES AS SET FORTH IN ARTICLE II OF THESE ARTICLES.

6. EXCEPT AS MAY BE OTHERWISE PROVIDED BY LAW, THE CORPORATION MAY AT ANY TIME AUTHORIZE A PETITION FOR ITS DISSOLUTION TO BE FILED WITH THE SUPREME JUDICIAL COURT OF THE COMMONWEALTH OF MASSACHUSETTS BY THE AFFIRMATIVE VOTE OF A MAJORITY OF ITS MEMBERS; PROVIDED, HOWEVER, THAT UPON ANY LIQUIDATION, DISSOLUTION, TERMINATION, OR WINDING DOWN OF THE CORPORATION (WHETHER VOLUNTARY, INVOLUNTARY, OR BY OPERATION OF LAW), THE PROPERTY OR ASSETS OF THE CORPORATION REMAINING AFTER PROVIDING FOR THE PAYMENT OF ITS DEBTS AND OBLIGATIONS SHALL BE CONVEYED, TRANSFERRED, DISTRIBUTED, AND SET OVER OUTRIGHT TO ONE OR MORE ORGANIZATIONS CREATED AND ORGANIZED FOR CHARITABLE OR NONPROFIT PURPOSES SIMILAR TO THOSE OF THE CORPORATION, QUALIFYING AS EXEMPT FROM FEDERAL INCOME TAXATION UNDER IRC SECTION 501(C)(5) (OR OTHER PERMITTED SIMILAR QUALIFYING EXEMPTION), AS A MAJORITY OF THE CORPORATION'S MEMBERS MAY DESIGNATE BY VOTE, IN SUCH PROPORTIONS AND IN SUCH MANNER AS DETERMINED IN SUCH VOTE.

Note: The preceding four (4) articles are considered to be permanent and may ONLY be changed by filing appropriate Articles of Amendment.

ARTICLE V

The by-laws of the corporation have been duly adopted and the initial directors, president, treasurer and clerk or other presiding, financial or recording officers, whose names are set out on the following page, have been duly elected.

ARTICLE VI

The effective date of organization of the corporation shall be the date approved and filed by the Secretary of the Commonwealth. If a *later* effective date is desired, specify such date which shall not be more than *thirty days* after the date of filing.

Later Effective Date:

ARTICLE VII

The information contained in Article VII is not a permanent part of the Articles of Organization

a. The street address (*post office boxes are not acceptable*) of the principal office of the corporation *in Massachusetts* is:

No. and Street: 4 PARKER STREET

City or Town: GLOUCESTER **State:** MA **Zip:** 01930 **Country:** USA

b. The name, residential address and post office address of each director and officer is as follows:
(A president, treasurer, clerk, and at least one director are required.)

Title: PRESIDENT **Expiration of Term:** Until successor is elected and qualified

First Name: JACQUELINE **Middle Name:** **Last Name:** ODELL

Residential Address: 17 MAIN STREET

City: GLOUCESTER **State:** MA **Zip:** 01930

Country: USA

Post Office Address: 17 MAIN STREET

City: GLOUCESTER **State:** MA **Zip:** 01930

Country: USA

Title: TREASURER **Expiration of Term:** Until successor is elected and qualified

First Name: JACQUELINE **Middle Name:** **Last Name:** ODELL

Residential Address: 17 MAIN STREET

City: GLOUCESTER **State:** MA **Zip:** 01930

Country: USA

Post Office Address: 17 MAIN STREET

City: GLOUCESTER **State:** MA **Zip:** 01930

Country: USA

Title: CLERK **Expiration of Term:** Until successor is elected and qualified

First Name: JACQUELINE **Middle Name:** **Last Name:** ODELL

Residential Address: 17 MAIN STREET

City: GLOUCESTER **State:** MA **Zip:** 01930

Country: USA

Post Office Address: 17 MAIN STREET

City: GLOUCESTER **State:** MA **Zip:** 01930

Country: USA

Title: DIRECTOR **Expiration of Term:** Until successor is elected and qualified

First Name: JACQUELINE **Middle Name:** **Last Name:** ODELL

Residential Address: 17 MAIN STREET

City: GLOUCESTER **State:** MA **Zip:** 01930

Country: USA

Post Office Address: 17 MAIN STREET

City: GLOUCESTER **State:** MA **Zip:** 01930

Country: USA

c. The fiscal year (i.e., tax year) of the corporation shall end on the last day of the month of: December

d. The name and business address of the resident agent, if any, of the corporation is:

Name: VITO GIACALONE

No. and Street: 10 WITHAM STREET

City or Town: GLOUCESTER **State:** MA **Zip:** 01930 **Country:** USA

I/We, the below signed incorporator(s), do hereby certify under the pains and penalties of perjury that I/we have not been convicted of any crimes relating to alcohol or gaming within the past ten years. I/We do hereby further certify that to the best of my/our knowledge the above-named officers have not been similarly convicted. If so convicted, explain:

IN WITNESS WHEREOF AND UNDER THE PAINS AND PENALTIES OF PERJURY, I/we, whose signature(s) appear below as incorporator(s) and whose name(s) and business or residental address(es) are beneath each signature do hereby associate with the intention of forming this corporation under the provisions of General Law, Chapter 180 and do hereby sign these Articles of Organization as incorporator(s) this 26 Day of May, 2009
(If an existing corporation is acting as incorporator, type in the exact name of the corporation, the state of other jurisdiction where it was incorporated, the name of the person signing on behalf of said corporation and the title he/she holds or other authority by which such action is taken.)

JACQUELINE ODELL

© 2001 Secretary of the Commonwealth of Massachusetts
All Rights Reserved

THE COMMONWEALTH OF MASSACHUSETTS

I hereby certify that, upon examination of this document, duly submitted to me, it appears that the provisions of the General Laws relative to corporations have been complied with, and I hereby approve said articles; and the filing fee having been paid, said articles are deemed to have been filed with me on:

May 26, 2009 4:41 PM

WILLIAM FRANCIS GALVIN

Secretary of the Commonwealth

The Commonwealth of Massachusetts
William Francis Galvin

Secretary of the Commonwealth, Corporations Division
One Ashburton Place, 17th floor
Boston, MA 02108-1512
Telephone: (617) 727-9640

XIII NORTHEAST FISHERY SECTOR, INC. Summary Screen

Help with this form

Request a Certificate

The exact name of the Nonprofit Corporation: XIII NORTHEAST FISHERY SECTOR, INC.

Entity Type: Nonprofit Corporation

Identification Number: 001003708

Date of Organization in Massachusetts: 05/26/2009

Current Fiscal Month / Day: 12 / 31

The location of its principal office in Massachusetts:
No. and Street: 4 PARKER STREET
City or Town: GLOUCESTER State: MA Zip: 01930 Country: USA

If the business entity is organized wholly to do business outside Massachusetts, the location of that office:
No. and Street:
City or Town: State: Zip: Country:

The name and address of the Resident Agent:
Name: VITO GIACALONE
No. and Street: 10 WITHAM STREET
City or Town: GLOUCESTER State: MA Zip: 01930 Country: USA

The officers and all of the directors of the corporation:

Title	Individual Name First, Middle, Last, Suffix	Address (no PO Box) Address, City or Town, State, Zip Code	Expiration of Term
PRESIDENT	JACKIE ODELL	4 PARKER ST GLOUCESTER, MA 01930 USA	Until successor is elected and qualified
TREASURER	JACKIE ODELL	4 PARKER ST GLOUCESTER, MA 01930 USA	Until successor is elected and qualified
CLERK	JACKIE ODELL	4 PARKER ST GLOUCESTER, MA 01930 USA	Until successor is elected and qualified
DIRECTOR	THOMAS WILLIAMS	6 RHODY DRIVE WESTERLY, RI 02891 USA	Until successor is elected and qualified
DIRECTOR	ALEX SMITH	84 FRONT STREET NEW BEDFORD, MA 02740 USA	Until successor is elected and qualified
DIRECTOR	MICHAEL MATULAITIS	PO BOX 5296 20 JACKSON STREET ENGLEWOOD, NJ 07631-5296 USA	Until successor is elected and qualified

DIRECTOR	ALBERT "TOBY" LEES	655 PINE HILL ROAD WESTPORT, MA 02790 USA	Until successor is elected and qualified
DIRECTOR	CHRISTOPHER ROEBUCK	81 POINT AVE WAKEFIELD, RI 02879 USA	Until successor is elected and qualified
DIRECTOR	MARK PHILLIPS	210 ATLANTIC AVE GREEPORT, NY 11944 USA	Until successor is elected and qualified

__ Consent __ Manufacturer __ Confidential Data __ Does Not Require Annual Report

__ Partnership __ Resident Agent __ For Profit __ Merger Allowed

Select a type of filing from below to view this business entity filings:

ALL FILINGS
Annual Report
Application For Revival
Articles of Amendment
Articles of Consolidation - Foreign and Domestic

View Filings New Search

Comments

© 2001 - 2010 Commonwealth of Massachusetts
All Rights Reserved

Help

AMENDMENT 1 TO THE NORTHEAST FISHERY SECTOR XIII OPERATIONS PLAN

APPROVED BY THE NATIONAL MARINE FISHERIES SERVICE APRIL 26, 2011

EFFECTIVE MAY 1, 2011, THROUGH APRIL 30, 2012 - THE 2011 FISHING YEAR

This amendment officially recognizes the FY 2011 exemptions approved for the Northeast Fishery Sector XIII:

1. 120-Day Block Requirement Out of the Fishery for Day Gillnet Vessels
2. Limitation on the Number of Gillnets that May be Hauled on Georges Bank when Fishing Under a Groundfish/Monkfish Days-at-Sea (DAS)
3. Limitation on the Number of Gillnets for Day Gillnet Vessels
4. 20-Day Spawning Block
5. Length and Horsepower Restrictions of the DAS Leasing Program
6. Discarding Exemption
7. Dockside Monitoring (DSM) requirements for Vessels Fishing West of 72°30’ W. long.
8. DSM Requirements for Vessels Fishing on Both a Monkfish and Multispecies DAS in the Southern Fishery Management Area (SFMA) using 10-inch or Greater Mesh

AMENDMENT 2 TO THE NORTHEAST FISHERY SECTOR XIII OPERATIONS PLAN

APPROVED BY THE NATIONAL MARINE FISHERIES SERVICE MAY 19, 2011

EFFECTIVE MAY 1, 2011, THROUGH APRIL 30, 2012 - THE 2011 FISHING YEAR

This amendment officially recognizes Atlantic Catch Data Ltd. as the authorized dockside monitoring service provider for the Northeast Fishery Sector XIII for fishing year 2011. Specific contact information, also found in Amendment 2, is:

Atlantic Catch Data Ltd.
99 Wyse Road, Suite 815
Dartmouth, Nova Scotia
CANADA, B3A 4S5
Phone 1+(902) 422-4745
Fax 1+(902) 422-9780

AMENDMENT 3 TO THE NORTHEAST FISHERY SECTOR XIII
2011 SECTOR OPERATIONS PLAN

APPROVED BY THE NATIONAL MARINE FISEHRIES SERVICE JUNE 9, 2011

EFFECTIVE MAY 1, 2011, THROUGH APRIL 30, 2012 - THE 2011 FISHING YEAR

This amendment officially recognizes the final fishing year (FY) 2011 Northeast Fishery Sector XIII membership based on the final sector roster, submitted on May 1, 2011. The final roster is summarized below:

MRI	Permit	Vessel Name
181	230851	MARY L
469	310100	VALIANT LADY
516	320699	TENACITY
523	310439	HERITAGE
527	310455	JAMES & MATTHEW
556	320127	MATTIE AND MAREN
626	150615	2007 WALKER BAY
639	320583	HOPE & SYDNEY
648	310360	TRADITION
692	330084	CURLEW II
795	330322	JOHANNA LENORE
803	330339	EXCALIBUR
811	330354	ANNE KATHRYN
826	330383	MISCHIEF
869	330487	MARGARET HOLLEY
871	330493	CHARLIES PRIDE
873	241153	EMILIA ROSE
879	330517	SEEL
892	330549	ILLUSION
906	330579	CONOR AND MICHAEL
988	410166	PROVIDER
1019	410210	TROPICO
1062	410273	MEGAN-MARIE
1074	410298	BUZZARDS BAY
1084	410326	KAREN ELIZABETH
1093	410342	DEBBIE SUE
1095	410344	SEAFARER
1099	410349	VIC-TER-RAE
1108	410367	ROSE MARIE
1118	410588	JASON & DANIELLE

1168	410514	YANKEE PRIDE
1524	330739	PREVAIL
1607	410154	PONTOS
1704	330281	PREDATOR
1954	320419	EVENING PRAYER

AMENDMENT 4 TO THE NORTHEAST FISHERY SECTOR XIII OPERATIONS PLAN FOR FISHING YEAR 2011

APPROVED BY THE NATIONAL MARINE FISHERIES SERVICE JULY 1, 2011

EFFECTIVE JULY 1, 2011, THROUGH APRIL 30, 2012 – THE 2011 FISHING YEAR

This amendment approves 2 additional exemptions for Northeast Fishery Sector XIII. The new exemptions are:

1. Gear requirements in the U.S./Canada Management Area.
2. Requirement to maintain a vessel monitoring system (VMS) powered while at the dock.

The following exemptions were previously approved for Northeast Fishery Sector XIII and remain in effect:

1. 120-Day block requirement out of the fishery for day gillnet vessels.
2. Limitation on the number of gillnets that may be hauled on Georges Bank when fishing under a groundfish/monkfish Day-at-Sea (DAS).
3. Limitation on the number of gillnets for day gillnet vessels.
4. 20-Day Spawning Block.
5. Length and horsepower restrictions of the DAS Leasing Program.
6. Discarding exemption.
7. Dockside monitoring (DSM) requirements for vessels fishing west of 72°30’ W. long.
8. DSM requirements for vessels fishing on both a monkfish and a multispecies DAS in the Southern Fishery Management Area (SFMA) using 10-inch or Greater Mesh.