



Fishing Year 2021
 (April 1, 2021 – March 31, 2022)
Sea Scallop Access Area Allocation Exchange Application
Full-Time

Instructions: This form must be used to request a Sea Scallop Access Area allocation exchange. One form must be used for each exchange. Vessel operators may not start an Access Area trip requiring the allocation requested below until vessel owners receive written notice that the request has been approved. Written approval or disapproval of the request will be provided within 15 days of receipt of this form. Please read the 'Scallop Access Area Allocation Exchange Program Requirements and Restrictions' included with this form for more information.

Full-time vessels: Access area allocation may only be exchanged in the amount of a full trip limit or half trip limit. Trip trading will be allowed between full-time vessels in 9,000 pounds increments. Full-time vessel can only trade with other full-time vessels (categories: 2, 5 and 7). Full-time vessels can exchange no more than 18,000 pounds or no less than 9,000 pounds of unharvested scallops, from one access area for another 18,000 pounds or 9,000 pounds of unharvested scallops allocated to another vessel from another access area

<p>Full Time Vessel A's Permit Information</p> <p>Name: _____</p> <p>Business Name: _____</p> <p>F/V: _____</p> <p>Permit Number: _____</p>	<p>Full Time Vessel B's Permit Information</p> <p>Name: _____</p> <p>Business Name: _____</p> <p>F/V: _____</p> <p>Permit Number: _____</p>
<p>Vessel A will give one access area allocation below to Vessel B (Check only one)</p> <ul style="list-style-type: none"> <input type="checkbox"/> MAA /9000 lb <input type="checkbox"/> MAA / 18,000 lb <input type="checkbox"/> CAII / 9,000 lb <input type="checkbox"/> CAII / 18,000 lb <input type="checkbox"/> NLS-S / 9,000 lb <input type="checkbox"/> NLS-S / 18,000 lb 	<p>Vessel B will give one access area allocation below to vessel A (Check only one)</p> <ul style="list-style-type: none"> <input type="checkbox"/> MAA /9000 lb <input type="checkbox"/> MAA / 18,000 lb <input type="checkbox"/> CAII / 9,000 lb <input type="checkbox"/> CAII / 18,000 lb <input type="checkbox"/> NLS-S / 9,000 lb <input type="checkbox"/> NLS-S / 18,000 lb

Access Area Codes: MAA = Mid-Atlantic Area; CA II = Closed Area 2; and NLS-S; = Nantucket Lightship South

<p>Signed: _____ (Vessel Owner A)</p> <p>Dated: _____</p>	<p>Signed: _____ (Vessel Owner B)</p> <p>Dated: _____</p>
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Signature of this form certifies that the information provided on this form is true, complete and correct to the best of the vessel owner's knowledge, and made in good faith (18 U.S.C. 1001). Making a false statement on this form is punishable by law.

Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number. Public reporting burden for this collection of information is estimated to average 5 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining data needed, and completing and reviewing the information. Send comments regarding this burden estimate or suggestions for reducing this burden to NMFS, 55 Great Republic Drive, Gloucester, MA 01930; and to OMB, Paperwork Reduction Project, Washington, DC 20509. OMB Approval No. 0648-0491; Expires 4/30/2021

This summary is not intended to be a substitute for the regulations. The regulations regarding the Scallop Access Area Allocation Exchange Program are located at 50 CFR 648.59(b)(3)(ii).

Full Time Vessel's Access area allocation exchange restrictions.

- Access area allocation may only be exchanged in the amount of a full trip limit or half trip limit.
 - Trip trading will be allowed between full-time vessels in 9,000 pounds increments;
 - Full-time vessel can only trade with other full-time vessels (categories: 2, 5)
 - That is full-time limited access permitted vessel can only exchange no more 18,000 pounds or no less than 9,000 pounds of un-harvested scallops, from one access area for another 18,000 pounds or 9,000 pounds of un-harvested scallops allocated to another vessel from another access area.
- Limited Access scallop permits that are in confirmation of permit history (CPH) or history retention status are **not** eligible for access area allocation exchanges.
- Vessel owners must request exchanges by submitting a complete access area allocation exchange at least 15 days before the date on which the applicant desires the exchange to be effective.
- The Regional Administrator has final approval authority for all access area allocation exchange requests.

Application requirements.

- The application must be signed by both parties involved in the access area allocation exchange.
- Applications may be submitted at any time during the scallop fishing year.
- Applications received after May 30, 2022 will not be accepted.
- Applications must be submitted at least 15 days before the date on which the applicants desire to have the allocation exchange effective.

Application information.

- Specific vessel information obtained on this form is considered confidential in that the vessel would identity would not be released to the public.

Approval of access area allocation exchange applications.

- Unless an application is denied for the reasons below, the Regional Administrator shall issue confirmation of application approval to both parties involved in the exchange within 15 days of receipt of an application.

Denial of access area allocation exchange application. An application to exchange access area allocation may be rejected for, but not limited to, the following reasons:

- The application is incomplete.
- One or both of the vessels are not issued a valid full-time scallop DAS permit.
- One or both of the applicants have been sanctioned, pursuant to an enforcement proceeding.
- One or both of the vessels do not have a full access area allocation (18,000 lb full trip or half trip of 9,000 lb) available to enact the exchange.
- The transferor or transferee has failed to submit past due Vessel Trip Reports or comply with vessel trip reporting requirements.

Upon denial of an application to exchange access area allocation a denial letter will be sent to the applicants describing the reason(s) for the rejection.

Scallop access area allocation exchange request submission.

- Access area allocation exchange request forms may be submitted by mail to:

NOAA's National Marine Fisheries Service
Attn: Permits
55 Great Republic Drive
Gloucester, MA 01930

- You may also submit the form to our scallop email nmfs.gar.scallop@noaa.gov; or
- By fax (978) 281-9161
- Emailed applications must be submitted with signatures and date in PDF format.



Fishing Year 2021 (April 1, 2021 – March 31, 2022)

Sea Scallop Access Area Allocation Exchange Application Part-Time

Instructions: This form must be used to request a Sea Scallop Access Area allocation exchange. One form must be used for each exchange. Vessel operators may not start an Access Area trip requiring the allocation requested below until vessel owners receive written notice that the request has been approved. Written approval or disapproval of the request will be provided within 15 days of receipt of this form. Please read the 'Scallop Access Area Allocation Exchange Program Requirements and Restrictions' included with this form for more information.

Part-time vessel trip trading will be allowed between part-time vessels in 14,400-lb increments; Part-time vessels can only trade with other part-time vessels (categories: 3, 6, and 8)
Part-time vessels are allocated 14,400 lb into either Closed Area II (CAII) or Nantucket Lightship-South-Deep (NLS-S-D). Please choose either CAII or NLS-S-D on the exchange form to confirm which area you would like your exchanged allocation to be allocated. Once you select an area, the entirety of the 14,400-lb allocation can only be taken from the same area.

<p>Part-Time Vessel A's Permit Information</p> <p>Name: _____</p> <p>Business Name: _____</p> <p>F/V: _____</p> <p>Permit Number: _____</p>	<p>Part-Time Vessel B's Permit Information</p> <p>Name: _____</p> <p>Business Name: _____</p> <p>F/V: _____</p> <p>Permit Number: _____</p>
<p>Vessel A will give one access area allocation below to Vessel B (Check Only One)</p> <p><input type="checkbox"/> MAA/ 14,400 lb</p> <p><input type="checkbox"/> CAII / 14,400 lb</p> <p><input type="checkbox"/> NLS-S-D / 14,400 lb</p>	<p>Vessel B will give one access area allocation below to Vessel A (Check Only One)</p> <p><input type="checkbox"/> MAA/ 14,400 lb</p> <p><input type="checkbox"/> CAII / 14,400 lb</p> <p><input type="checkbox"/> NLS-S-D / 14,400 lb</p>

Access Area Codes: MAA = Mid-Atlantic Area, CA II = Closed Area 2 and NLS-S-D = Nantucket Lightship-South Deep

Signed: _____ (Vessel Owner A)	Signed: _____ (Vessel Owner B)
Dated: _____	Dated: _____

Signature of this form certifies that the information provided on this form is true, complete and correct to the best of the vessel owner's knowledge, and made in good faith (18 U.S.C. 1001). Making a false statement on this form is punishable by law.

Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number. Public reporting burden for this collection of information is estimated to average 5 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining data needed, and completing and reviewing the information. Send comments regarding this burden estimate or suggestions for reducing this burden to NMFS, 55 Great Republic Drive, Gloucester, MA 01930; and to OMB, Paperwork Reduction Project, Washington, DC 20509. OMB Approval No. 0648-0491; Expires 4/30/2021

This summary is not intended to be a substitute for the regulations. The regulations regarding the Scallop Access Area Allocation Exchange Program are located at 50 CFR 648.59(b)(3)(ii).

Part-time Vessel Access area allocation exchange restrictions.

- Part-time vessels can only exchange no more no less than 14,400 pounds of unharvested scallops from one access area for another 14,400 pounds of unharvested scallops from another access area.
- Part-time vessels are allocated 14,400 lb into either Closed Area II (CAII) or Nantucket Lightship-South-Deep (NLS-S-D). Please choose either CAII or NLS-S-D on the exchange form to confirm which area you would like your exchanged allocation to be allocated. Once you select an area, the entirety of the 14,400-lb allocation can only be taken from the same area.
- Limited Access scallop permits that are in confirmation of permit history (CPH) or history retention status are **not** eligible for access area allocation exchanges.
- Vessel owners must request exchanges by submitting a complete access area allocation exchange at least 15 days before the date on which the applicant desires the exchange to be effective.
- The Regional Administrator has final approval authority for all access area allocation exchange requests.

Application requirements.

- The application must be signed by both parties involved in the access area allocation exchange.
- Applications may be submitted at any time during the scallop fishing year.
- Applications received after May 30,2022 will not be accepted.
- Applications must be submitted at least 15 days before the date on which the applicants desire to have the allocation exchange effective.

Application information.

- Specific vessel information obtained on this form is considered confidential in that the vessel would identity would not be released to the public.

Approval of access area allocation exchange applications.

- Unless an application is denied for the reasons below, the Regional Administrator shall issue confirmation of application approval to both parties involved in the exchange within 15 days of receipt of an application.

Denial of access area allocation exchange application. An application to exchange access area allocation may be rejected for, but not limited to, the following reasons:

- The application is incomplete.
- One or both of the vessels are not issued a valid part-time scallop DAS permit.
- One or both of the applicants have been sanctioned, pursuant to an enforcement proceeding.
- One or both of the vessels do not have a full access area allocation of 14,400 pounds available to enact the exchange.
- The transferor or transferee has failed to submit past due Vessel Trip Reports or comply with vessel trip reporting requirements.

Upon denial of an application to exchange access area allocation a denial letter will be sent to the applicants describing the reason(s) for the rejection.

Scallop access area allocation exchange request submission.

- Access area allocation exchange request forms may be submitted by mail to:

NOAA's National Marine Fisheries Service
Attn: Permits
55 Great Republic
Drive Gloucester, MA
01930

- You may also submit the form to our scallop email nmfs.gar.scallop@noaa.gov; or
- By fax (978) 281-9161
- Emailed applications must be submitted with signatures and date in PDF format.