SUSTAINABLE HARVEST SECTOR III

MEMBERSHIP CONTRACT

Fishing Year 2014

Revised May 6 2014

ARTICLE I – Definition of the Parties

This document shall serve as the membership contract between each signatory member and the Sustainable Harvest Sector III (SHS3). It is paired with the Sector Operations Plan, and all terms in the Operations Plan are binding to the Members who sign this contract. This contract is not complete unless accompanied by the Sector Operations Plan.

Section 1.1 Member’s Organization and Authority

Each Member asserts that, as an entity, it is duly organized, validly existing and in good standing in its state of organization and has all authority, corporate or otherwise, to enter into this Agreement on its own behalf and on behalf of the Participating Vessels and Permits that it represents.

This Agreement constitutes a legally valid and binding obligation of each Member, enforceable against such Member in accordance with both its terms and those of the Sector Operations Plan as approved by the National Marine Fisheries Service.

Each of the Members represent that its Participating Vessel(s) and Permit(s) have no sanctions or other restrictions against them that would prevent such Participating Vessels and Permits from enrolling in the Sector and/or complying with the terms of this Agreement.

Section 1.2 Sector Organization and Authority

The Sustainable Harvest Sector III, hereafter ‘Sector’ or ‘SHS3’, is a legal entity incorporated in the state of Massachusetts and as a nonprofit entity by the IRS, as such, it may be held liable for the violations committed by its members.

The SHS3 was approved as one of several sectors operating in the Northeast Multispecies Fishery under the terms of the federally authorized fishery management plan (FMP or Plan) for 2013 and by submitting this contract and accompanying Operations Plan is requesting approval for operating in fishing year 2014 as SHS3.
ARTICLE II – Membership

Section 2.1 Eligibility

To qualify for becoming a member of the Sector, each member has been issued a limited access multispecies permit which has a ‘potential sector contribution’ (PSC) associated with it. The PSC is based on the permit’s landings history for FY 1996 through 2006 under Amendment 16, and represents the permit’s contribution to the sector’s Annual Catch Entitlement (ACE). However, some limited access multispecies permits were previously part of either the Georges Bank (GB) Cod Hook Sector or the GB Cod Fixed Gear Sector. The PSC for GB cod for those permits is based on the permit’s landings history from FY 1996 – FY 2001 (May 1, 1996 through April 30, 2002 (the 1996-2001 fishing years).

Notwithstanding the list of Participating Vessels set forth on the signature pages, for purposes of this Agreement, “Participating Vessel” shall mean the vessel to which a Member’s Permit applies at any given time.

Section 2.2 Length of Member Commitment

Each Member hereby agrees that each of its Permits and the related Participating Vessels will remain enrolled in the Sector for the entire fishing year following the date on which such Member enrolled in the Sector (the “Commitment Period”); provided, however, that if NMFS shall not approve the Sector’s Operations Plan and Agreement, as the same may be amended, for any fishing year during a Member’s Commitment Period, then the obligation of such Member shall terminate on the last day of the last fishing year for which the Sector’s Operation Plan and Agreement shall have received approval from NMFS.

Section 2.4 Proof of Membership

Upon approval of the Sector’s operations plan for the 2014 fishing year, each sector vessel will be issued a Letter of Authorization (LOA) by the Regional Administrator specifying the exemptions granted. Vessels must comply with all applicable Federal regulations and laws not specifically exempted in the LOA. The LOA with a copy of the approved Operations Plan for 2014 must be carried on board the vessel at all times in the Sector Manual. The LOA shall serve as Proof of Sector Membership and shall be made available to any NMFS or enforcement officials upon request.

Section 2.5 Member Obligations Apply Only to Participating Vessels

The obligations of the Members set forth in this Agreement shall only apply to the Permits and Participating Vessels (and not to any other permits or vessels owned by the Members that are not enrolled in this Sector pursuant to the terms hereof) to the extent
that such Permits or Participating Vessels are fishing commercially with gear that is capable of harvesting multispecies species managed under the Northeast Multispecies Fishery Management Plan.

Each Member agrees to ensure that all operators and crew of its Participating Vessels fully comply with the obligations set forth in this Agreement. Each Member further agrees to accept full responsibility for the actions of any such operators that result in a violation of this Agreement.

Section 2.6 Additional Member Obligations

NMFS requires that the Operations Plan governing the sector include a list of all state and federal permits held by the members along with an indication of whether those permits are enrolled in another state or federally authorized sector. Members agree to provide the Manager with a comprehensive list of their state and federal permits prior to December 1, 2013.

Additionally, the Operations Plan must include information regarding the activity level in the sector of Participating Vessel, that is, whether each participating vessel will be active on Sector trips or not. Members agree to provide the Manager with their best estimation of whether each Participating Vessel will actively fish on Sector trips during the upcoming fishing year prior to December 1, 2013.

Members are required to furnish the Sector Manager with the MRI, federal permit number, PSC and allocation of each multispecies stock for each permit they are enrolling in the Sector.

Section 2.7 Sector and Member Reporting Requirements

The Sector Manager is required to aggregate all participating vessel catch information from participating vessels’ sector trips and report weekly to the National Marine Fisheries Service (NMFS), up until a certain threshold is reached, at which time reporting to NMFS will be required on a daily basis. The reporting frequency for the sector manager’s ACE Status Report will be increased to daily when 90% of any of the sector’s ACEs is reached.

The Sector Manager, or a designated representative, must notify NMFS immediately by email if the threshold that triggers daily reporting has been reached. During the period when a sector has reached or exceeded 90% of any of its ACEs, daily ACE Status Reports must be submitted only on a day when a member vessel lands, or when the sector engages in an ACE transfer of a stock that is exceeding the 90% threshold. An alternative threshold for triggering daily reporting may be implemented during FY 2014 if agreed upon by the sector and NMFS. Additionally, the Sector is required to submit an Annual Report of all landings of all species caught by sector vessels for the entire fishing year within 60 days of the end of the multispecies fishing year.

Weekly reports to NMFS may include a section notifying NMFS of outstanding catch records. Any resolutions and / or ongoing internal sector investigations may be noted as required.
The Manager will develop and submit reports to NMFS by gathering and aggregating data from all available data sources, including but not limited to:

1. Vessel Trip Reports (VTRs), (including electronically transmitted VTRs);
2. Dealers, (including paper dealer receipts from each offload transmitted to the Manager within 24 hours of the vessel offloading, as well as dealer reports posted on the NMFS sector information management web portal);
3. Discards and assumed discard rates (as calculated by NMFS based on the Northeast Fishery Observer Program (NEFOP) and At-Sea Monitoring); and
4. Any other data sources as they are available.

To enable each Member and the Sector to monitor the Members’ compliance with this Agreement, each Member agrees to report each of its Participating Vessels’ entire catch from sector trips (including discards) by pounds, by species, and by broad groundfish stock area to the Sector Manager so the Manager can determine which stock of a species has been caught.

All members agree that they are responsible for transmitting all catch information from all sector trips to the Manager within 24 hours of the Participating Vessels’ unloading. The minimum information that must be transmitted to the Sector Manager includes a complete and legible VTR and dealer weigh-out receipt. Members and vessel operators may be subject to investigation and/or penalty if they do not meet the 24 hour deadline for submitting catch information. Such information may be transmitted electronically or by fax or other means as determined by the Manager as long as it is transmitted within 24 hours of each landing.

The Manager shall maintain all catch records and shall, upon the request of any Member, provide the Member with the Sector’s aggregate catch information that is generated from such records. Sector vessels which do not fish on sector trips agree that the Sector Manager will use VTR and dealer data as submitted to NMFS to compile the annual report.

Each member acknowledges and agrees that, in addition to reporting to the sector manager, all participating vessels are responsible for complying with all permitting requirements, recordkeeping, catch reporting, and VMS requirements described in the federal regulations for the fishery (50 CFR part 648).

Each Member further acknowledges and agrees that it is responsible for ensuring timely reporting in accordance with the provisions of this Section and failure to deliver the reports for a Member’s Participating Vessel in accordance with this Section shall be deemed a breach of this Agreement by such Member.

Section 2.8 Enforcement and Penalties
Investigation, enforcement procedures as well as penalties and terms for expulsion are explained in detail in the Sector Operations Plan as required by NMFS and are hereby incorporated by reference.

**Section 2.9 Joint and Several Liabilities**
Sector members acknowledge and agree that they and the sector may be held jointly and severally liable if they or their hired captain or crew

1. discard legal sized fish for which the sector has an allocation; and/or
2. misreport catch; and/or
3. cause the sector to exceed its Annual Catch Entitlement (ACE) for any allocated stock (an overage) as specified in Federal regulations.

**ARTICLE III – SECTOR ADMINISTRATION**

**Section 3.1 Board**
The Sector shall be governed by a Board of Directors which shall be elected according to the Bylaws.

**Section 3.2 Sector Manager**
The Board of Directors (the “Board”) of the Sector shall appoint a manager of the Sector (the “Manager”), which Manager shall have the authority to manage the day-to-day business of the Sector and submit all sector reports as required by NMFS.

**Section 3.3 Sector Manager Authority**
Members agree and acknowledge that the Sector Manager shall have the authority to monitor the fishing, fish offload, and fish sale activities of the Members and all Participating Vessels and to take such other actions as may be necessary, to ensure compliance by the Members, their Permits and Participating Vessels with this Agreement and all other Sector requirements as may be adopted under the terms of this Agreement, the Sector Operations Plan, the Sector’s Bylaws, Sector Board Policy and all other applicable laws, rules and regulations.

Such actions to enforce this Agreement, subject to the authority of the Board or a committee delegated thereby, the Sector’s Bylaws or any other agreement relating to the Sector’s internal governance, including specifically, without limitation, the authority to impose penalties set forth in the Schedule of Penalties as well as Stop Fishing Orders which may be enforced by NMFS Office of Law Enforcement.

The Manager shall also act as the liaison between NMFS and the Sector and shall assist Members in their dealings with NMFS if so requested.
Section 3.4  Membership Dues
The Board shall, to the extent necessary for the payment of the costs and expenses associated with the administration and management of the Sector (including the payment of the Manager’s salary), require the payment by the Members of annual membership dues and/or poundage fees. Such annual membership dues and/or poundage fees shall be fixed by resolution of the Board prior to the commencement of the applicable fishing year or at such other time as the Board may deem necessary or appropriate.

ARTICLE IV - Transfer of Permit, Allocation or Portion of Allocation

Section 4.1 Individual Permit Transfers
Each Member agrees that so long as it is a party to this Agreement, such Member shall not have the authority to sell, lease or transfer the ownership of its Permit or the right to harvest any portion or all of the Permit’s individual harvest share (share) to a party that is not bound by this Agreement for the remainder of the fishing year in which such sale, lease or transfer is to occur,

1. shall not transfer, lease or assign any DAS allocated to its Permit by NMFS to any permit that is not enrolled in a Sector (meaning any sector, not specifically SHS3) and

2. shall comply with the right of first refusal provisions hereof prior to the consummation of any proposed sale, lease or transfer permitted hereunder.

Section 4.2 Individual Share Transfers
Each member agrees that so long as it is party to this agreement, such member may choose to lease their individual share of the sector’s allocation to another member or to another sector, for the duration of the current fishing year provided that all sector members shall have to opportunity to match such written offer as described under section 4.3 ‘Right of First Refusal’.

Section 4.3 Right of First Refusal
In the event that any Member (a “Selling Member”) at any time proposes to sell, transfer or lease (a “Sale”) its Permit and/or any part of its Share to any proposed Buyer from outside the SHS who shall make a good faith, bona fide written offer, then the Selling Member shall first deliver to all Sector Members a written notice (“First Refusal Notice”) that the Selling Member proposes to make such Sale, transfer, or lease which First Refusal Notice shall state the identity of the prospective Buyer, state the amount of consideration for the Permit or any part of its share and the material terms and conditions upon which the proposed Sale, transfer or lease is to be made (the date on which the Sector receives the First Refusal Notice being the “First Refusal Notice Date”), represent that the Bona Fide Offer is an actual Bona Fide Offer, and include a copy of any written proposal, letter of intent or other agreement relating to the Bona Fide Offer.
The Sector Members shall have a period of five (5) calendar days following the First Refusal Notice Date (the “Election Period”) in which to elect to purchase or lease the Permit or any part of the Permit’s share at the price and subject to the same material terms and conditions set forth in the First Refusal Notice.

The Sector Members shall exercise the right to purchase or lease such Permit or share by delivering a written notice (“Election Notice”) to the Sector Manager within the Election Period. In the event that a Sector Member desires to purchase the Permit or share, then the parties shall schedule a closing for the payment for, and the delivery of, the Permit or share, which shall be no later than 45 calendar days after the First Refusal Notice Date.

If a Sector Member has not elected to purchase the Permit within the Election Period, then the Selling Member is free to sell the Permit or share to the Buyer; provided that such sale is on the terms and conditions specified in the First Refusal Notice.

ARTICLE V - Concluding Contract Language

In Witness whereof, the undersigned has enrolled the listed permits in the Sustainable Harvest Sector III and executed the Agreement known as the Sustainable Harvest Sector III Operations Plan for the 2014 fishing year.

The undersigned reserves the right to withdraw from this agreement by written notice to the Board of the Sustainable Harvest Sector III, provided such notice is postmarked no later than December 31, 2013.

Pursuant to section 402(b)(1)(F) of the Magnuson-Stevens Fishery Conservation and Management Act, 16 U.S.C §1881a(b)(1)(F), the undersigned hereby authorizes the release to the Sustainable Harvest Sector of information that is considered to be confidential or privileged by the Magnuson-Stevens Act or other federal law regarding the catch of various species of fish associated with the limited access Northeast multispecies permit with the Moratorium Right Identifiers (MRIs) listed above submitted to the National Marine Fisheries Service in compliance with 50 CFR 648.7 and §648.87 that the undersigned has authority to access. This information includes data required to be submitted or collected by NMFS, including but not limited to days-at-sea allocation and usage, vessel trip reports, dealer reports, Northeast Fishery Observer Program data, catch and landings history data, at-sea monitoring data, enforcement data, and all other information associated with the vessel, MRI #, and/or permit records.

This information shall be used exclusively by the sector for matters pertaining to sector management, including record retention requirements. Such information may not be released by the sector to another entity. When information released to the sector by the National Marine Fisheries Service is no longer needed for sector management, it shall be destroyed or returned by the sector manager to the undersigned at his or her election.
When the undersigned ceases to be a member of the sector, this authorization shall be deemed null and void.
THE SUSTAINABLE HARVEST SECTOR III
SECTOR OPERATIONS PLAN FOR FISHING YEAR MAY 2014-APRIL 2015

The undersigned has executed the Agreements known as the Sustainable Harvest Sector Operations Plan and the Sustainable Harvest Sector III Membership Agreement for the fishing year May 2014 – April 2015, as of the date written below.

<table>
<thead>
<tr>
<th>Permit #</th>
<th>Moratorium Right ID</th>
<th>Permit Name</th>
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Name __________________________________________________________

Capacity (owner, president, etc) __________________________________

Signature ______________________________________________________

Date __________________________________________________________
SUSTAINABLE HARVEST SECTOR III
OPERATIONS PLAN
FY2014

Date Amended: November 15 2013

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1. GENERAL PROVISIONS

1.1. Membership

The Sustainable Harvest Sector III (SHS3) is a voluntary business association comprised primarily of Northeast multispecies permit holders who have agreed to participate in and abide by the SHS3 Operations Plan and Membership Agreement for Fishing Year 2014 (FY14).

The owner of a permit that is eligible to join a sector but is not enrolled as a Member (and/or whose permit is not so enrolled), may apply. The Board or the Membership shall, at its sole discretion, determine whether the applicant shall be admitted as a Member of the Sector and/or its Permit included as a Permit.

Notwithstanding the foregoing, no such admission shall be effective until the new Member has paid the annual entry fee as set by the Board of Directors and has agreed in writing to be bound by, and to cause its Permit and Participating Vessel to comply with the terms of this Agreement, and until the provisions of this Agreement shall have been amended or modified to reflect such additional Member, Permit and/or Participating Vessel.

1.2. Management and Points of Contact

<table>
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<tr>
<th>Name</th>
<th>Title</th>
<th>Responsibility</th>
<th>Email</th>
<th>Phone</th>
<th>Street Address</th>
<th>City</th>
<th>State</th>
<th>Zip</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hank Soule</td>
<td>Manager</td>
<td>All sector operations plus all FMP and industry issues</td>
<td><a href="mailto:shsector@gmail.com">shsector@gmail.com</a></td>
<td>207-956-8497</td>
<td>PO Box 356</td>
<td>So. Berwick, ME</td>
<td>ME</td>
<td>03908</td>
</tr>
<tr>
<td>Maggie Raymond</td>
<td>Board</td>
<td>All FMP and industry issues</td>
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The SHS3’s Manager shall be the designated agent for service of process:

Hank Soule
PO Box 356
South Berwick, ME 03909

The following individuals are authorized to act on behalf of the SHS3:

Maggie Raymond
PO Box 287
South Berwick, ME 03909

The SHS3’s Board of Directors is attached as Appendix 2.
1.3. Incorporation as a Legal Entity

The SHS3 is a legal entity incorporated in the state of Massachusetts, and may be held liable for the violations committed by its members.

1.4. Definition of Sector as Three Entities

The NE multispecies Fishery Management Plan (FMP) defined a sector as a group of three or more persons, none of whom have an ownership interest in the other two persons in the sector. This criterion has been fulfilled with permit number 149544 under the distinct ownership of Christopher Yattaw; permit number 241823 under the distinct ownership of Mary Harris; and permit number 150495 under the distinct ownership of David Aripotch.
2. HARVESTING RULES

2.1. ACE Management

2.1.1. ACE and Annual Distribution

Each Member agrees that the ACE of multispecies authorized by NMFS to the Sector (the “ACE”) shall be harvested in accordance with the Harvesting Rules, which are set forth below. Individual sector members will be allocated a portion of the Sector’s total allocation based on the proportion of each stock that they contribute to the Sector’s initial ACE.

2.1.2. Reserve

Each Member agrees that a reserve in the amount of 5% of each stock within the initial ACE may be established to ensure that the Sector remains in compliance with its ACE limit. Such reserve may be withheld from each member’s allocations.

2.1.3. Distribution of Reserve

If the Board determines that as of April 1, the ACE in the Reserve has not been fully harvested by the Participating Vessels, the Board may release and authorize the harvesting of the reserve by the Members, their Permits and their Participating Vessels. Alternatively, the Board may decide to carry that reserve forward to the next fishing year.

2.1.4. Additional Measures to Prevent ACE Overages

The Board reserves the right to prohibit fishing activities by Members if it determines that those activities undermine or compromise the Sector Plan and the Sector or otherwise conflict with the standards and ethics described in the bylaws and guiding principles.

The Board may direct the Sector Manager to lease or trade for additional ACE of any stocks of concern.

The Board may impose additional restrictions as needed to slow down the pace of fishing.

The Sector Manager may issue (and ask NMFS to enforce) a ‘Stop Fishing Order’ to (a) any member vessels that has fished more than 95% of its individual allocation for any stock until additional allocation for that stock has been acquired or the member has agreed to not fish in that stock area for the rest of the fishing year, or (b) any member vessels that are in violation of any terms of the sector operations plan or membership agreement.
2.1.5. **ACE Transfers**

The Sector Manager will track and conduct all ACE transfers between members and with other sectors.

2.2. **Monitoring**

2.2.1. **Monitoring**

All participating vessels will send a legible copy of the VTR and the dealer’s weigh-out receipt to the Sector Manager within 24 hours of the end of every trip.

All participating vessels will transmit the Trip Start and Trip End Hail to NMFS.

All participating vessels will participate fully in the Northeast Fishery Observer Program and At Sea Monitoring Program.

2.2.2. **Sector Reporting to NMFS**

The Sector will report weekly to NMFS as required using data collected from vessels, VTRs, (and eVTRs when authorized), dealer reports, observer reports and any other data available.

The reporting frequency for the sector manager’s ACE Status Report will be increased to daily when 90% of any of the sector’s ACEs is reached. The Sector Manager, or a designated representative, must notify NMFS immediately by email if the threshold that triggers daily reporting has been reached. During the period when a sector has reached or exceeded 90% of any of its ACEs, daily ACE Status Reports must be submitted only on a day when a member vessel lands, or when the sector engages in an ACE transfer of a stock that is exceeding the 90% threshold. An alternative threshold for increasing reporting frequency may be implemented during FY 2014 if agreed upon by the sector and NMFS.

The Sector will submit required reports using the format and procedures prescribed by NMFS.

Weekly reports must include any enforcement or reporting compliance issues, including violations of Operations Plans (exclusive of defined administrative provisions), violations of regulations, or general problems with monitoring or sector operations during the reporting period.

2.2.3. **Plan for notifying NMFS once an ACE threshold has been reached**

NMFS will be notified by email as soon as the sector manager determines threshold has been reached.

2.2.4. **Data Reconciliation**
The SHS will reconcile the data from vessels, VTRs, (and eVTRs when authorized), the dealers’ report, and the observer’s report on an ongoing basis to closely track the sector’s ACE.

2.2.5. Discards

The Sector manager (or his/her designated representative) will derive stock specific discards for each trip. If the trip is observed by either an at-sea monitor or a Northeast Fisheries Observer Program (NEFOP) observer, discards will be derived based on data collected during that trip and will account for all hauls (observed and unobserved) on that trip. If the trip is not observed, discards will be derived using the NMFS-provided discard rate resulting from the NMFS method to estimate 'in-season' discard rates, which may not include data from research trips or sector trips using certain exemptions.

2.2.6. Annual Report

The Sector will report on the Sector’s performance after the end of the fishing year as required by NMFS.

2.2.7. Data Management

The Sector will maintain the necessary databases to track and maintain all relevant catch data, including VTR, dealer reports, observer data, and at-sea monitoring data, as required.

2.2.8. At-Sea Monitoring

The Sustainable Harvest Sector will participate in the Northeast Fisheries Observer Program (NEFOP) and the NMFS-funded at-sea monitoring (ASM) program. Any additional ASM coverage beyond the NMFS-funded level will be provided pursuant to an independent contract between the Sector and a NMFS approved provider. This additional ASM coverage will have vessel and trip selection coordinated through NMFS, will be conducted in the same manner with the same protocols as the NMFS-funded ASM program, and will use approved at-sea monitors, unless otherwise instructed. Also, this additional coverage will not be allowed to replace or interfere with either the coverage of NEFOP or the NMFS-funded ASM program. The Sector manager will maintain a database of all catch data, including but not limited to VTR, dealer, monitor, and observer data. NMFS will provide the Sector with data from NEFOP and ASM program.

2.2.9. Dockside Monitoring

[Reserved]
2.2.9.1. **Vessel Hails**

All members agree to hail ‘Trip Start’ and ‘Trip End” to NMFS via an approved method.

Vessels will hail Trip Start prior to leaving port via VMS, or phone or email if VMS is not working. The Trip Start Hail will include at least the following:

- Operator’s Permit Number
- Vessel Trip Report (VTR) serial number
- Whether an Observer or At-Sea Monitor (ASM) is on-board
- Usage of specific sector exemptions
- Usage of specific operations plan provisions
- Landing Port City
- Landing state (abbreviation)
- Estimated time and date of arrival in port
- Estimated time and date of offloading (required only for trips <6 hrs or if fishing within 6 hrs of offload port)
- Comments (required as directed by sector manager or Regional Administrator).

Vessels will send the Trip End hail via VMS to NMFS at least six hours in advance of landing. The Trip End hail will include the following:

- Operator’s Permit Number Vessel Trip Report (VTR) serial number
- First landing port city
- First landing state (abbreviation)
- Dealer/Offload Location
- Estimated time and date of arrival
- Second offload port city
- Estimated time and date of offload
- Second offload state (abbreviation)(if used)
- Total groundfish kept in pounds
- Total non-groundfish kept in pounds
- Comments (required as directed by sector manager or Regional Administrator).

For trips less than six hours in length or occurring within six hours of port, the estimated time of arrival to port and time of offload will be provided in the Trip Start hail. The Trip End hail will be sent upon completion of the last tow (or haul) with all required updated information. An alternative timing for the trip end hail may be implemented during FY14 if agreed upon by the sector and NMFS.
2.2.9.2. Dockside Monitoring Procedures

[Reserved]

2.2.9.3. Designated Landing Ports

Members shall agree to offload catch from sector trips in the following ports.

- Boston, MA
- Gloucester, MA
- Newburyport MA
- New Bedford, MA
- Provincetown, MA
- Hyannis, MA
- Chatham, MA
- Scituate, MA
- Point Judith, RI
- Portland, ME
- Biddeford Pool, ME
- Sebasco Harbor, ME
- Cundy’s Harbor, ME
- Rockland, ME
- Portsmouth, NH
- Rye, NH
- Newport, RI
- Chincoteague VA
- Tenant’s Harbor ME

2.2.9.4. Remote Ports

- Portsmouth State Pier, Portsmouth NH
- Port Authority, Portsmouth, NH
- Woods Hole, MA
- Sebasco Harbor, ME
- Bar Harbor, ME: Bar Harbor Town Dock
- Southwest Harbor ME: Southwest Harbor Town Dock
- Portland ME: Bait Lady Take-out, Scola’s Take out, Maine Wharf, Widgery Wharf
- Gloucester MA: Jodrey Pier, Pier 7 Take-out
- Provincetown, MA: Provincetown Town Pier
SAFE HARBOR PROTOCOL: To promote safety at sea, the Sector sets forth the following protocol for variance from the landing ports listed. If for reasons beyond a vessel operators control such as severe weather, mechanical failures, compromised hull integrity, instances of pump failures and danger of sinking, crew injury or life threatening illness and any other emergency situations that may arise, a sector vessel may enter a port other than those listed as “Landing Ports” to ensure the safety of the vessel and its crew. In the event that a Sector Vessel must utilize this safe harbor protocol, they must notify their Manager and NMFS OLE of when and where they had to seek safe harbor within 6 hours of this entering the port.

2.3. Administrative

2.3.1. Fishing in US/CA Areas

Sector participating vessels may fish in both the Eastern and Western US/Canada Areas. When reporting their catch to the Sector Manager, the operators of all Sector member vessels will specify the volume of catch and discards from each area.

Members electing to enter the Eastern US/CA area are required to comply with the observer notification reporting and VMS reporting requirements for that area.

2.3.2. Hot Spot Reporting

Each member agrees to report to the Sector Manager any and all areas of high bycatch of any sort, including undersized regulated species, areas of spawning fish, and/or any stock for which the Sector is approaching their threshold.

Upon receiving a hot spot report, the Sector Manager will send an alert to all member vessels to stay away from those particular areas.

2.3.3. Proof of Sector Membership

Sector Vessels will carry on board at all times a Letter of Authorization (LOA) from the Regional Administrator and a copy of this Operations Plan as proof of their membership in the sector. Vessels must comply with all applicable Federal regulations and laws not specifically exempted in the LOA.
2.4. Gear Restrictions

The Sector Board may institute spatial or temporal gear restrictions to slow down the catch rate of certain allocated stocks.

2.5. Confidential Data Statement

Pursuant to section 402(b)(1)(F) of the Magnuson-Stevens Fishery Conservation and Management Act, 16 U.S.C §1881a(b)(1)(F), the undersigned hereby authorizes the release to the manager of Sustainable Harvest Sector I of information that may be or is considered to be confidential or privileged by the Magnuson-Stevens Act or other federal law regarding the catch of various species of fish associated with the limited access Northeast multispecies permit with the Moratorium Right Identifiers (MRIs) enrolled in the sector submitted to the National Marine Fisheries Service in compliance with 50 CFR 648.7 and §648.87 that the undersigned has authority to access. This information includes data required to be submitted or collected by NMFS, including but not limited to days-at-sea allocation and usage, vessel trip reports, dealer reports, Northeast Fishery Observer Program data, catch and landings history data, at-sea monitoring data, VMS information, and all other information associated with the vessel, MRI #, and/or permit records.

Any person identified in Section 1.2 of this Operations Plan may access confidential sector data.

2.6. Exemption Requests

FY14

Nantucket Lightship Closed Area

This exemption allows sector vessels to access portions of the Nantucket Lightship Closed Area to provide additional opportunities to target monkfish, dogfish, and skates. A trawl vessel may fish in the Western Exemption Area with any legal trawl gear. A trawl vessel fishing in the Eastern Exemption Area is restricted to approved selective trawl gear, including the separator trawl, the Ruhle trawl, or the rope trawl. Flounder nets are prohibited in the Eastern Exemption Area. A hook vessel is permitted in both areas. A gillnet vessel is restricted to fishing 10-inch (25.4-cm), or larger, diamond mesh in both areas. A gillnet vessel must use pingers when fishing in the Western Exemption Area from December 1 – May 31, because this area lies within the existing Southern New England Management Area of the Harbor Porpoise Take Reduction Plan.

The Western and Eastern Nantucket Lightship Closed Areas are defined by lines connecting the points listed below in the order stated.

<table>
<thead>
<tr>
<th>WESTERN NANTUCKET LIGHTSHIP CLOSED AREA</th>
</tr>
</thead>
<tbody>
<tr>
<td>POINT</td>
</tr>
<tr>
<td>-------</td>
</tr>
</tbody>
</table>
Any vessel that intends to access the Western or Eastern Nantucket Lightship Closed Areas must declare a closed area trip through the VMS trip start hail by checking the box next to “Closed Area Trip” under sector exemptions. Trip Start Hails must be submitted prior to departure. The sector must follow any additional trip declaration or reporting requirements that NMFS implements during the fishing year.

Exemption from the 6.5-inch mesh size for directed redfish trips

This exemption allows a sector vessel to fish for Acadian redfish in the designated Redfish Exemption Area, described below, using nets with codend mesh as small as 6.0 inches on any approved trawl gear.
The Redfish Exemption Area is bounded on the east by the U.S.-Canada Maritime Boundary, and bounded on the north, west, and south by the following coordinates, connected by straight lines in the order listed:

<table>
<thead>
<tr>
<th>Point</th>
<th>N. Lat.</th>
<th>W. Long.</th>
<th>Note</th>
</tr>
</thead>
<tbody>
<tr>
<td>A</td>
<td>44°27.25’</td>
<td>67°02.75’</td>
<td></td>
</tr>
<tr>
<td>B</td>
<td>44°16.25’</td>
<td>67°30.00’</td>
<td></td>
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<tr>
<td>C</td>
<td>44°04.50’</td>
<td>68°00.00’</td>
<td></td>
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<tr>
<td>D</td>
<td>43°52.25’</td>
<td>68°30.00’</td>
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<tr>
<td>E</td>
<td>43°40.25’</td>
<td>69°00.00’</td>
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<td>G</td>
<td>43°16.00’</td>
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</tr>
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<td>H</td>
<td>42°00.00’</td>
<td>70°00.00’</td>
<td></td>
</tr>
<tr>
<td>I</td>
<td>42°00.00’</td>
<td>(67°00.63’)</td>
<td>(1)</td>
</tr>
</tbody>
</table>

The intersection of 42°00’ N. latitude and the U.S.-Canada Maritime Boundary, approximate longitude in parentheses.

Prior to leaving the dock, any vessel that intends to use the redfish exemption on a trip must declare so through the VMS trip start hail by checking the box next to “Redfish Trip” under sector exemptions. For all trips that declare this exemption (including those that do not use the exemption), NMFS will monitor two monthly catch thresholds:

1) For every trip that declares the exemption, at least 80-percent of the total groundfish landed must be Acadian redfish; and

2) For observed trips that declare the exemption, total groundfish discards (including redfish), may not exceed 5 percent.

FY13 Exemptions Continued in FY14

- 120-Day Block Requirement Out of the Fishery for Day Gillnet Vessels
- 20-Day Spawning Block Requirement
- Limitation on the Number of Gillnets for Day Gillnet Vessels
- Limitation on the Number of Gillnets that May be Hauled on Georges Bank when Fishing under a Groundfish/Monkfish DAS
- Length and Horsepower Restrictions of the DAS Leasing Program
- Trawl gear requirements in the US/CA Management Area.
- Prohibition on discarding unmarketable fish.
- Requirement to Power a VMS While at the Dock. This exemption would only apply to NE multispecies requirements; vessels with other permits requiring constant reporting would not be exempt.
- 6.5” Minimum Mesh Size Requirement for Trawl Nets.
- Requirement to Declare Intent to Fish in the Eastern US/CA Haddock SAP and the CAII Yellowtail Flounder/Haddock SAP Prior to Leaving the Dock.
- Seasonal Restriction for the Eastern U.S./Canada Haddock SAP
• Seasonal Restriction for the CA II Yellowtail Flounder/Haddock SAP
• Access to the Nantucket Lightship Closed Area

Upon approval, each sector vessel will be issued a Letter of Authorization (LOA) specifying the exemptions granted. Vessels must comply with all requirements stipulated in the LOA and all applicable Federal regulations and laws not specifically exempted in the LOA.
2.7. Inshore Gulf of Maine Cod Declaration Plan

A portion of Broad Stock Area 1 (BSA1) will be defined as an Inshore GOM Zone as follows: West of 70:15 longitude to the shoreline north to the Maine coast, west, and south to Cape Cod.

If the trip CARRIES an observer or monitor, the vessel may declare and execute its intent to fish inside the portion of BSA1 designated the Inshore GOM area, as well as any other area. In other words, nothing changes.

If the trip DOES NOT carry an observer or monitor, the vessel selects from these options:

1. If the vessel wishes to fish in the Inshore GOM Zone, the vessel must declare and execute its intent to fish inside BSA1 exclusively for the trip. You can’t cross into the Georges Bank areas.

2. If the vessel wishes to fish outside of BSA1 (the Gulf of Maine) on a trip, it is prohibited from fishing in the Inshore GOM Zone.

Declarations will be made to the sector manager via the Trip Start Hail. If the Member declares more than one BSA on the trip, the Member is prohibited from conducting fishing activity West of the 70:15 in the area described above as the Inshore GOM and the Member must indicate acknowledgement of this restriction by transmitting a Trip Start Hail, through their VMS unit or third party software, and check the “b. Inshore Gulf of Maine” from the list of Sector Ops Plan Provisions in the Trip Start Hail.
3. REPORTING

3.1. Issue Tracking

The JIRA issue tracking application will be used to report all data quality issues to the appropriate personnel for research and correction activities.

3.2. Reporting to NMFS

The sector manager will submit a weekly, or daily, report (§ 648.87(b)(1)(vi)(B)) to NMFS that includes the:

- Sector Manager Detail Report: This provides information down to the sub-trip level about each sector trip for a given week, regardless of the completeness of the data. The information includes stock, gear, mesh categories, landing amounts, discards, and total catch.
- Sector Manager Trip Issue Report: This provides information about sector trips for a given week that have enforcement or other issues. The sector manager submits one Issue Report per reporting period.
- One of the following:
  - Sector Manager ACE Status Report: This provides the means for sector managers to report their ACE status calculations. This allows NMFS to cross-check totals, as stipulated in Amendment 16.
  - Sector Manager Daily ACE Status Report: This provides the means for sector managers to report their ACE status calculations on a daily basis if either of two "trigger points" (thresholds) has been reached in the current FY.

Weekly reports must include any enforcement or reporting compliance issues, including violations of Operations Plans (exclusive of defined administrative provisions), violations of regulations, or general problems with monitoring or sector operations during the reporting period.
4. CONSOLIDATION OF ACE AND REDIRECTION OF EFFORT

4.1. Consolidation of ACE

In FY13, 0% of the permits expected to be enrolled in the Sector for FY14 are attached to vessels actively fishing for NE multispecies. For FY14, the Sector has 13 permits currently enrolled. Of those permits, 0 are anticipated to actively fish for NE multispecies in FY14. While these numbers may change, the Sector expects that, compared to FY13, there would be no change from the consolidation that previously occurred among the members during FY13.

4.2. Redirection of Effort

The Sector anticipates that vessels will not increase their fishing effort in other fisheries. It is the intent of the Members to continue their historical participation in the scallop, monkfish, herring, mackerel, skate, dogfish, squid, whiting, lobster and shrimp fisheries for which they possess federal or state permits.

During FY12, no Sector vessel switched fishing efforts.

During the first quarter of FY13, no Sector vessel switched fishing efforts.

During FY14, the Sector sector anticipates no redirection of effort to other fisheries.
5. ENFORCEMENT

5.1. Agreement Enforcement

Each of the Members and the Sector shall have the right to have any provision of this Agreement specifically enforced, through injunction, restraining order or other form of equitable relief.

Each Member agrees that the Sector, through its representatives, and/or any other Member may enforce this Agreement on behalf of the Sector and/or its Members.

Each Member agrees to take all actions and to execute all documents necessary or convenient to give effect to the enforcement procedures contemplated by this Agreement, the Harvesting Rules and any Schedule of Penalties.

5.2. Restrictions on Fishing Activity

The Members acknowledge they may be held jointly and severally liable for civil penalties to NMFS that result from any member causing the Sector to exceed its ACE; any member discarding legal sized allocated groundfish; any member or vessel operator misreporting their own catch and discards of allocated groundfish species to NMFS or the Sector Manager;

The Members further acknowledge and agree that monetary penalties could be inadequate recourse under such circumstances.

Therefore, the Members acknowledge and agree that each of them will comply with a “stop fishing” order from the Sector, which shall be issued by the Board, the Manager or the Infractions Committee, and each of the Members further agrees that if any Member fails to comply with such order, the Sector shall have the authority to obtain an injunction, restraining order or other equivalent form of equitable relief to give effect to such “stop fishing” order.

5.3. Joint and Several Liabilities

Sector members acknowledge and agree that they and the sector may be held jointly and severally liable for discarding legal sized fish, misreporting, and Annual Catch Entitlement (ACE) overages as specified in Federal regulations.

5.4. Infractions Committee

The Board shall appoint an infractions committee (the “Committee”) as necessary to ensure fair, consistent and appropriate enforcement of this Agreement, the Harvesting Rules, the Plan and other Sector requirements as adopted under the terms of this Agreement and the Sector’s Bylaws.
The Committee will be comprised of at least three Board members excluding any Board member who may be subject to investigation.

The Committee shall annually prepare and recommend to the Board for its approval a schedule of penalties, which shall be similar in form to Exhibit A (the “Schedule of Penalties”), for any unauthorized fishing activities (whether under applicable laws, rules and regulations or otherwise) and for violations of this Agreement, the Harvesting Rules, the Plan and other Sector requirements as may be adopted under the terms of this Agreement or the Sector’s Bylaws.

The Board shall review and approve any Schedule of Penalties prior to the commencement of the fishing year.

In addition, the Committee shall have the authority to take any number of enforcement measures against the Members for the non-payment of membership dues and/or poundage fees. Such enforcement measures may include expulsion of the violating Member under Section 3 and/or issuing a “stop fishing” order against such Member.

5.5. Procedures for Investigations

In addition to the Manager’s authority to invoke penalties under the Schedule of Penalties, the Manager may, on his own, and shall, at the request of a Member, request that the Committee conduct an investigation of possible infractions of the Agreement, the Harvesting Rules, the Plan, or other Sector requirements as may be adopted under the terms of this Agreement or the Sector’s Bylaws, by calling a meeting of the Committee and presenting it with the information that is the basis for the Manager’s or Member’s opinion that an infraction occurred.

Such Committee member assignments shall be rotated. If, upon the conclusion of such investigation, the Committee determines by an affirmative vote of a majority (51%) of its members that a violation of this Agreement, the Harvesting Rules, the Plan, or other Sector requirements (as may be adopted under the terms of this Agreement or the Sector’s Bylaws) has occurred, it may impose any penalties prescribed in the Schedule of Penalties to the Member, its Permits and its Participating Vessels by the Sector, or issue “stop fishing” orders.

The Committee shall exercise all reasonable efforts to ensure that penalties and settlements are commensurate with the nature and extent of the violation, are designed to further the purposes of the Plan, and are uniform with those reached in similar circumstances.

All appeals from such Committee action shall be taken in accordance with Section 5.11 hereof. Each of the Members agrees to cooperate fully with the Manager and the Committee in such investigations and procedures (including cooperation with any requests for information or data that may be made by the Manager or the Committee).
5.6. Penalties for Violations

Any penalties that are imposed upon a Member by the Sector pursuant to the terms of this Agreement shall be in addition to, and not in lieu of, any other potential state or federal penalty that may be imposed upon such Member.

5.7. Expulsion

5.7.1. Cause

The Members agree that any Member may be expelled from the Sector if the actions of such Member and/or its Participating Vessels (or the Participating Vessels’ operators) seriously undermine and threaten the existence of the Sector, the actions of such Member and/or its Participating Vessels (or the Participating Vessels’ operators) have exposed other Members of the Sector to monetary penalties and/or legal actions, such Member has been convicted of a serious crime, or such Member has not paid its membership dues and/or poundage fees as required by the bylaws.

5.7.2. Procedure

Any Member, the Committee or the Manager may submit to the Board a request to have a Member, its Permits and/or its Participating Vessels expelled from the Sector (the “Expulsion Request”).

Such Expulsion Request shall be in writing and shall include an explanation of the basis for expulsion.

The Board shall vote on such Expulsion Request within fourteen (14) days of receipt of such Expulsion Request.

The affirmative vote of two-thirds of the members of the Board shall be required in order to expel a Member, its Permits and/or its Participating Vessels.

Expulsion shall be effective immediately upon the receipt of the requisite vote by the Board.

Board members cannot vote on the expulsion of one or more of their own vessels.

As required by 50 CFR Part 648.87 or any substitute or successor provision, a Member, its Permits and/or its Participating Vessels expelled during any fishing year may not fish outside of the Sector under a NE multispecies DAS program during the remainder of such fishing year.
Upon expulsion of any Member, its Permits and/or its Participating Vessels, the Manager shall immediately notify NMFS by email that the Member’s Permits and/or Participating Vessels are no longer included in the Sector.

5.8. Notification to NMFS

If a member is expelled or has been issued a “stop fishing” order the Sector Manager will notify NMFS immediately by email or by telephone, and confirm NMFS has received the notice.

5.9. Penalties and Attorney’s Fees

Penalties for any violations of this Agreement shall, be limited to the amounts set forth on the Schedule of Penalties plus all costs, fees and expenses, including attorney fees, incurred by the Sector or, in a case in which the Sector does not take enforcement action, by the Members bringing such action, in enforcing the provisions of this Agreement.

To the extent the Schedule of Penalties addresses such matter, the Members and the Sector hereby waive any claims to actual, direct, or indirect damages, and instead agree that payment of the amounts set forth on the Schedule of Penalties and costs of enforcement shall be their sole remedy for breaches of this Agreement.

In connection with any legal proceeding related to this Agreement, the non-prevailing party shall pay the prevailing party’s reasonable costs and attorney’s fees associated with the proceeding.

5.10. Application of Fines, Penalties, and Damages

All penalties, fines and/or other damages paid to the Sector shall, first, be applied to the cost of enforcement of such violations and, second, any remaining amounts shall be applied to the costs and expenses of the administration, management and preservation of the Sector.

Any funds remaining after the application of the foregoing sentence shall be used to further research into efficient management of groundfish stocks for the benefit of the resource and those that harvest the resource.

5.11. Appeal from Committee Decision

If the Committee has determined that a Member has violated this Agreement or makes any other determination with respect to a Member under this Agreement (including, specifically, without limitation section on catch verification), such violating Member shall have five business days following the date of the Committee’s determination to request reconsideration of the enforcement or other action and/or propose an alternative form of penalty.
Such request shall be made in writing and shall be addressed to the Board.

The Board may, in its sole discretion, grant or deny any request for reconsideration and may, in its sole discretion, approve or disapprove any alternative form of penalty; provided, that the Board shall exercise all reasonable efforts to ensure that penalties and settlements are commensurate with the nature and extent of the violation, are designed to further the purposes of the Plan are uniform with those reached in similar circumstances.

5.12. Dispute Procedures

Prior to instituting any litigation or other dispute resolution, the parties shall follow applicable procedures set forth in this Agreement for the resolution of such dispute.

Any appeals taken with respect to any dispute that arises in connection with this Agreement shall be taken in the federal district court in Portland, Maine or, if said court does not have jurisdiction, in such courts in the State of Maine that do have jurisdiction.

5.13. Indemnification

Each party that violates this Agreement (the “Indemnitor”) hereby severally agrees to indemnify, defend and hold harmless the other parties hereto (each, an “Indemnitee”) in respect of their respective Losses; provided that such losses result or arise from a third party claim or governmental proceeding brought against or involving the Indemnitee, which is based on or relates to this Agreement violation of applicable laws, rules or federal fishery regulations or breach of any covenant, agreement or obligation contained in this Agreement, the Harvesting Rules or other Sector requirements as may be adopted under the terms of this Agreement or the Sector’s Bylaws.

The indemnification obligations of the parties shall be joint and several.

For the purposes of this Section, “Losses” shall mean any and all claims, liabilities, obligations, judgments, liens, injunctions, charges, orders, decrees, rulings, damages, dues, assessments, taxes, losses, fines, penalties, expenses, fees, costs, amounts paid in settlement (including reasonable attorneys’ and witness fees and disbursements in connection with investigating, defending or settling any action or threatened action) arising out of any claim, complaint, demand, cause of action, action, suit or other proceeding asserted or initiated or otherwise existing.

The obligations under this Section shall survive the termination of this Agreement and the expulsion of any Member pursuant to Section 2.7.
5.14. Administrative Enforcement

The following sections of the operations plan are exclusive to administration of the sector:

- 1.1
- 2.1 inclusive
- 2.2.1, 2.2.4, 2.2.7
- 2.3 inclusive
- 2.4
- 2.7
- 5 inclusive, excepting 5.3
## 5.15. Schedule of Penalties

<table>
<thead>
<tr>
<th>Penalty Schedule</th>
</tr>
</thead>
<tbody>
<tr>
<td>VIOLATION</td>
</tr>
<tr>
<td><strong>VIOLATIONS REGARDING PERMITS, REPORTING, DOCUMENTATION, EXEMPTION PERMIT REQUIREMENTS</strong></td>
</tr>
<tr>
<td>All Violations including but not limited to: providing false statements or supporting documentation on applications or reports to the Sector; late or non-reporting; failure to comply with a permit condition/ restriction/ letter of authorization or exemption issued by the Sector; providing false statements or failing to comply with VMS/DAS requirements (technical and minor violations may result in a letter of warning); Exceeding ACE and not acquiring additional ACE to replace it.</td>
</tr>
<tr>
<td><strong>VIOLATIONS REGARDING TIME AND AREA RESTRICTIONS</strong></td>
</tr>
<tr>
<td>All violations including, but not limited to: exemption areas, closed fisheries, closed seasons, restricted gear/management areas and Days at Sea violations.</td>
</tr>
<tr>
<td><strong>VIOLATIONS THAT PLACE THE SECTOR AGREEMENT AT RISK</strong></td>
</tr>
<tr>
<td>All violations including but not limited to a violation of a stop order, entering a closed area, transfer of fish from a non-Sector vessel to a Sector vessel, subverting the reporting requirements (misappropriating landings) or any other action which could cause the sector to be in violation of its agreement.</td>
</tr>
<tr>
<td><strong>VIOLATIONS REGARDING MEMBERSHIP COMMITMENT</strong></td>
</tr>
<tr>
<td>Violation of 50 CFR Part 48 or failing to remain in the Sector for the Commitment Period (i.e., breach of Section 2.03 of the Agreement).</td>
</tr>
</tbody>
</table>
6. APPENDIX 1: SHS3 BOARD OF DIRECTORS

Terry Alexander
Frank Patania
Maggie Raymond
Phil Ruhle
The Commonwealth of Massachusetts
Secretary of the Commonwealth
State House, Boston, Massachusetts 02133

Date: September 02, 2013

To Whom It May Concern:

Thereby certify that according to the records of this office,

SUSTAINABLE HARVEST SECTOR III, INC.

is a domestic corporation organized on August 12, 2010

I further certify that there are no proceedings presently pending under the Massachusetts General Laws Chapter 180 section 26 A, for revocation of the charter of said corporation; that the State Secretary has not received notice of dissolution of the corporation pursuant to Massachusetts General Laws, Chapter 180, Section 11, 11A, or 11B; that said corporation has filed all annual reports, and paid all fees with respect to such reports, and so far as appears of record said corporation has legal existence and is in good standing with this office.

In testimony of which,
I have hereunto affixed the
Great Seal of the Commonwealth
on the date first above written.

[Signature]
Secretary of the Commonwealth

Certificate Number: 13093850580
Verify this Certificate at: https://orp.sec.state.ma.us/CorpWeb/Certificates/Verify.aspx
Processed by: csl
**Vessel: TORIT**
Northeast Federal Fishery Permit: 149544
US Coast Guard Doc #: State Registration #: ME10PPJ
Primary Owner| Corporation Name: CHRISTOPHER YATTAW
Address: 26 BOBOLINK LANE
THOMASTON, ME 04881
Phone: (207) 354-0736

Hailing Port: THOMASTON, ME.
Principal Port: THOMASTON, ME.
Sector: Sustainable Harvest Sector 3
Valid Sector LOA? Yes

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**Most Recent Northeast Federal Fishery Permit Data**

<table>
<thead>
<tr>
<th>Permit Year</th>
<th>Plan</th>
<th>Category</th>
<th>Description</th>
<th>Start Date</th>
<th>End Date</th>
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<tbody>
<tr>
<td>2013</td>
<td>BLU</td>
<td>1</td>
<td>BLUEFISH - COMMERCIAL - 2013</td>
<td>01-MAY-13</td>
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<td>SPINY DOGFISH - COMMERCIAL - 2013</td>
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<td>30-APR-14</td>
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<tr>
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<td>HERRING - OPEN ACCESS - POSSESSION LIMIT - 2013</td>
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<td>NE MULTISPECIES - INDIVIDUAL DAS - 2013</td>
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### Most Recent Northeast Federal Fishery Permit Data

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National Marine Fisheries Service

NMFS vessel/fishery data as of November 15, 2013, 12:01 pm

Disclaimer: The information contained on this site does not guarantee that these permits can be renewed or applied for in the future only and does not represent the baseline information for any vessel, which includes limited access scallop or Northeast multispecies used to determine vessel upgrade or replacement eligibility. The National Marine Fisheries Service shall not be held liable for its information. The National Marine Fisheries Service reserves the right to make a final determination regarding vessel elig

Most Recent Vessel Data

Vessel: CAPT CRABBY  
Northeast Federal Fishery Permit: 150496  
US Coast Guard Doc #/State Registration #: MS2109A  
Primary Owner/Corporation Name: DAVID ARIPOTCH  
Address:  
PO BOX 1036  
MONTAUK, NY 11954  
Phone: (631) 668-7654  
Hailing Port: GLoucester, MA.  
Principal Port: GLoucester, MA.  
Sector: Not in a Sector  
Valid Sector LOA? N/A

Most Recent Northeast Federal Fishery Permit Data

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THE SUSTAINABLE HARVEST SECTOR III
SECTOR OPERATIONS PLAN FOR FISHING YEAR MAY 2014-APRIL 2015

The undersigned agrees to execute the Sustainable Harvest Sector III Operations Plan and Sustainable Harvest Sector III Membership Agreement for the fishing year May 2014 – April 2015, as of the date written below.

<table>
<thead>
<tr>
<th>Permit #</th>
<th>Moratorium Right ID</th>
<th>Permit Name</th>
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<tr>
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Name: 

[Signature]

Capacity (owner, president, etc): Owner

Signature: 

[Signature]

Date: 

2/24/14
THE SUSTAINABLE HARVEST SECTOR III-
SECTOR OPERATIONS PLAN FOR FISHING YEAR MAY 2014-APRIL 2015

The undersigned agrees to execute the Sustainable Harvest Sector III Operations Plan and Sustainable Harvest Sector III Membership Agreement for the fishing year May 2014 - April 2015, as of the date written below.

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<th>Permit #</th>
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<td>149544</td>
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<td>TORI T</td>
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</table>

Name  

Capacity (owner, president, etc)  

Signature  

Date  

Christopher C. Yattaw  

Owner  

2-26-14
The undersigned agrees to execute the Sustainable Harvest Sector III Operations Plan and Sustainable Harvest Sector III Membership Agreement for the fishing year May 2014—April 2015, as of the date written below.

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<td>CAPT CRABBY</td>
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Name  

Capacity (owner, president, etc)  

Signature  

Date 02/27/14
THE SUSTAINABLE HARVEST SECTOR III
SECTOR OPERATIONS PLAN FOR FISHING YEAR MAY 2014-APRIL 2015

The undersigned agrees to execute the Sustainable Harvest Sector III Operations Plan and Sustainable Harvest Sector III Membership Agreement for the fishing year May 2014 – April 2015, as of the date written below.

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<td>241823</td>
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Name  Mary T. Harris

Capacity (owner, president, etc)  owner

Signature  [Signature]

Date  3-3-14
THE SUSTAINABLE HARVEST SECTOR III
SECTOR OPERATIONS PLAN FOR FISHING YEAR MAY 2014-APRIL 2015

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<td>Sassy Sarah</td>
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Name  Joey Daniels

Capacity (owner, president, etc)  owner  Vice President

Signature  Joey Daniels

Date  3-3-2014
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Vessel: TORI T  
Northeast Federal Fishery Permit: 149544  
US Coast Guard Doc #: State Registration #: ME10PPJ  
Primary Owner/Corporation Name: CHRISTOPHER YATTAW  
Address: 26 BOBOLINK LANE  
THOMASTON, ME 04861  
Phone: (207) 354-0736  

Hailing Port: THOMASTON, ME  
Principal Port: THOMASTON, ME  
Sector: Sustainable Harvest Sector 3  
Valid Sector LOA? Yes

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<td>30-APR-14</td>
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</table>
CONFIRMATION OF PERMIT HISTORY (CPH)

Date Issued: January 10, 2011

History Owner: David Aripotch

Vessel Name: CAPT CRABBY

Permit Number: 150495

Doc/Reg Number: MS2109A

This confirms that you have retained the above-named vessel's limited access fishing rights and fishing permit history in the Northeast multispecies, summer flounder, black sea bass, Loligo/butterfish, scup, Limited Access General Category (LAGC-IPO) scallop, and American lobster fishery. Issuance of this CPH preserves the eligibility of this history owner to apply for limited access permits for a replacement vessel based on the vessel's fishing and permit history, subject to the replacement provisions.

This CPH will remain valid until the above vessel's fishing and permit history are used to qualify a vessel for a limited access permit.

Please retain this confirmation for your records. If you have questions concerning this confirmation, please call the Northeast Region information line at 978-281-9278.

Sincerely,

[Signature]

Patricia A. Kurkul
Regional Administrator
National Marine Fisheries Service

NMFS vessel/fishery data as of March 9, 2014, 11:26 am

Disclaimer: The information contained on this site does not guarantee that these permits can be renewed or applied for in the future. This information is advisory only and does not represent the baseline information for any vessel. The National Marine Fisheries Service shall not be held liable for losses caused by use of this information. The National Marine Fisheries Service does not hold liability for the use of this information. The National Marine Fisheries Service does not hold liability for the use of this information.

Most Recent Vessel Data

Vessel: CHARLIE III
Northeast Federal Fishery Permit: 241823
US Coast Guard Domestic Register Number: MARY H ARR
Primary Owner/Corporate Name: MARY H ARR
Address:
2 BORBERRY ROAD
SCITUATE, MA 02066
Phone: (781) 545-1944
Hailing Port: SCITUATE, MA.
Principal Port: SCITUATE, MA.
Sector: Sustainable Harvest Sector 3
Valid Renew OAP Y.N. Yes

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